#### STATE OF INDIANA BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:		2014 OCT 30 A 91 39
2015 Application For Registration of Quarter	)	Carried William F. Carried World
Horse Racing Association of Indiana, Inc.	)	
Pursuant to 71 IAC 13-1-1 et sea.	)	

#### NOTICE OF HEARING AND PRE-HEARING ORDER ON 2015 APPLICATION FOR REGISTRATION OF QUARTER HORSE RACING ASSOCIATION of INDIANA PURSUANT TO 71 IAC 13-1-1 ET SEQ.

This matter comes before the Indiana Horse Racing Commission (hereinafter "the Commission") on an Application for Approval as a Registered Horsemen's Association Pursuant to 71 IAC 13-1-1 *et seq.* for the calendar year 2015 [hereinafter "the Application"], submitted by the Quarter Horse Racing Association of Indiana (hereinafter "the Applicant" or "QHRAI") on or about September 3, 2014. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*:

#### **NOTICE OF HEARING**

The Indiana Horse Racing Commission will hold a hearing on Monday, November 17, 2014, at 9:00 a.m., <u>or</u> as soon as this matter advances on the Commission's agenda during its regularly scheduled meeting which will commence at 9:00 a.m. in the Indiana State Library, The Authors Room (Room 203), 315 W. Ohio St., Indianapolis, Indiana 46204. The Hearing will relate to the following Application that was filed with the Commission on or about September 3, 2014:

2015 Application for Registration of Quarter Horse Racing Association of Indiana Pursuant to 71 IAC 13-1-1 *et seq*.

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Indiana Horse Racing Commission; an opportunity for the Commission to ask questions of any party representatives and witnesses who may testify at the Hearing; and an opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Indiana Horse Racing Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-35-7-12, 71 IAC sections 13-1-1- et seq. and Indiana Code sections 4-21.5-3-1 et seq. All members of the Indiana Horse Racing Commission intend to act as the Administrative Law Judge for the Hearing. The members include: Thomas Weatherwax, Chairman; Greg Schenkel, Vice Chairman; George Pillow, Susie Lightle, and Bill McCarty, Members. Lea Ellingwood, Esq. is and will act as legal counsel to the Indiana Horse Racing Commission during these proceedings. Joe Gorajec, Executive Director, will also appear for the Indiana Horse Racing Commission Staff. Holly Newell, Esq. is and will act as legal counsel to the IHRC Staff during these proceedings. Ms. Ellingwood may be contacted for

information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 North Meridian, Suite 175, Indianapolis, Indiana 46204 (tel. no. (317) 233-3119).

#### PRE-HEARING ORDER

The Commission, by its Chairman, Thomas Weatherwax, pursuant to Indiana Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

#### I. Purpose of the Hearing on Applicant's Petition.

The Commission will consider QHRAI's 2015 Application for Registration as a Registered Horsemen's Association Pursuant to 71 IAC sections 13-1-1 *et seq.* filed with the Commission on or about September 3, 2014, requesting Commission approval to serve as the registered horsemen's association for the calendar year 2015 to receive the monies specified by Indiana Code section 4-35-7-12 to be distributed for the benefit of thoroughbred owners and trainers.

#### II. <u>Application Process Time Line</u>.

#### a. <u>Hearing Date and Time</u>.

The hearing will commence at the Indiana State Library, The Authors Room (Room 203), 315 W. Ohio St., Indianapolis, Indiana, on Monday, November 17, 2014, at 9:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting commencing at 9:00 a.m. EST. This matter will be heard and will continue, with appropriate recesses until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

#### b. <u>Incorporation by Reference of QHRAI's 2010, 2011, 2012, 2013, and 2014</u> Applications and Related Materials.

The Application incorporates by reference any documents submitted, considered or generated with respect to QHRAI's 2010-2013 Applications for Registration. NOTE: No substantive changes to the Application made after Friday, November 7, 2014 will be considered as part of the Application.

### c. <u>Report of the Commission Staff.</u>

The Commission Staff will review the Application and related information provided and may submit a Staff Report on the Application, which would be distributed to the Applicant, on or before the close of business on Wednesday, November 12, 2014.

#### d. Exhibits the Commission Proposes to Make a Part of the Record.

A list of exhibits which the Commission intends to make a part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Thursday, November 13, 2014. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application, any supplements thereto timely filed by the Applicant and any Staff Report issued by the Commission Staff. It should also be understood that the Commission will take official notice of any records of prior related hearings (including Final Orders) involving the Applicant, pursuant to Indiana Code section 4-21.5-3-26(c). The Applicant must notify the Commission in writing of any exhibits to which it objects on or before noon on Thursday, November 13, 2014. If no objection is timely made, all specified exhibits will be made a part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

#### e. Witness and Exhibits Lists of Applicant.

Unless the Applicant intends to submit an additional filing or supplement to the Application, no Witness List is contemplated. In the event that the Applicant does submit an additional filing, or otherwise determines that witness testimony is necessary, any such Witness and Exhibit Lists are to be filed with the Commission on or before noon on Thursday, November 13, 2014. When the Applicant files the Witness and Exhibit List, copies of the exhibits are to be left with the Commission so that they can be marked sequentially by the court reporter. While the Applicant may retain its oversized exhibits, reduced copies must be made available to the Commission on or before noon on Thursday, November 13, 2014. Documents or exhibits not identified on Applicant's Exhibit List may not be introduced into the record by Applicant.

#### f. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Thursday, November 13, 2014.

#### g. <u>Issuance of Subpoenas</u>.

The Applicant should submit proposed subpoenas to be issued by the Commission no later than the time that the Witness and Exhibit Lists are filed so that they can be issued pursuant to Indiana Code section 4-21.5-3-22. Subpoenas shall be issued on the signature of the Chair, or on the signature of the Vice Chairman in the event that the Chair is unavailable.

#### III. Matters Relating to the Conduct of the Hearing.

#### a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application for Registration. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate, directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or any individual who has a direct or indirect interest in the outcome of the proceeding. Such communications are prohibited and are referred to as "ex parte communications." Additionally, while a Commission Member may communicate separately with another Commission Member and may receive aid from members of the Commission's Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record. If the Commission receives an ex parte communication in violation of this statute, please contact counsel for the Commission so that an appropriate public disclosure can be prepared pursuant to the Administration Orders and Procedures Act. In appropriate circumstances a Commission Member receiving or otherwise participating in such a prohibited communication can be disqualified from acting further on the Application before the Commission.

Additionally, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and the members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and to make a decision on the Application.

#### b. <u>Hearing to be Conducted under Oath.</u>

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to insure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

#### c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its report (see § II.d. supra) at the hearing.

#### d. Application Hearing Time Schedule.

The maximum time allotted for each part of the hearing is as follows:

Opening Statement of Applicant

Up to 10 minutes

Oral Presentation by Applicant

Up to 15 minutes

Testimony of Commission Staff

Up to 10 minutes

Testimony of Interested Persons

And Organizations

Up to 10 minutes

Rebuttal and Final Statement

Up to 5 minutes

#### e. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

#### f. Commission Free to Ask Questions.

During the hearing on Applicant's presentation, any Commissioner, the Commission's counsel or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

#### g. <u>Individuals Requesting Time to Speak to the Application</u>.

A sign-up sheet will be made available on the date of the hearing for those interested in speaking during the time allotted for Testimony of Interested Persons and Organizations. An appropriate amount of time will be determined by the Chair at the hearing with consideration of the number of individuals who wish to speak and the total amount of time available in which to do so.

#### IV. Notice of Pre-Hearing Order.

This Notice of Hearing and Pre-Hearing Order will be served within nine days of its issuance, by first class mail, to the individuals and organizations identified on the list which is attached and identified as Exhibit "A".

The Applicant is advised that if it fails to attend or participate in the scheduled hearing, or any other stage of the proceeding, the proceeding may be dismissed pursuant to Indiana Code section 4-21.5-3-24.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 30<sup>th</sup> day of October, 2014.

Thomas Weatherwax, Chair

Thomas K. Wathurge

On Behalf of the Indiana Horse Racing Commission

#### **CERTIFICATE OF SERVICE**

I hereby certify that the a copy of the foregoing has been served upon the following parties by first class United States mail, postage prepaid, this 30<sup>th</sup> day of October, 2014.

Thomas K. Weatherwax 3012 Woodland Drive Logansport, IN 46947

Michelle Collins QHRAI Executive Director 5110 Bell Creek Road Muncie, IN 47302

Greg Schenkel 1046 Carter's Grove Indianapolis, IN 46260

George E. Pillow, Jr. 4005 Vincennes Road Indianapolis, IN 46268

Susie Lightle 11582 N. 980 W. Greenfield, IN 46143

William D. McCarty 4965 W. Woodland Dr. Bloomington, IN 47404

Joe Gorajec, Executive Director INDIANA HORSE RACING COMMISSION 1302 N. Meridian, Suite 175 Indianapolis, IN 46202

Hally C. Newell

## **EXHIBIT A**

#### **STAKEHHOLDERS**

Brian Elmore

Tom Mosley

Mike Brown

Chris Duke

**Eddy Martin** 

Darlene Likens

Dave Redlin

Horse Organization (Hartman)

Jack Kinninger

Joe Davis

John Schaub

Jonathan B. Schuster

Kate Hammer

Christina Lawton

Michelle Collins

Rick Moore

Young and Young

## STATE OF INDIANA BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:		2014 OCT 30 A 8: 50
2015 Application For Registration of Indiana	)	
Thoroughbred Owner's and Breeder's Association.	)	THE MARK LANGE PACKS COMM
Pursuant to 71 IAC 13-1-1 et seq.	)	

# NOTICE OF HEARING AND PRE-HEARING ORDER ON 2015 APPLICATION FOR REGISTRATION OF INDIANA THOROUGHBRED OWNER'S AND BREEDER'S ASSOCIATION PURSUANT TO 71 IAC 13-1-1 ET SEQ.

