

1. Federal Requirement: The setting is integrated in and supports full access to the greater community.

1-A Is the site in a community among other residences or commercial businesses (retail, residential neighborhood, commercial, industrial, other)?

Yes No Remediation

No Remediation Needed

1-B Does the site support both facility habilitation AND community habilitation?

Yes No Remediation

No Remediation Needed

1-C Is the site NOT located in or adjacent to a building that is also a publicly or privately operated facility that provides inpatient institutional treatment (SNF, ICF/IID, IMD, hospital)?

Yes No Remediation

No Remediation Needed

1-D Does the site(s) provide onsite medical (office setting, a medical complex wellness center), behavioral, or therapeutic services?

Yes Remediation Needed

No No Remediation

1-E Does the setting provide opportunities for regular meaningful non-work activities in integrated community settings for the amount of time desired by consumers?

Yes No Remediation

No Remediation Needed

1-F Do the individuals served in this setting regularly interact (4 times or more per week) with members of the community (not staff or volunteers)?

Yes No Remediation

No Remediation Needed

1-G Does the setting afford opportunities for individual schedules that focus on the needs and desires of an individual and an opportunity for individual growth?

Yes No Remediation

No Remediation Needed

1-H Does the setting allow individuals the freedom to move about the setting, including the freedom to go outside as they choose?

Yes No Remediation

No Remediation Needed

1-I Does the setting allow individuals the freedom to move about based on the individual's assessed needs?

Yes No Remediation

No Remediation Needed

1-J Is public transportation available to/from the setting?

Yes No Remediation

No Remediation Needed

1-K Is information regarding transportation available to individuals in a convenient manner such as participant handbooks, handouts, or public postings?

Yes No Remediation

No Remediation Needed

1-L Are resources other than public transportation available for individuals to access the setting?

Yes No Remediation

No Remediation Needed

1-M Does the setting assure that tasks and activities, both inside and outside the setting, are comparable to tasks and activities for people of similar ages?

Yes No Remediation

No Remediation Needed

2 Federal Requirement: The setting is selected by the individual from among setting options.

2-A Does the setting provide individuals with flexibility in their schedule?

Yes No Remediation

No Remediation Needed

2-B Are individuals given flexibility in when they take breaks/lunch times?

Yes No Remediation

No Remediation Needed

2-C Are activities adapted to individuals' needs and preferences?

Yes No Remediation

No Remediation Needed

3 Federal Requirement: The setting ensures an individual's rights of privacy, dignity, and respect and freedom from coercion and restraint.

3-A Does the setting have policies to ensure all information about individuals is kept private/confidential?

Yes No Remediation

No Remediation Needed

3-B Is personal care assistance, when needed, conducted in private?

Yes No Remediation

No Remediation Needed

3-C Does the staff interact and communicate with individuals respectfully and in a manner in which the person would like to be addressed at all times?

Yes No Remediation
No Remediation Needed

3-D Does the site provide the opportunity for all individuals to have the space in order to speak on the telephone, open and read mail, and visit with others in private?

Yes No Remediation
No Remediation Needed

3-E Does the setting ensure response to each individual's need as defined in their individual service plan?

Yes No Remediation
No Remediation Needed

3-F Does the setting ensure that one person's behavior supports do not impede the rights of other individuals?

Yes No Remediation
No Remediation Needed

3-G Does the site have a secure place for the individual to store personal belongings?

Yes No Remediation
No Remediation Needed

4 Federal Requirement: The setting optimizes, but does not regiment, individual initiative, autonomy, and independence in making life choices including but not limited to daily activities, physical environment, and with whom to interact.

4-A Does the setting have any of the following barriers preventing individuals' movement (gates, locked doors, fences, other)?

Yes Remediation Needed
No No Remediation

4-B Does the setting offer any options for the consumers to meet physical environment goals and needs (indoor gathering space, outdoor gathering space, large group activity space, small group activity space, private space, area for calming activities, area for stimulating activities)?

Yes No Remediation
No Remediation Needed

4-C Does the setting afford the opportunity for tasks and activities that match the following attributes for individuals: age, skills, abilities, desires/goals?

Yes No Remediation
No Remediation Needed

4-D Is the setting physically accessible, including access to bathrooms and break rooms?

Yes No Remediation
No Remediation Needed

4-E Does the setting provide for an alternative meal and/or private dining if requested by the individual?

Yes No Remediation

No Remediation Needed

4-F Do the individuals have access to food at any time consistent with individuals in similar and/or the same setting?

Yes No Remediation

No Remediation Needed

4-G Does the setting allow individuals to choose with whom they spend their time while at the setting?

Yes No Remediation

No Remediation Needed

4-H Does the setting support individuals to do the following?

-Make decisions

-Move about the community

-Associate with others

-Practice their religion

-Access their money

-Make personal decisions

-Vote

Yes No Remediation

No Remediation Needed

5 Federal Requirement: The setting facilitates individual choice regarding services and supports, and who provides them.

5-A Are individuals provided a choice regarding the setting they participate in when they sign their Individual Service Plan?

Yes No Remediation

No Remediation Needed

5-B Does the setting allow individuals to choose which of the setting's employees provide his/her services? Example: An individual requests that all personal care services for her be conducted by female employees. Is that individual's request met?

Yes No Remediation

No Remediation Needed

5-C Does the setting allow prospective individuals the opportunity to tour the setting?

Yes No Remediation

No Remediation Needed

5-D Does the setting afford individuals the opportunity to regularly and periodically update or change their work/daily activities?

Yes No Remediation

No Remediation Needed

5-E Does the setting have person-centered policies to ensure individuals are supported in developing setting-specific plans to support his/her needs and preferences?

Yes No Remediation

No Remediation Needed

5-F Does the setting ensure staff is knowledgeable about the capabilities, interests, preferences, and needs of people?

Yes No Remediation

No Remediation Needed

5-G Does the setting provide information to individuals about how to make a request for additional services, or changes to their setting-specific plans?

Yes No Remediation

No Remediation Needed

6 Additional Information

6-A Do all staff (paid and unpaid) receive new hire training related to company policies?

Yes No Remediation

No Remediation Needed

6-B Do all staff (paid and unpaid) receive continued education related to company policies?

Yes No Remediation

No Remediation Needed

6-C Are company policies regularly assessed for compliance and effectiveness, and amended as necessary?

Yes No Remediation

No Remediation Needed

6-D Does the setting have documentation indicative of staff's adherence to policies, such as training documentation and sign-in sheets for relevant activities?

Yes No Remediation

No Remediation Needed