This matter comes before the Indiana Horse Racing Commission (hereinafter "the Commission") on an Application for Approval as a Registered Horsemen's Association Pursuant to 71 IAC 13-1-1 *et seq.* for the calendar year 2015 [hereinafter "the Application"], submitted by the Indiana Thoroughbred Owner's and Breeder's Association (hereinafter "the Applicant" or "ITOBA") on or about September 3, 2014. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*:

#### **NOTICE OF HEARING**

The Indiana Horse Racing Commission will hold a hearing on Monday, November 17, 2014, at 9:00 a.m., <u>or</u> as soon as this matter advances on the Commission's agenda during its regularly scheduled meeting which will commence at 9:00 a.m. in the Indiana State Library, The Authors Room (Room 203), 315 W. Ohio St., Indianapolis, Indiana 46204. The Hearing will relate to the following Application that was filed with the Commission on or about September 3, 2014:

2015 Application for Registration of Indiana Thoroughbred Owner's and Breeder's Association, Pursuant to 71 IAC 13-1-1 *et seq*.

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Indiana Horse Racing Commission; an opportunity for the Commission to ask questions of any party representatives and witnesses who may testify at the Hearing; and an opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Indiana Horse Racing Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-35-7-12, 71 IAC sections 13-1-1- et seq. and Indiana Code sections 4-21.5-3-1 et seq. All members of the Indiana Horse Racing Commission intend to act as the Administrative Law Judge for the Hearing. The members include: Thomas Weatherwax, Chairman; Greg Schenkel, Vice Chairman; George Pillow, Susie Lightle, and Bill McCarty, Members. Lea Ellingwood, Esq. is and will act as legal counsel to the Indiana Horse Racing Commission during these proceedings. Joe Gorajec, Executive Director, will also appear for the Indiana Horse Racing Commission Staff. Holly Newell, Esq. is and will act as legal counsel to the IHRC Staff during these proceedings. Ms. Ellingwood may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing,

and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 North Meridian, Suite 175, Indianapolis, Indiana 46204 (tel. no. (317) 233-3119).

#### PRE-HEARING ORDER

The Commission, by its Chairman, Thomas Weatherwax, pursuant to Indiana Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

#### I. <u>Purpose of the Hearing on Applicant's Petition.</u>

The Commission will consider ITOBA's 2015 Application for Registration as a Registered Horsemen's Association Pursuant to 71 IAC sections 13-1-1 *et seq*. filed with the Commission on or about September 3, 2014, requesting Commission approval to serve as the registered horsemen's association for the calendar year 2015 to receive the monies specified by Indiana Code section 4-35-7-12 to be distributed for the benefit of thoroughbred owners and trainers.

#### II. Application Process Time Line.

#### a. <u>Hearing Date and Time</u>.

The hearing will commence at the Indiana State Library, The Authors Room (Room 203), 315 W. Ohio St., Indianapolis, Indiana, on Monday, November 17, 2014, at 9:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting commencing at 9:00 a.m. EST. This matter will be heard and will continue, with appropriate recesses until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

# b. <u>Incorporation by Reference of ITOBA's 2010, 2011, 2012, 2013, and 2014 Applications and Related Materials.</u>

The Application incorporates by reference any documents submitted, considered or generated with respect to ITOBA's 2010-2013 Applications for Registration. NOTE: No substantive changes to the Application made after Friday, November 7, 2014 will be considered as part of the Application.

#### c. Report of the Commission Staff.

The Commission Staff will review the Application and related information provided and may submit a Staff Report on the Application, which would be distributed to the Applicant, on or before the close of business on Wednesday, November 12, 2014.

#### d. Exhibits the Commission Proposes to Make a Part of the Record.

A list of exhibits which the Commission intends to make a part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Thursday, November 13, 2014. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application, any supplements thereto timely filed by the Applicant and any Staff Report issued by the Commission Staff. It should also be understood that the Commission will take official notice of any records of prior related hearings (including Final Orders) involving the Applicant, pursuant to Indiana Code section 4-21.5-3-26(c). The Applicant must notify the Commission in writing of any exhibits to which it objects on or before noon on Thursday, November 13, 2014. If no objection is timely made, all specified exhibits will be made a part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

#### e. Witness and Exhibits Lists of Applicant.

Unless the Applicant intends to submit an additional filing or supplement to the Application, no Witness List is contemplated. In the event that the Applicant does submit an additional filing, or otherwise determines that witness testimony is necessary, any such Witness and Exhibit Lists are to be filed with the Commission on or before noon on Thursday, November 13, 2014. When the Applicant files the Witness and Exhibit List, copies of the exhibits are to be left with the Commission so that they can be marked sequentially by the court reporter. While the Applicant may retain its oversized exhibits, reduced copies must be made available to the Commission on or before noon on Thursday, November 13, 2014. Documents or exhibits not identified on Applicant's Exhibit List may not be introduced into the record by Applicant.

#### f. <u>Request for Official Notice</u>.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Thursday, November 13, 2014.

#### g. <u>Issuance of Subpoenas</u>.

The Applicant should submit proposed subpoenas to be issued by the Commission no later than the time that the Witness and Exhibit Lists are filed so that they can be issued pursuant to Indiana Code section 4-21.5-3-22. Subpoenas shall be issued on the signature of the Chair, or on the signature of the Vice Chairman in the event that the Chair is unavailable.

#### III. Matters Relating to the Conduct of the Hearing.

#### a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application for Registration. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate, directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or any individual who has a direct or indirect interest in the outcome of the proceeding. Such communications are prohibited and are referred to as "ex parte communications." Additionally, while a Commission Member may communicate separately with another Commission Member and may receive aid from members of the Commission's Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record. If the Commission receives an ex parte communication in violation of this statute, please contact counsel for the Commission so that an appropriate public disclosure can be prepared pursuant to the Administration Orders and Procedures Act. In appropriate circumstances a Commission Member receiving or otherwise participating in such a prohibited communication can be disqualified from acting further on the Application before the Commission.

Additionally, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and the members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and to make a decision on the Application.

#### b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to insure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

#### c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its report (see § II.d. supra) at the hearing.

#### d. <u>Application Hearing Time Schedule</u>.

The maximum time allotted for each part of the hearing is as follows:

Opening Statement of Applicant

Up to 10 minutes

Oral Presentation by Applicant

Up to 15 minutes

Testimony of Commission Staff

Up to 10 minutes

Testimony of Interested Persons

And Organizations

Up to 10 minutes

Rebuttal and Final Statement

Up to 5 minutes

#### e. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

#### f. Commission Free to Ask Questions.

During the hearing on Applicant's presentation, any Commissioner, the Commission's counsel or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

#### g. <u>Individuals Requesting Time to Speak to the Application</u>.

A sign-up sheet will be made available on the date of the hearing for those interested in speaking during the time allotted for Testimony of Interested Persons and Organizations. An appropriate amount of time will be determined by the Chair at the hearing with consideration of the number of individuals who wish to speak and the total amount of time available in which to do so.

#### IV. Notice of Pre-Hearing Order.

This Notice of Hearing and Pre-Hearing Order will be served within nine days of its issuance, by first class mail, to the individuals and organizations identified on the list which is attached and identified as Exhibit "A".

The Applicant is advised that if it fails to attend or participate in the scheduled hearing, or any other stage of the proceeding, the proceeding may be dismissed pursuant to Indiana Code section 4-21.5-3-24.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 30<sup>th</sup> day of October, 2014.

\*\*Thomas L. Watheren\*\*

Thomas Weatherwax, Chair

On Behalf of the Indiana Horse Racing Commission

#### **CERTIFICATE OF SERVICE**

I hereby certify that the a copy of the foregoing has been served upon the following parties by first class United States mail, postage prepaid, this 30<sup>th</sup> day of October, 2014.

Thomas K. Weatherwax 3012 Woodland Drive Logansport, IN 46947

Greg Schenkel 1046 Carter's Grove Indianapolis, IN 46260

George E. Pillow, Jr. 4005 Vincennes Road Indianapolis, IN 46268

Susie Lightle 11582 N. 980 W. Greenfield, IN 46143 William D. McCarty 4965 W. Woodland Dr. Bloomington, IN 47404

Joe Gorajec, Executive Director INDIANA HORSE RACING COMMISSION 1302 N. Meridian, Suite 175 Indianapolis, IN 46202Herb Likens ITOBA President 7609 West 300 North Anderson, IN 46011

Christina Lawton 351 South U.S. Hwy. 31 Seymour, IN 47274

Hally C. Javell

## **EXHIBIT A**

#### **STAKEHHOLDERS**

Brian Elmore

Tom Mosley

Mike Brown

Chris Duke

**Eddy Martin** 

Darlene Likens

Dave Redlin

Horse Organization (Hartman)

Jack Kinninger

Joe Davis

John Schaub

Jonathan B. Schuster

Kate Hammer

Christina Lawton

Michelle Collins

Rick Moore

Young and Young

#### STATE OF INDIANA BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:		2014 OCI 30 A 4: 37
2015 Application For Registration of Indiana	)	CANADA ROLLIA PARIMA COMIN
Horsemen's Benevolent & Protective Association, Inc.	)	
Pursuant to 71 IAC 13-1-1 et seq.	)	

#### NOTICE OF HEARING AND PRE-HEARING ORDER ON 2015 APPLICATION FOR REGISTRATION OF INDIANA HORSEMEN'S BENEVOLENT & PROTECTIVE ASSOCIATION PURSUANT TO 71 IAC 13-1-1 ET SEQ.

This matter comes before the Indiana Horse Racing Commission (hereinafter "the Commission") on an Application for Approval as a Registered Horsemen's Association Pursuant to 71 IAC 13-1-1 *et seq.* for the calendar year 2015 [hereinafter "the Application"], submitted by the Indiana Horsemen's Benevolent & Protective Association, Inc. (hereinafter "the Applicant" or "IHBPA") on or about September 3, 2014. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*:

#### **NOTICE OF HEARING**

The Indiana Horse Racing Commission will hold a hearing on Monday, November 17, 2014, at 9:00 a.m., <u>or</u> as soon as this matter advances on the Commission's agenda during its regularly scheduled meeting which will commence at 9:00 a.m. in the Indiana State Library, The Authors Room (Room 203), 315 W. Ohio St., Indianapolis, Indiana 46204. The Hearing will relate to the following Application that was filed with the Commission on or about September 3, 2014:

2015 Application for Registration of Indiana Horsemen's Benevolent & Protective Association, Inc. Pursuant to 71 IAC 13-1-1 *et seq*.

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Indiana Horse Racing Commission; an opportunity for the Commission to ask questions of any party representatives and witnesses who may testify at the Hearing; and an opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Indiana Horse Racing Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-35-7-12, 71 IAC sections 13-1-1- et seq. and Indiana Code sections 4-21.5-3-1 et seq. All members of the Indiana Horse Racing Commission intend to act as the Administrative Law Judge for the Hearing. The members include: Thomas Weatherwax, Chairman; Greg Schenkel, Vice Chairman; George Pillow, Susie Lightle, and Bill McCarty, Members. Lea Ellingwood, Esq. is and will act as legal counsel to the Indiana Horse Racing Commission during these proceedings. Joe Gorajec, Executive Director, will also appear for the Indiana Horse Racing Commission Staff. Holly Newell, Esq. is and will act as legal counsel to the IHRC Staff during these proceedings. Ms. Ellingwood may be contacted for

information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 North Meridian, Suite 175, Indianapolis, Indiana 46204 (tel. no. (317) 233-3119).

#### PRE-HEARING ORDER

The Commission, by its Chairman, Thomas Weatherwax, pursuant to Indiana Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

#### I. <u>Purpose of the Hearing on Applicant's Petition</u>.

The Commission will consider IHBPA's 2015 Application for Registration as a Registered Horsemen's Association Pursuant to 71 IAC sections 13-1-1 *et seq*. filed with the Commission on or about September 3, 2014, requesting Commission approval to serve as the registered horsemen's association for the calendar year 2015 to receive the monies specified by Indiana Code section 4-35-7-12 to be distributed for the benefit of thoroughbred owners and trainers.

#### II. Application Process Time Line.

#### a. <u>Hearing Date and Time</u>.

The hearing will commence at the Indiana State Library, The Authors Room (Room 203), 315 W. Ohio St., Indianapolis, Indiana, on Monday, November 17, 2014, at 9:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting commencing at 9:00 a.m. EST. This matter will be heard and will continue, with appropriate recesses until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

# b. <u>Incorporation by Reference of IHBPA's 2010, 2011, 2012, 2013, and 2014 Applications and Related Materials.</u>

The Application incorporates by reference any documents submitted, considered or generated with respect to IHBPA's 2010-2013 Applications for Registration, including, but not limited the Amended Commission Staff Report. Note: No substantive changes to the Application made after Friday, November 7, 2014 will be considered as part of the Application.

#### c. Report of the Commission Staff.

The Commission Staff will review the Application and related information provided and may submit a Staff Report on the Application, which would be distributed to the Applicant, on or before the close of business on Wednesday, November 12, 2014.

#### d. Exhibits the Commission Proposes to Make a Part of the Record.

A list of exhibits which the Commission intends to make a part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Thursday, November 13, 2014. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application, any supplements thereto timely filed by the Applicant and any Staff Report issued by the Commission Staff. It should also be understood that the Commission will take official notice of any records of prior related hearings (including Final Orders) involving the Applicant, pursuant to Indiana Code section 4-21.5-3-26(c). The Applicant must notify the Commission in writing of any exhibits to which it objects on or before noon on Thursday, November 13, 2014. If no objection is timely made, all specified exhibits will be made a part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

#### e. Witness and Exhibits Lists of Applicant.

Unless the Applicant intends to submit an additional filing or supplement to the Application, no Witness List is contemplated. In the event that the Applicant does submit an additional filing, or otherwise determines that witness testimony is necessary, any such Witness and Exhibit Lists are to be filed with the Commission on or before noon on Thursday, November 13, 2014. When the Applicant files the Witness and Exhibit List, copies of the exhibits are to be left with the Commission so that they can be marked sequentially by the court reporter. While the Applicant may retain its oversized exhibits, reduced copies must be made available to the Commission on or before noon on Thursday, November 13, 2014. Documents or exhibits not identified on Applicant's Exhibit List may not be introduced into the record by Applicant.

#### f. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Thursday, November 13, 2014.

#### g. <u>Issuance of Subpoenas</u>.

The Applicant should submit proposed subpoenas to be issued by the Commission no later than the time that the Witness and Exhibit Lists are filed so that they can be issued pursuant to Indiana Code section 4-21.5-3-22. Subpoenas shall be issued on the signature of the Chair, or on the signature of the Vice Chairman in the event that the Chair is unavailable.

#### III. Matters Relating to the Conduct of the Hearing.

#### a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application for Registration. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate, directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or any individual who has a direct or indirect interest in the outcome of the proceeding. Such communications are prohibited and are referred to as "ex parte communications." Additionally, while a Commission Member may communicate separately with another Commission Member and may receive aid from members of the Commission's Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record. If the Commission receives an ex parte communication in violation of this statute, please contact counsel for the Commission so that an appropriate public disclosure can be prepared pursuant to the Administration Orders and Procedures Act. In appropriate circumstances a Commission Member receiving or otherwise participating in such a prohibited communication can be disqualified from acting further on the Application before the Commission.

Additionally, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and the members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and to make a decision on the Application.

#### b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to insure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

#### c. <u>Staff Review and Presentation of Findings.</u>

The Commission Staff is conducting a review of the Application and may speak to its report (see § II.d. supra) at the hearing.

#### d. <u>Application Hearing Time Schedule</u>.

The maximum time allotted for each part of the hearing is as follows:

Opening Statement of Applicant

Up to 10 minutes

Oral Presentation by Applicant

Up to 15 minutes

Testimony of Commission Staff

Up to 10 minutes

Testimony of Interested Persons

And Organizations

Up to 10 minutes

Rebuttal and Final Statement

Up to 5 minutes

#### e. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

#### f. Commission Free to Ask Questions.

During the hearing on Applicant's presentation, any Commissioner, the Commission's counsel or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

#### g. <u>Individuals Requesting Time to Speak to the Application.</u>

A sign-up sheet will be made available on the date of the hearing for those interested in speaking during the time allotted for Testimony of Interested Persons and Organizations. An appropriate amount of time will be determined by the Chair at the hearing with consideration of the number of individuals who wish to speak and the total amount of time available in which to do so.

#### IV. <u>Notice of Pre-Hearing Order</u>.

This Notice of Hearing and Pre-Hearing Order will be served within nine days of its issuance, by first class mail, to the individuals and organizations identified on the list which is attached and identified as Exhibit "A".

The Applicant is advised that if it fails to attend or participate in the scheduled hearing, or any other stage of the proceeding, the proceeding may be dismissed pursuant to Indiana Code section 4-21.5-3-24.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 30<sup>th</sup> day of October, 2014.

Thomas Weatherwax, Chair

On Behalf of the Indiana Horse Racing Commission

#### **CERTIFICATE OF SERVICE**

I hereby certify that the a copy of the foregoing has been served upon the following parties by first class United States mail, postage prepaid, this 30<sup>th</sup> day of October, 2014.

Thomas K. Weatherwax 3012 Woodland Drive Logansport, IN 46947

Greg Schenkel 1046 Carter's Grove Indianapolis, IN 46260

George E. Pillow, Jr. 4005 Vincennes Road Indianapolis, IN 46268

Susie Lightle 11582 N. 980 W. Greenfield, IN 46143

William D. McCarty 4965 W. Woodland Dr. Bloomington, IN 47404

Joe Gorajec, Executive Director INDIANA HORSE RACING COMMISSION 1302 N. Meridian, Suite 175 Indianapolis, IN 46202 Steven C. Shockley, Esq.
TAFT STETTINIUS & HOLLISTER LLP
One Indiana Square, Suite 3500
Indianapolis, IN 46204

Joseph Davis IHBPA President 1682 Asher Ct. Hebron, IN 41048

Mike Brown IHBPA Executive Director 5957 Fall Creek Drive Middletown, IN 47356

Geoffrey Slaughter IHBPA Registered Agent One Indiana Square, Suite 3500 Indianapolis, IN 46204

Holly C. Jewell

## **EXHIBIT A**

#### **STAKEHHOLDERS**

Brian Elmore

Tom Mosley

Mike Brown

Chris Duke

Eddy Martin

Darlene Likens

Dave Redlin

Horse Organization (Hartman)

Jack Kinninger

Joe Davis

John Schaub

Jonathan B. Schuster

Kate Hammer

Christina Lawton

Michelle Collins

Rick Moore

Young and Young

#### STATE OF INDIANA BEFORE THE INDIANA HORSE RACING COMMISSION

IN DE		2014 OCT 30 A 4: 18
IN RE:		
2015 Application For Registration of	)	医三氯磺 接着是高级的第三人称单
Indiana Standardbred Association	)	
Pursuant to 71 IAC 13-1-1 et seg.	)	

# NOTICE OF HEARING AND PRE-HEARING ORDER ON 2015 APPLICATION FOR REGISTRATION OF INDIANA STANDARDBRED ASSOCIATION PURSUANT TO 71 IAC 13-1-1 ET SEQ.

This matter comes before the Indiana Horse Racing Commission (hereinafter "the Commission") on an Application for Approval as a Registered Horsemen's Association Pursuant to 71 IAC 13-1-1 *et seq.* for the calendar year 2015 [hereinafter "the Application"], submitted by the Indiana Standardbred Association (hereinafter "the Applicant" or "ISA") on or about September 3, 2014. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*:

#### **NOTICE OF HEARING**

The Indiana Horse Racing Commission will hold a hearing on Monday, November 17, 2014, at 9:00 a.m., <u>or</u> as soon as this matter advances on the Commission's agenda during its regularly scheduled meeting which will commence at 9:00 a.m. in the Indiana State Library, The Authors Room (Room 203), 315 W. Ohio St., Indianapolis, Indiana 46204. The Hearing will relate to the following Application that was filed with the Commission on or about September 3, 2014:

2015 Application for Registration of Indiana Standardbred Association, Pursuant to 71 IAC 13-1-1 et seq.

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Indiana Horse Racing Commission; an opportunity for the Commission to ask questions of any party representatives and witnesses who may testify at the Hearing; and an opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Indiana Horse Racing Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-35-7-12, 71 IAC sections 13-1-1- et seq. and Indiana Code sections 4-21.5-3-1 et seq. All members of the Indiana Horse Racing Commission intend to act as the Administrative Law Judge for the Hearing. The members include: Thomas Weatherwax, Chairman; Greg Schenkel, Vice Chairman; George Pillow, Susie Lightle, and Bill McCarty, Members. Lea Ellingwood, Esq. is and will act as legal counsel to the Indiana Horse Racing Commission during these proceedings. Joe Gorajec, Executive Director, will also appear for the Indiana Horse Racing Commission Staff. Holly Newell, Esq. is and will act as legal counsel to the IHRC Staff during these proceedings. Ms. Ellingwood may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing

Commission, 1302 North Meridian, Suite 175, Indianapolis, Indiana 46204 (tel. no. (317) 233-3119).

#### PRE-HEARING ORDER

The Commission, by its Chairman, Thomas Weatherwax, pursuant to Indiana Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

#### I. Purpose of the Hearing on Applicant's Petition.

The Commission will consider ISA's 2015 Application for Registration as a Registered Horsemen's Association Pursuant to 71 IAC sections 13-1-1 *et seq.* filed with the Commission on or about September 3, 2014, requesting Commission approval to serve as the registered horsemen's association for the calendar year 2015 to receive the monies specified by Indiana Code section 4-35-7-12 to be distributed for the benefit of thoroughbred owners and trainers.

#### II. <u>Application Process Time Line</u>.

#### a. <u>Hearing Date and Time</u>.

The hearing will commence at the Indiana State Library, The Authors Room (Room 203), 315 W. Ohio St., Indianapolis, Indiana, on Monday, November 17, 2014, at 9:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting commencing at 9:00 a.m. EST. This matter will be heard and will continue, with appropriate recesses until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehen discussion during the deliberation with the Applicant or other interested parties.

## b. <u>Incorporation by Reference of ISA's 2010, 2011, 2012, 2013, and 2014 Applications and Related Materials.</u>

The Application incorporates by reference any documents submitted, considered or generated with respect to I's 2010-2013 Applications for Registration. NOTE: No substantive changes to the Application made after Friday, November 7, 2014 will be considered as part of the Application.

#### c. Report of the Commission Staff.

The Commission Staff will review the Application and related information provided and may submit a Staff Report on the Application, which would be distributed to the Applicant, on or before the close of business on Wednesday, November 12, 2014.

#### d. <u>Exhibits the Commission Proposes to Make a Part of the Record.</u>

A list of exhibits which the Commission intends to make a part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Thursday, November 13, 2014. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application, any supplements thereto timely filed by the Applicant and any Staff Report issued by the Commission Staff. It should also be understood that the Commission will take official notice of any records of prior related hearings (including Final Orders) involving the Applicant, pursuant to Indiana Code section 4-21.5-3-26(c). The Applicant must notify the Commission in writing of any exhibits to which it objects on or before noon on Thursday, November 13, 2014. If no objection is timely made, all specified exhibits will be made a part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

#### e. Witness and Exhibits Lists of Applicant.

Unless the Applicant intends to submit an additional filing or supplement to the Application, no Witness List is contemplated. In the event that the Applicant does submit an additional filing, or otherwise determines that witness testimony is necessary, any such Witness and Exhibit Lists are to be filed with the Commission on or before noon on Thursday, November 13, 2014. When the Applicant files the Witness and Exhibit List, copies of the exhibits are to be left with the Commission so that they can be marked sequentially by the court reporter. While the Applicant may retain its oversized exhibits, reduced copies must be made available to the Commission on or before noon on Thursday, November 13, 2014. Documents or exhibits not identified on Applicant's Exhibit List may not be introduced into the record by Applicant.

#### f. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Thursday, November 13, 2014.

#### g. <u>Issuance of Subpoenas</u>.

The Applicant should submit proposed subpoenas to be issued by the Commission no later than the time that the Witness and Exhibit Lists are filed so that they can be issued pursuant to Indiana Code section 4-21.5-3-22. Subpoenas shall be issued on the signature of the Chair, or on the signature of the Vice Chairman in the event that the Chair is unavailable.

#### III. Matters Relating to the Conduct of the Hearing.

#### a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application for Registration. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate, directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or any individual who has a direct or indirect interest in the outcome of the proceeding. Such communications are prohibited and are referred to as "ex parte communications." Additionally, while a Commission Member may communicate separately with another Commission Member and may receive aid from members of the Commission's Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record. If the Commission receives an ex parte communication in violation of this statute, please contact counsel for the Commission so that an appropriate public disclosure can be prepared pursuant to the Administration Orders and Procedures Act. In appropriate circumstances a Commission Member receiving or otherwise participating in such a prohibited communication can be disqualified from acting further on the Application before the Commission.

Additionally, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and the members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and to make a decision on the Application.

#### b. <u>Hearing to be Conducted under Oath.</u>

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to insure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

#### c. <u>Staff Review and Presentation of Findings.</u>

The Commission Staff is conducting a review of the Application and may speak to its report (see § II.d. supra) at the hearing.

#### d. <u>Application Hearing Time Schedule</u>.

The maximum time allotted for each part of the hearing is as follows:

Opening Statement of Applicant

Up to 10 minutes

Oral Presentation by Applicant

Up to 15 minutes

Testimony of Commission Staff

Up to 10 minutes

Testimony of Interested Persons

And Organizations

Up to 10 minutes

Rebuttal and Final Statement

Up to 5 minutes

#### e. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

#### f. Commission Free to Ask Questions.

During the hearing on Applicant's presentation, any Commissioner, the Commission's counsel or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

#### g. <u>Individuals Requesting Time to Speak to the Application</u>.

A sign-up sheet will be made available on the date of the hearing for those interested in speaking during the time allotted for Testimony of Interested Persons and Organizations. An appropriate amount of time will be determined by the Chair at the hearing with consideration of the number of individuals who wish to speak and the total amount of time available in which to do so.

#### IV. Notice of Pre-Hearing Order.

This Notice of Hearing and Pre-Hearing Order will be served within nine days of its issuance, by first class mail, to the individuals and organizations identified on the list which is attached and identified as Exhibit "A".

The Applicant is advised that if it fails to attend or participate in the scheduled hearing, or any other stage of the proceeding, the proceeding may be dismissed pursuant to Indiana Code section 4-21.5-3-24.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this  $30^{th}$  day of October, 2014.

Thomas Weatherwax, Chair

thomas K. Watherage

On Behalf of the Indiana Horse Racing Commission

#### **CERTIFICATE OF SERVICE**

I hereby certify that the a copy of the foregoing has been served upon the following parties by first class United States mail, postage prepaid, this 30<sup>th</sup> day of October, 2014.

Thomas K. Weatherwax 3012 Woodland Drive Logansport, IN 46947

Greg Schenkel 1046 Carter's Grove Indianapolis, IN 46260

George E. Pillow, Jr. 4005 Vincennes Road Indianapolis, IN 46268

Susie Lightle 11582 N. 980 W. Greenfield, IN 46143

William D. McCarty 4965 W. Woodland Dr. Bloomington, IN 47404

Joe Gorajec, Executive Director INDIANA HORSE RACING COMMISSION 1302 N. Meridian, Suite 175 Indianapolis, IN 46202 Roger A. Young, Esq. 40 W. Court St., Suite D Franklin, IN 46131

Jack Kieninger ISA President 737 West Green Meadows Drive Suite 300 Greenfield, IN 46140

Hally C. Newell

## **EXHIBIT A**

#### **STAKEHHOLDERS**

Brian Elmore

Tom Mosley

Mike Brown

Chris Duke

**Eddy Martin** 

Darlene Likens

Dave Redlin

Horse Organization (Hartman)

Jack Kinninger

Joe Davis

John Schaub

Jonathan B. Schuster

Kate Hammer

Christina Lawton

Michelle Collins

Rick Moore

Young and Young

# Ruling Log

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fines	Suspended From	Suspended To	Days Suspended
14595	8/1/2014	Yordanis Amaro	QH	7/8/2014	Conduct/behavior	\$500			
14596	8/1/2014	Edward Pryor	QH	8/1/2014	Conduct/behavior	\$250			
14594	8/1/2014	Aron Hunt	QH	7/8/2014	Conduct/behavior	\$500			
14593	8/1/2014	Noel Kucich	ТВ	9/13/2013	Restored-FP Rec'd				
14058	8/1/2014	Karlee Roach	SB	5/10/2014	Testosterone	\$500	8/5/2014	08/19/2014	15
14059	8/1/2014	Lewayne Miller	SB	7/19/2014	Whip-bleeding/welts		8/1/2014	08/05/2014	5
14060	8/1/2014	Jacob Graber	SB	7/29/2014	BGA - High Reading	Warning			
14061	8/1/2014	Peter Wrenn	SB	7/26/2014	Whip-indiscriminate	\$100			
14062	8/1/2014	Dan Shetler	SB	7/26/2014	Whip-indiscriminate	\$100			
14063	8/1/2014	James Dailey	SB	4/12/2014	Caffeine	\$500	8/2/2014	08/16/2014	15
14064	8/1/2014	James Dailey	SB	4/19/2014	Caffeine	\$500	8/17/2014	08/31/2014	15
14592	8/1/2014	Lola Warpool	ТВ	9/10/2013	Restored-FP Rec'd				
14598	8/2/2014	Larry Dunn	ТВ	8/1/2014	Trainer Responsibility	\$200			
14599	8/2/2014	Julee Rodriguez	ТВ	8/1/2014	Trainer Responsibility	\$100			
14597	8/2/2014	Chasity Walker	ТВ	8/1/2014	Trainer Responsibility	\$100			
14600	8/2/2014	Anthony Granitz	ТВ	5/28/2014	Dexamethasone	\$1000			
14066	8/2/2014	Allen Tomlinson	SB	5/22/2014	Testosterone	\$500	8/19/2014	09/02/2014	15
14065	8/2/2014	Allen Tomlinson	SB	5/8/2014	Testosterone	\$500	8/4/2014	08/18/2014	15
14601	8/5/2014	Clement Cook	ТВ	8/2/2014	Trainer Responsibility	\$200			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fines	Suspended From	Suspended To	Days Suspended
14603	8/6/2014	Armando Ayuso	ТВ	8/5/2014	Fail to Honor Ride	\$100			
14602	8/6/2014	Antonio Duran	ТВ	8/5/2014	Trainer Responsibility	\$200			
14606	8/7/2014	Shelley Moran	ТВ	8/5/2014	Riding Infraction		8/7/2014	08/09/2014	3
14607	8/7/2014	Kenneth Wirth	ТВ	8/6/2014	Trainer Responsibility	\$200			
14605	8/7/2014	Oriana Rossi	ТВ	8/5/2014	Riding Infraction		8/9/2014	08/13/2014	3
14604	8/7/2014	Juan Saez	ТВ	8/5/2014	Riding Infraction		8/12/2014	08/14/2014	3
14609	8/7/2014	Shanley Jackson	QH	8/11/2014	Riding Infraction		8/14/2014	08/16/2014	3
14608	8/11/2014	Salvador Rojas	QH	8/8/2014	Trainer Responsibility	\$100			
14610	8/12/2014	Francisco Torres	ТВ	8/7/2014	Whip-indiscriminate	\$100			
14613	8/15/2014	Wayne Minnock	ТВ	5/23/2014	Dexamathasone	\$1000			
14612	8/15/2014	Didiel Osorio	ТВ	8/14/2014	Late to Jocks Quarters	\$100			
14611	8/15/2014	James Fowler	ТВ	8/13/2014	Unprop Busi Manner	\$200			
14617	8/16/2014	Rhonda Gabberd	ТВ	8/1/2014	Cannabinoids Positive		8/15/2014	10/13/2014	60
14616	8/16/2014	Kimberly Hammond	ТВ	8/15/2014	Trainer Responsibility	\$200			
14614	8/16/2014	Emma Mulvey	ТВ	8/16/2014	Trainer Responsibility	\$750			
14615	8/16/2014	Randy Klopp	ТВ	8/16/2014	Trainer Responsibility	\$750			
14618	8/20/2014	Cheyanna Patrick	ТВ	8/15/2014	Riding Infraction		8/20/2014	08/23/2014	3
14621	8/20/2014	Sergio Cervantes	ТВ	8/19/2014	Late to Salix Barn	\$100			
14619	8/20/2014	Renze Collins	ТВ	8/16/2014	Fail Breathalyzer	\$100	8/16/2014	08/22/2014	7
14620	8/20/2014	Salvador Rojas	QH	8/19/2014	Late to Salix Barn	\$200			
14069	8/22/2014	Tyler Smith	SB	8/9/2014	FT-N-Stirp/Kicking	\$100			
14623	8/22/2014	Salvador Rojas	QH	6/28/2014	Clenbuterol	\$500	9/23/2014	10/07/2014	15
14622	8/22/2014	Tommy Short	ТВ	8/21/2014	Trainer Responsibility	\$750			
14068	8/22/2014	Luke Plano	SB	8/2/2014	Whip-indiscriminate	\$100			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fines	Suspended From	Suspended To	Days Suspended
14067	8/22/2014	Peter Wrenn	SB	8/20/2014	Starter Violation	\$100			
14070	8/22/2014	Tyler Smith	SB	8/9/2014	FT-N-Stirp/Kicking	\$300			
14624	8/26/2014	Mickel Norris	ТВ	5/28/2014	Summarily Suspended		8/26/2014	INDEFINITE	
14072	8/27/2014	Richard Macomber	SB	8/23/2014	Fail to Obey JDS/STW	\$100			
14073	8/27/2014	Richard Plano	SB	8/23/2014	Fail to Obey JDS/STW	\$100			
14071	8/27/2014	Jack Gray	SB	8/26/2014	Late Driver Change	\$50			
14074	8/28/2014	Brandon Bartley	SB	6/20/2010	F-Print Req.				
14625	9/3/2014	Harold Collins	QH	8/30/2014	Riding Infraction		9/6/2014	09/11/2014	3
14626	9/3/2014	Victor Olivo	QH	8/30/2014	Riding Infraction		9/5/2014	09/10/2014	3
14627	9/3/2014	Juan Guerrero	QH	8/30/2014	Fail to Obey JDS/STW	\$100			
14628	9/3/2014	Bob Bass	QH	9/3/2014	Change of Occupation				
14629	9/3/2014	Billy Norris	QH	9/2/2014	Trainer Responsibility	\$100			
14630	9/3/2014	Jonathan Vasquez	ТВ	8/31/2014	Summarily Suspended		9/3/2014	Indefinite	
14631	9/4/2014	Jeffery Yoder	ТВ	9/3/2014	Trainer Responsibility	\$200			
14075	9/5/2014	Larry Ferrari	SB	6/29/2002	Restored - Fine PD				
14632	9/6/2014	David Eastwood	QH	9/4/2014	Trainer Responsibility	\$200			
14633	9/6/2014	Mickel Norris	ТВ	8/26/2014	Summarily Suspended		9/5/2014	Indefinite	
14076	9/9/2014	Bobby Brower	SB	5/2/2014	Tripelennamine		10/19/2014	11/17/2014	30
14077	9/9/2014	Bradley Moffit	SB	5/3/2014	Sumarily Suspended		9/9/2014	Indefinite	
14078	9/9/2014	Charles Stewart	SB	4/19/2014	Tripelennamine	\$500	11/1/2014	11/15/2014	15
14634	9/9/2014	Orlando Mojica	ТВ	9/5/2014	Riding Infraction		9/11/2014	09/13/2014	3
14635	9/9/2014	Michael Maker	ТВ	9/3/2014	Trainer Responsibility	\$1000			
14636	9/10/2014	Juan Saez	ТВ	9/4/2014	Riding Infraction		9/13/2014	09/17/2014	3
14638	9/10/2014	George Trisler	ТВ	8/16/2014	Bute				

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fines	Suspended From	Suspended To	Days Suspended
14637	9/10/2014	Pavel Matejka	ТВ	9/9/2014	Trainer Responsibility	\$100			
14079	9/10/2014	Walter Haynes	SB	9/9/2014	Whip- bleeding/welts	\$500			
14080	9/10/2014	Bradley Hanners	SB	9/9/2014	Whip-bleeding/welts	\$500			
14639	9/11/2014	Julio Almanza	QH	6/25/2014	Clenbuterol	\$500	9/15/2014	09/29/2014	15
14640	9/13/2014	Jonathan Vasquez	ТВ	8/31/2014	Summarily Suspnd		9/13/2014	Indefinite	
14641	9/13/2014	Edward Scott	QH	5/30/2014	Clenbuterol	\$2500	9/12/2014	11/10/2014	60
14642	9/16/2014	Donna Hays	ТВ	5/20/2014	Restored-FP Rec'd				
14643	9/16/2014	Paul Holthus	ТВ	9/10/2014	Trainer Responsibility	\$1000			
14082	9/16/2014	Terry Cullipher	SB	9/10/2014	Whip-excessive	\$200			
14081	9/16/2014	Charles Conrad	SB	9/13/2014	Whip-indiscriminate	\$100			
14083	9/17/2014	Boby Brower	SB	9/16/2014	Whip-indiscriminate	\$100			
14644	9/19/2014	Oriana Rossi	ТВ	8/5/2014	Riding Infraction		9/20/2014	09/24/2014	5
14085	9/19/2014	Mark Evers	SB	6/5/2014	Tripelennamine	\$500	9/19/2014	10/03/2014	15
14084	9/19/2014	Ronnie Roberts	SB	6/2/2014	Restored-Misc.				
14646	9/20/2014	Ross Russell	ТВ	9/19/2014	Summarily Suspended		9/20/2014	Indefinite	
14647	9/20/2014	Richard Estvanko	ТВ	9/19/2014	Summarily Suspended		9/20/2014	Indefinite	
14645	9/20/2014	Gerald Bennett	ТВ	9/16/2014	Trainer Responsibility	\$1000			
14648	9/20/2014	Bryan Fraley	ТВ	9/19/2014	Restored-FT-Rec'd				
14086	9/23/2014	Zachary Spaulding	SB	9/23/2014	Change of Occupation				
14649	9/23/2014	Aaron West	ТВ	9/20/2014	Trainer Responsibility	\$100			
14650	9/24/2014	Michael Rone	ТВ	8/22/2014	Methylprednisolone	\$1500	9/28/2014	10/12/2014	15
14651	9/24/2014	Amanda Welch	QH	7/5/2014	Clenbuterol	\$500	9/20/2014	10/04/2014	15
14652	9/26/2014	Manuel Perez	ТВ	8/28/2014	Clenbuterol	\$500	9/29/2014	10/13/2014	15
14653	9/26/2014	Ricky Short	ТВ	7/16/2014	Dexamathasone	\$1000			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fines	Suspended From	Suspended To	Days Suspended
14654	9/26/2014	Shelby Weintraut	ТВ	9/26/2014	License Terminated				
14655	9/26/2014	Juan Saez	ТВ	9/4/2014	Riding Infraction		9/30/2014	10/02/2014	3
14656	9/27/2014	Samuel Bermudez	ТВ	9/26/2014	Whip-indiscriminate	\$200			
14657	9/30/2014	Alexis Wise	ТВ		License Terminated				
14658	10/2/2014	Richard Estvanko	ТВ	9/19/2014	Summarily Suspended			INDEFINITE	
14087	10/3/2014	Melissa Essig	SB	5/9/2014	Testosterone				
14090	10/3/2014	Melissa Essig	SB	6/27/2014	Testosterone				
14659	10/3/2014	Christina Estvanko	ТВ		Summarily Suspended				
14088	10/3/2014	Melissa Essig	SB	5/16/2014	Testosterone				
14089	10/3/2014	Melissa Essig	SB	6/20/2014	Testosterone				
14663	10/4/2014	Roy Moore	QH	9/13/2014	Methylprednisolone	\$1000			
14661	10/7/2014	Jonathan Feibel	ТВ		Restored-FP Rec'd			Restored	
14662	10/7/2014	Michael Maker	ТВ	10/4/2014	Trainer Responsibility	\$100			
14091	10/8/2014	Calvin Fabert	SB	6/11/2014	Bute				
14663	10/8/2014	Armando Ayuso	ТВ	9/24/2014	Riding Infraction		10/11/2014	10/15/2014	3
14664	10/8/2014	Vanessa Ryall	ТВ	10/1/2014	Riding Infraction		10/11/2014	10/15/2014	
14665	10/8/2014	Michelle Lovell	ТВ	10/7/2014	Trainer Responsibility	\$100			
14666	10/9/2014	Donna Taylor	ТВ	10/8/2014	Trainer Responsibility	\$100			
14667	10/10/2014	Michael Riordan	ТВ	5/26/2011	Restored-FP-Rec'd			Restored	
14094	10/11/2014	Edward Hensley	SB	10/11/2014	Fail to Obey JDS/STW	\$100			
14668	10/11/2014	Saul Perez	QH	10/10/2014	Trainer Responsibility	\$100			
14669	10/14/2014	Christina Estvanko	ТВ	10/2/2014	Summarily Suspended			Indefinite	
14092	10/15/2014	Ross Leonard	SB	10/8/2014	Whip-indiscriminate	\$100			
14093	10/15/2014	Edward Hensley	SB	10/9/2014	FT-N-Stirp/Kicking	\$100			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fines	Suspended From	Suspended To	Days Suspended
14095	10/15/2014	Richard Macomber	SB	10/11/2014	Fail to Obey JDS/STW	\$100			
14096	10/15/2014	Donald Harmon	SB	10/11/2014	Whip-excessive	\$500			
14097	10/15/2014	Donald Harmon	SB	10/11/2014	FT-N-Stirp/Kicking	\$200			
14098	10/15/2014	Charles Conrad	SB	10/15/2014	Whip-indiscriminate	\$100			
14099	10/17/2014	Pasko Vucinaj	SB	10/15/2014	BGA-High Reading	Warning			
14670	10/17/2014	Didiel Osorio	ТВ	10/14/2014	Riding Infraction	\$250			
14672	10/21/2014	Kate Hammer	ТВ	7/17/2014	Improper Salix	\$1000			
14674	10/21/2014	Marvin Johnson	ТВ	8/26/2014	Methylprednisolone	\$1000			
14673	10/21/2014	Randall Haffner	QH	9/13/2014	Methylprednisolone	\$1000			
14671	10/21/2014	Ralph Webb	QH	10/21/2014	License Terminated				
14675	10/22/2014	Alan White	ТВ	10/18/2014	Trainer Responsibility	\$100			
14100	10/22/2014	Tony Hall	SB	6/8/2002	Restored - Misc.				
14676	10/23/2014	Ross Russell	ТВ	9/20/2014	Summarily Suspended				
14103	10/24/2014	Peter Wrenn	SB	10/22/2014	Whip-indiscriminate	\$200			
14101	10/24/2014	Donald Harmon	SB	10/11/2014	FT-N-Stirp/Kicking	\$100			
14102	10/24/2014	Donald Harmon	SB	10/11/2014	Whip-excessive	\$200			
14677	10/24/2014	Juan Lagunes	ТВ	10/18/2014	Careless Riding		10/29/2014	10/31/2014	3
14104	10/24/2014	Richard Plano	SB	10/21/2014	Whip-indiscriminate	\$100			
14105	10/25/2014	Joseph Baliga	SB	6/10/2014	Vet. Rule Violation	\$1500			
14679	10/28/2014	Stanley Seagle	ТВ	9/9/2014	Dexamethasone	\$1000			
14678	10/28/2014	Aaron West	ТВ	9/11/2014	Flunixin	\$1000			
14680	10/28/2014	John Hasch	QH	10/25/2014	Trainer Responsibility	\$100			
14687	10/29/2014	Genaro Garcia	ТВ	7/12/2014	Dexamethasone	\$1000			
14682	10/29/2014	Efrain Bueno	QH	7/26/2014	Clenbuterol	\$500	10/29/2014	11/12/2014	15

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fines	Suspended From	Suspended To	Days Suspended
14683	10/30/2014	Armando Ayuso	ТВ	9/24/2014	Riding Infraction				3
14108	10/31/2014	Ronnie Roberts	SB	9/6/2014	Methylprednisolone	\$2500	11/3/2014	12/02/2014	30
14107	10/31/2014	Thomas Simmons	SB	7/16/2014	Triamcinolone Acetonide	\$1500	11/16/2014	11/30/2014	15
14106	10/31/2014	Thomas Simmons	SB	5/24/2014	Tripelennamine	\$500	11/1/2014	11/15/2014	15

## STATE OF INDIANA BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:		2014 SEP -9 A 11: 33
THE COMMISSION'S APPROVAL OF THE	)	ISLACIA HOLOT PAGNIS COP
PETITION OF CENTAUR HOLINGS, LLC,	)	THE SECTION CO.
NEW CENTAUR, LLC, HOOSIER PARK, LLC,	)	
CENTAUR ACQUISITION, LLC, INDIANA	)	
HORSEMEN'S BENEVOLENT & PROTECTIVE	)	
ASSOCIATION, INC., INDIANA	)	
STANDARDBRED ASSOCIATION, INC. AND	) SS	
QUARTER HORSE RACING ASSOCIATION	)	
OF INDIANA, INC.	)	
REQUESTING THAT THE COMMISSION	)	
APPROVE INITIAL DISTRIBUTION	)	
AGREEMENT	)	

#### VERIFIED NOTICE OF SUBSEQUENT TERM

COMES NOW John S. Keeler in his capacity as Chairman and Secretary of the SEA 609 Negotiating Committee and notifies the Commission as follows:

- 1. Section 2 of the Initial Distribution Agreement between Hoosier Park, LLC, Centaur Acquisition, LLC, Indiana Horsemen's Benevolent & Protective Association, Inc., Indiana Standardbred Association, Inc. and Quarter Horse Racing Association, Inc., which was dated September 26, 2013, filed with the Commission on September 27, 2013 and approved by the Commission on December 10, 2013 (IDA) provided for a term of one (1) year commencing on January 1, 2014 and ending on December 31, 2014 (Initial Term);
- 2. Section 2 of the IDA provided for an extended term of an additional seven (7) years if the requirements of Section 4 and the conditions of Section 5 of the IDA were satisfied (Extension Term). Neither the requirements of Section 4 or the conditions of Section 5 have been satisfied;
- 3. Section 2 of the IDA further provided that if the Initial Term was not extended by the Extension Term, then the term of the IDA would be automatically extended for a period of one (1) year following the Initial Term (Subsequent Term) provided that neither the Negotiating Committee of the Licensees or the Associations gave written notice of non-renewal prior to September 1<sup>st</sup> of the Initial Term;
- 4. No notice of non-renewal has been given or received by either the Licensees' or the Associations' Negotiating Committees; and

5. Since no notice of non-renewal has been timely given or received by September 1, 2014, the first Subsequent Term, without further action by the parties to the IDA or the Commission, will commence on January 1, 2015 and end of December 31, 2015.

I affirm under the penalties for perjury that the foregoing representations are true and correct to the best of my knowledge.

John S. Keeler, Chairman & Secretary Of the SEA 609 Negotiating Committee

### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing Verified Notice was served upon Lea Ellingwood, Esq., at 1302 N. Meridian, Suite 175, Indianapolis, Indiana 46202; Indiana Standardbred Association, 737 W. Meadows Drive, Greenfield, Indiana 46140; Roger Young, Esq., 40 West Court Street, Franklin, Indiana 46151; Indiana Horsemens' Benevolent & Protective Association, 32 Holloway Blvd., Brownsburg, Indiana 46112; Quarter Horse Racing Association, Inc., P.O. Box 254, Whiteland, Indiana 46184; Robin Babbitt, Esq., Ice Miller, LLP, One American Square, Suite 2900, Indianapolis, Indiana 46282; and Phil Bayt, Esq., Ice Miller, LLP, One American Square, Suite 2900, Indianapolis, Indiana 46282, this 8<sup>th</sup> day of September, 2014, by hand delivery.

John S/Keeler

John S. Keeler General Counsel Centaur, LLC 10 West Market Street Suite 200 Indianapolis, Indiana 46204

### November 14, 2014

### **AMENDED**

Via E-Mail

Mr. Joe Gorajec, Executive Director Indiana Horse Racing Commission 1302 N. Meridian Street, Ste. 175 Indianapolis, IN 46202

Re:

Amended Hoosier Park, LLC, Verified Application for Annual Permit Renewal and for approval of 2015 Race Dates

### Dear Joe:

Please consider this letter as Hoosier Park, LLC's (Hoosier Park) Application for Annual Permit Renewal and for approval of 2015 Race Dates.

This application is made pursuant to IC 4.31-5-2(a) and IC 4-31-5-9 as well as the following administrative rules: (1) 71 IAC 11-1-3(b); (2) 71 IAC 11-1-7; (3) 71 IAC 11-1-21 and (4) 71 IAC 2-9-1. In support thereof Hoosier Park states that:

- 1) Hoosier Park is an Indiana limited liability company;
- 2) Hoosier Park is the holder of a recognized meeting permit to conduct pari-mutuel horse racing at Hoosier Park, 4500 Dan Patch Circle, Anderson, Indiana 46013 and that the Indiana Horse Racing Commission (Commission) has previously approved Hoosier Park for the exclusive racing of Standardbred horses;
- 3) Hoosier Park is the owner of its racing plant with a physically connected clubhouse that displays for public inspection trophies, memorabilia and instructional materials depicting the history of horse racing and is available as a repository for the collections of the Indiana Harness Horse Hall of Fame; and
- 4) Hoosier Park proposes to conduct a total of 160 days of Standardbred racing, commencing on Friday, March 27, 2015, and concluding on Saturday, November 14, 2015. Racing will take place Tuesdays through Saturdays with a post time of 5:15 pm. The week of August 17, 2015, will be dark to accommodate horse racing at the Indiana State Fair. A calendar of race dates is attached hereto as Exhibit A and by reference made a part hereof.

Also, enclosed are fifteen (15) copies of this letter along with Hoosier Park's check in the amount of \$5,000.00 made payable to the Commission in payment of the annual non-refundable renewal fee. The Commission's past practice has been not to require a cashier's check.

Mr. Joe Gorajec November 14, 2014 Page 2

The undersigned verifies under the penalties of perjury that the foregoing representations are true and that Hoosier Park has satisfied all requirements for the renewal of its permit.

Respectfully submitted,

Hoosier Park, LLC

John S. Keeler

Vice President and General Counsel New Centaur, LLC, its Manager

cc: Brian Elmore (w/enclosure)

Rick Moore (w/enclosure)

Enclosure



## **Hoosier Park**

## 2015 160 Standardbred Days 5:15 Post Time

M	ar	ct

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16			19		
22		24	25	26	27	28
29	30	31				

April

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May

S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27		29	30
31						

June

S	M	T	W	T	F	S					
	1	2	3	4	5	6					
7	8	9	10	11	12	13					
14	15	16	17	18	19	20					
21	22	23	24	25	26	27					
28	29	30									

July

oury						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August

S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October

S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November

S	M	T	W	T	F	S			
1	2	3	4	5	6	7			
8	9	10	11	12	13	14			
15	16	17	18	19	20	21			
22	23	24	25	26	27	28			
29	30								

Rev. 11/14/14 TMB

October 24, 2014

2014 OCT 30 P 3 24 H. Pata district making copin

Mr. Joe Gorajec, Executive Director Indiana Horse Racing Commission 1302 N. Meridian Street, Ste 175 Indianapolis, IN 46202

Re:

Hoosier Park, LLC - Indianapolis OTB

Request for Renewal of License/2015 Simulcast Dates Request

Dear Joe,

Pursuant to 71 IAC 12-1-23 and 71 IAC 12-1-10, Hoosier Park, LLC (Hoosier Park) doing business as Winner's Circle Pub-Grille-OTB at 20 North Pennsylvania, Indianapolis, Indiana (Indianapolis) hereby submits its proposed simulcasting dates at Fort Wayne in 2015, as follows:

- Simulcast of races up to seven (7) days and seven (7) nights a week during calendar year 2015, and
- Calendars outlining the simulcast schedule to be submitted to the Commission on a monthly basis during calendar year 2015.

It is Hoosier Park's understanding that this submission of proposed simulcast dates for 2015, the request for conditional approval of the simulcast dates pursuant to 71 IAC 12-1-9 and the representation that there have been no material changes in the information previously submitted to the Commission for Indianapolis' license application, shall constitute the annual license renewal, in accordance with 71 IAC 12-1-3(b) and 71 IAC 12-1-23. We believe that the requested dates will have a positive impact upon Hoosier Park's purses and will provide a wide variety of products to the patrons, which, in turn, should maximize the revenue to the State of Indiana.

If you need any additional information, please do not hesitate to contact me.

Sincerely,

Hoosier Park, LLC

John S. Keeler

Vide President & General Counsel of

New Centaur, LLC, its Manager

cc:

Brian Elmore

Rick Moore

October 24, 2014

Mr. Joe Gorajec, Executive Director Indiana Horse Racing Commission 1302 N. Meridian Street, Ste 175 Indianapolis, IN 46202 2014 OCT 30 P 3 22

A SHARI HOUSE PASSACTION

Re:

Hoosier Park, LLC - Merrillville OTB

Request for Renewal of License/2015 Simulcast Dates Request

Dear Joe,

Pursuant to 71 IAC 12-1-23 and 71 IAC 12-1-10, Hoosier Park, LLC (Hoosier Park) operating as Merrillville OTB at 7610 Broadway, Merrillville, Indiana (Merrillville) hereby submits its proposed simulcasting dates at Merrillville in 2015, as follows:

- Simulcast of races up to seven (7) days and seven (7) nights a week during calendar year 2015, and
- Calendars outlining the simulcast schedule to be submitted to the Commission on a monthly basis during calendar year 2015.

It is Hoosier Park's understanding that this submission of proposed simulcast dates for 2015, the request for conditional approval of the simulcast dates pursuant to 71 IAC 12-1-9 and the representation that there have been no material changes in the information previously submitted to the Commission for Merrillville's license application, shall constitute the annual license renewal, in accordance with 71 IAC 12-1-3(b) and 71 IAC 12-1-23. We believe that the requested dates will have a positive impact upon Hoosier Park's purses and will provide a wide variety of products to the patrons, which, in turn, should maximize the revenue to the State of Indiana.

If you need any additional information, please do not hesitate to contact me.

Sincerely,

Hoosier Park, LLC

John/S. Keeler

Vice President & General Counsel of

New Centaur, LLC, its Manager

cc:

Brian Elmore

Rick Moore

2814 OCT 30 P 3 19

HOW HAVE THE COME

October 24, 2014

Mr. Joe Gorajec, Executive Director Indiana Horse Racing Commission 1302 N. Meridian Street, Ste 175 Indianapolis, IN 46202

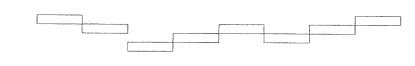
Re: Hoosier Park, LLC – Fort Wayne OTB
Request for Renewal of License/2015 Simulcast Dates Request

Dear Joe,

Pursuant to 71 IAC 12-1-23 and 71 IAC 12-1-10, Hoosier Park, LLC (Hoosier Park) operating as Fort Wayne OTB at 1820 West Washington Center Road, Fort Wayne, Indiana (Fort Wayne) hereby submits its proposed simulcasting dates at Fort Wayne in 2015, as follows:

- Simulcast of races up to seven (7) days and seven (7) nights a week during calendar year 2015, and
- Calendars outlining the simulcast schedule to be submitted to the Commission on a monthly basis during calendar year 2015.

It is Hoosier Park's understanding that this submission of proposed simulcast dates for 2015, the request for conditional approval of the simulcast dates pursuant to 71 IAC 12-1-9 and the representation that there have been no material changes in the information previously submitted to the Commission for Fort Wayne's license application\*, shall constitute the annual license renewal, in accordance with 71 IAC 12-1-3(b) and 71 IAC 12-1-23. We believe that the requested dates will have a positive impact upon Hoosier Park's purses and will provide a wide variety of products to the patrons, which, in turn, should maximize the revenue to the State of Indiana.



Mr. Joe Gorajec October 24, 2014 Page 2

If you need any additional information, please do not hesitate to contact me.

Sincerely,

Hoosier Park, LLC

John S Keeler

Vice President & General Counsel of

New Centaur, LLC, its Manager

cc: Brian Elmore

Rick Moore

\*Please note that pursuant to prior orders of the Commission, the location of license shall change to 1304 Minnich Road, New Haven, Indiana 46774 and it will do business under the assumed name of Winners Circle Brew Pub and Off Track Betting.

October 30, 2014

2014 OCT 31 A 10: 58

. TANA 168 BEARROAD COM

### **AMENDED**

Mr. Joe Gorajec, Executive Director Indiana Horse Racing Commission 1302 N. Meridian Street, Ste. 175 Indianapolis, IN 46202

Re:

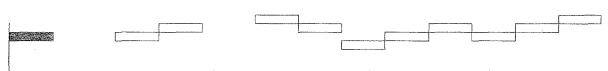
Centaur Acquisition, LLC, Verified Application for Annual Permit Renewal and for approval of 2015 Race Dates

Dear Joe,

Please consider this letter as Centaur Acquisition, LLC's (Grand) Application for Annual Permit Renewal and for approval of 2015 Race Dates.

This application is made pursuant to IC 4.31-5-2(a) and IC 4-31-5-9 as well as the following administrative rules: (1) 71 IAC 11-1-3(b); (2) 71 IAC 11-1-7; (3) 71 IAC 11-1-21 and (4) 71 IAC 2-9-1. In support thereof Grand states that:

- 1) Grand is an Indiana limited liability company;
- 2) Grand is the holder of a recognized meeting permit to conduct pari-mutuel horse racing at Grand, 4200 N. Michigan Road, Shelbyville, Indiana 46176 and that the Indiana Horse Racing Commission (Commission) has previously approved Grand for the exclusive racing of Quarter Horse and Thoroughbred horses;
- 3) Grand is the owner of its racing plant with a physically connected clubhouse that displays for public inspection trophies, memorabilia and instructional materials depicting the history of horse racing and is available as a repository for the collections of the Indiana Harness Horse Hall of Fame; and
- 4) Grand proposes to conduct a total of 120 days of Thoroughbred and Quarter Horse racing, commencing on Tuesday, April 21, 2015, and concluding on Saturday, October 31, 2015. Of the 120 race days, which are required by IC 4-31-7-7 if Grand is to enjoy unlimited simulcasting, 114 days will be devoted to Thoroughbred racing with occasional Quarter Horse racing and 6 days will be devoted exclusively to Quarter Horse contests. Racing will take place Tuesdays and Wednesdays with a post time of 2:05 pm and Fridays and Saturdays with a post time of 5:05 pm except there will be Thursday racing on July 16, September 17<sup>th</sup> and 24<sup>th</sup> and all Thursdays in October with a post time of 2:05 pm. A calendar of race dates is attached hereto as Exhibit A and by reference made a part hereof.



Mr. Joe Gorajec October 30, 2014 Page 2

Also, enclosed are fifteen (15) copies of this letter along with a cashier's check in the amount of \$5,000.00 made payable to the Commission in payment of the annual non-refundable renewal fee.

The undersigned verifies under the penalties of perjury that the foregoing representations are true and that Grand has satisfied all requirements for the renewal of its permit.

Respectfully submitted,

Centaur Acquisition, LLC

John S. Keeler

Vice President and General Counsel New Centaur, LLC, its Manager

cc: Brian Elmore (w/enclosure)

Jon Schuster (w/enclosure)

Enclosure



#### **APRIL** SUN MON TUE WED THUR FRI **SAT**

			JUNE			
SUN	MON	TUE	WED	THUR	FRI	SAT
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

	AUGUST								
SUN	SUN MON TUE WED THUR FRI SAT								
						1			
2	3	4	5	6	7	8			
9	10	11	12	13	14	15			
16	17	18	19	20	21	22			
23	24	25	26	27	28	29			
30	31								

OCTOBER						
SUN MON TUE WED THUR FRI SAT						
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

### EXHIBIT A

# 2015 LIVE RACING CALENDAR \*PROPOSED DATES ONLY

\*PENDING IHRC APPROVAL

MAY						
SUN	MON	TUE	WED	THUR	FRI	SAT
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

IIIIV						
	JULY					
SUN	MON	TUE	WED	THUR	FRI	SAT
			1	2	3	4
5	6	7	8	9	10	11
		Turf	Turf	Turf		
		Festival	Festival	Festival		
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

SEPTEMBER						
SUN	MON	TUE	WED	THUR	FRI	SAT
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October 24, 2014

2014 OCT 30 P 3 20

BEHAVE ADRIK RACHE CIRRE

Mr. Joe Gorajec, Executive Director Indiana Horse Racing Commission 1302 N. Meridian Street, Ste 175 Indianapolis, IN 46202

Re:

Centaur Acquisition, LLC - Clarksville OTB

Request for Renewal of License/2015 Simulcast Dates Request

Dear Joe,

Pursuant to 71 IAC 12-1-23 and 71 IAC 12-1-10, Centaur Acquisition, LLC (Centaur Acquisition) operating as Clarksville OTB at 650 Eastern Avenue, Clarksville, Indiana (Clarksville) hereby submits its proposed simulcasting dates at Clarksville in 2015, as follows:

- Simulcast of races up to seven (7) days and seven (7) nights a week during calendar year 2015, and
- Calendars outlining the simulcast schedule to be submitted to the Commission on a monthly basis during calendar year 2015.

It is Centaur Acquisition's understanding that this submission of proposed simulcast dates for 2015, the request for conditional approval of the simulcast dates pursuant to 71 IAC 12-1-9 and the representation that there have been no material changes in the information previously submitted to the Commission for Clarksville's license application, shall constitute the annual license renewal, in accordance with 71 IAC 12-1-3(b) and 71 IAC 12-1-23. We believe that the requested dates will have a positive impact upon Centaur Acquisition's purses and will provide a wide variety of products to the patrons, which, in turn, should maximize the revenue to the State of Indiana.

If you need any additional information, please do not hesitate to contact me.

Sincerely,

Centaur Acquisition, LLC

John/\$. Keeler

Vice President & General Counsel of

New Centaur, LLC, its Manager

cc:

Brian Elmore

Jon Schuster

71 IAC 1.5-1-52 "Maiden" defined

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 52. "Maiden" means a horse that has never won an official or recognized a race as defined

in this rule.

## TITLE 71 INDIANA HORSE RACING COMMISSION

## Readopted Final Rule LSA Document #14-403(F)

Readopts rules in anticipation of IC 4-22-2.5-2, providing that an administrative rule adopted under IC 4-22-2 expires January 1 of the seventh year after the year in which the rule takes effect unless the rule contains an earlier expiration date. Effective 30 days after filing with the Publisher.

71 IAC 6-1-4; 71 IAC 13-1-2; 71 IAC 13-1-4; 71 IAC 13-1-5; 71 IAC 13-1-6; 71 IAC 13-1-8; 71 IAC 13-1-9; 71 IAC 13-1-10; 71 IAC 13.5-4-1; 71 IAC 13.5-6-1; 71 IAC 14.5-2-1; 71 IAC 14.5-4-1

## SECTION 1. UNDER IC 4-22-2.5-4 THE FOLLOWING ARE READOPTED:

71 IAC 6-1-4	Excusing claimed horse
71 IAC 13-1-2	Registration criteria
71 IAC 13-1-4	Commission consideration of registration of horsemen's association
71 IAC 13-1-5	License of horsemen's association directors, officers, and
	employees
71 IAC 13-1-6	Expenditures of funds
71 IAC 13-1-8	Accounting, auditing, internal control, and reporting requirements
71 IAC 13-1-9	Bond
71 IAC 13-1-10	Violations and sanctions
71 IAC 13.5-4-1	Restricted races
71 IAC 13.5-6-1	Indiana bred weight allowance
71 IAC 14.5-2-1	Mare registration
71 IAC 14.5-4-1	Restricted races

## INDIANA GENERAL ASSEMBLY

Y User's Guide to the IR- Database

-IR- Database: Indiana Register

## TITLE 71 INDIANA HORSE RACING COMMISSION

### Notice of Intent to Readopt

LSA Document #14-403

Readopts rules in anticipation of <u>IC 4-22-2.5-2</u>, providing that an administrative rule adopted under <u>IC 4-22-2</u> expires January 1 of the seventh year after the year in which the rule takes effect unless the rule contains an earlier expiration date. Effective 30 days after filing with the Publisher.

**OVERVIEW:** Rules to be readopted without changes are as follows:

71 IAC 6-1-4	Excusing claimed horse
71 IAC 13-1-2	Registration criteria
71 IAC 13-1-4	Commission consideration of registration of
	horsemen's association
71 IAC 13-1-5	License of horsemen's association directors,
•	officers, and employees
71 IAC 13-1-6	Expenditure of funds
71 IAC 13-1-8	Accounting, auditing, internal control, and
•	reporting requirements
71 IAC 13-1-9	Bond
71 IAC 13-1-10	Violations and sanctions
71 IAC 13.5-4-1	Restricted races
71 IAC 13.5-6-1	Indiana bred weight allowance
71 IAC 14.5-2-1	Mare registration
71 IAC 14.5-4-1	Restricted races

Requests for any part of this readoption to be separate from this action must be made in writing within 30 days of this publication. Send written comments to the Small Business Regulatory Coordinator for this rule (see <u>IC 4-22-2-28.1</u>):

Lea Ellingwood General Counsel Indiana Horse Racing Commission 1302 North Meridian Street, Suite 175 Indianapolis, IN 46204 (317) 233-3119 lellingwood@hrc.in.gov

Statutory authority: <u>IC 4-31-3-9</u>; <u>IC 4-35-7-12</u>.

For purposes of <u>IC 4-22-2-28.1</u>, the Small Business Ombudsman designated by  $\underline{IC 4-4-35-8 is}$ :

Erik Scheub Office of Small Business and Entrepreneurship One North Capitol, Suite 600 Indianapolis, IN 46204 (317) 232-5679 ombudsman@osbe.in.gov

Resources available to regulated entities through the small business ombudsman include the ombudsman's duties stated in IC 4-4-35-8, specifically IC 4-4-35-8(9), investigating and attempting to resolve any matter regarding compliance by a small business with a law, rule, or policy administered by a state agency, either as a party to a proceeding or as a mediator.

Posted: 10/08/2014 by Legislative Services Agency

DIN: 20141008-IR-071140403RNA Composed: Nov 10,2014 12:06:39PM EST A PDF version of this document.