

Agenda item # 4 (b)

Listing by Classification

Class 1: Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) Schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids, psychoactive drugs, all DEA Schedule I* substances (see <http://www.usdoj.gov/dea/pubs/scheduling.html>), amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, meprobamate, pemoline, and pentylentetrazol.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
3,4-methylenedioxypropylvalerone	MDPV, "Bath Salts"	I	A
Alfentanil	Alfenta	I	A
Amphetamine		I	A
Amifridine	Leritine	I	A
Apomorphine		I	A
Benzylpiperazine (BZP)		I	A
Carfentanil		I	A
Cathinone		I	A
a-Cobratoxin		I	A
Cocaine		I	B
Codeine		I	A
DEA Schedule I (all)*		I	A
Dermorphin		I	A
Dextrometamide	Palfium, Narcolo	I	A
Diamorphine		I	A
Donepezil	Aricept	I	A
Endorphins		I	A
Enkephalins		I	A
Ethylmorphine	Dionin	I	A
Etorphine HCl	M99	I	A
Fentanyl	Sublimaze	I	A
Heroin		I	A
Hydrocodone (dihydrocodienone)	Hydcoane	I	A
Hydromorphone	Dilaudid	I	A
Hydroxyamphetamine	Paradrine	I	A
Levorphanol	Levo-Dremoran	I	A
Lofentanil		I	A
Mazindol	Sanorex	I	A
Meperidine	Demerol	I	A

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Mephentermine	Wyamine	I	A
Metaraminol	Aramine	I	A
Methadone	Dolophine	I	A
Methamphetamine	Desoxyn	I	A
Methaqualone	Quaalude	I	A
Methcathinone		I	A
Methylhexaneamine	Geranamine	I	A
Methylphenidate	Ritalin	I	A
Metopon (methyldihydromorphinone)		I	A
Morphine		I	B
Myo-inositol trisphosphate (ITPP)		I	A
Nikethamide	Coramine	I	A
Oxycodone	Percodan	I	A
Oxymorphone	Numorphan	I	A
Pemoline	Cylert	I	A
Pentylentetrazol	Metrazol, Nioric	I	A
Phenazocine	Narphen	I	A
Phencyclidine (PCP)	Sernylan	I	A
Phendimetrazine	Bontril, etc.	I	A
Phenmetrazine	Precludin	I	A
Picrotoxin		I	A
Piritramide		I	A
Remifentanyl	Ultiva	I	A
Strychnine		I	B
Sufentanil	Sufenta	I	A
Synthetic cannabis	Spice, K2, Kronic	I	A
Ziconotide		I	A

Listing by Classification (Continued)

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Accarbromal		2	A
Acetophenazine	Tindal	2	A
Adinazolam		2	A
Alclufenac		2	A
Alcuronium	Alloferin	2	A
Alphaprodine	Nisentil	2	A
Alpidem	Anaxyl	2	A
Alprazolam	Xanax	2	A
Althesin	Saffan	2	A
Amisulpride	Solian	2	A
Amitriptyline	Elavil, Amitril, Endep	2	A
Amobarbital	Amytal	2	A
Amoxapine	Asendin	2	A
Amperozide		2	A
Anilopam	Anisine	2	A
Aprobarbital	Alurate	2	A
Articaine	Septocaine; Ultracaine, etc.	2	A
Atomoxetine	Strattera	2	A
Atracurium	Tracrium	2	A
Azacylonol	Frenque	2	A
Azaperone	Stresnil, Suicalm, Fentaz (with Fentanyl)	2	A
Barbital	Veronal	2	A
Barbiturates		2	A
Bemegride	Megimide, Mikedimide	2	A
Benoxaprofen		2	A
Benperidol	Anquil	2	A
Bentazepam	Tiadipona	2	A
Benzacizine	Deprol, Bronchodilefren	2	A
Benzocetamine		2	A
Benzodiazepines		2	A
Benzonate	Tessalon, Tessalon Perles, Zonatuss	2	A
Benzphetamine	Didrex	2	A
Benztropine	Cogentin	2	A
Biripirone		2	A
Brimondine		2	A

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Bromazepam	Lexotan, Lectopam	2	A
Bromisovalum	Diffucord, etc.	2	A
Bromocriptine	Parlodel	2	A
Bromperidol		2	A
Brotizolam	Brotocol	2	A
Bupivacaine	Marcaine	2	A
Buprenorphine	Temgesic	2	A
Buspirone	Buspar	2	A
Buspropion	Wellbutrin	2	A
Butabarbital (Secbuto barbitone)	Butacaps, Butasol, etc.	2	A
Butalbital (Talbutal)	Fiornal	2	A
Butamifencaine	Hostacain	2	A
Butaperazine	Repoise	2	A
Butoctamide	Listomin	2	A
Caffeine		2	B
Camazepam	Paxor	2	A
Captodiamine	Covatine	2	A
Carbidopa + levodopa	Sinemet	2	A
Carbromol	Mifudorm	2	A
Carisoprodol	Soma, Rela	2	B
Carphenazine	Proketazine	2	A
Carpipramine	Prazinil	2	A
Carticaine (see articaine)	Septocaine; Ultracaine, etc.	2	A
Chloralose (Alpha-Chloralose)		2	A
Chloral betaine	Beta-Chlor	2	A
Chloral hydrate	Nactec, Oridrate, etc.	2	A
Chloraldehyde (chloral)		2	A
Chloridazepoxide	Librium	2	A
Chlormezanone	Trancopal	2	A
Chloroform		2	A
Chlorhexidol		2	A
Chlorprocaine	Nesacaine	2	A
Chlorproethazine	Newipleg	2	A
Chlorpromazine	Thorazine, Largactil	2	A
Chlorprothixene	Taractan	2	A
Citalopram	Celex	2	A
Clobazam	Urbanyl	2	A

Listing by Classification (Continued)

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Clocapramine		2	A
Clomethiazole (Chlormethiazole)		2	A
Clomipramine	Anafranil	2	A
Clonazepam	Klonopin	2	A
Clorazepate	Tranxene	2	A
Clothiapine	Enterin	2	A
Clofazepam	Trecalmo, Rize	2	A
Clozazolam	Enadel, Sepazon, Tolestan	2	A
Clozapine	Clozaril, Leponex	2	A
Conophone		2	A
Corticaine		2	A
Crotetamide	Ultracain	2	A
Cyanemazine	Tercian	2	A
Cyclobarbitol	Phanodorm	2	A
Darbepoetin	Aranesp	2	A
Decamethonium	Syncurine	2	A
Demoxepam		2	A
Desipramine	Norpromine, Pertofrane	2	A
Dezocine	Dalgan®	2	A
Diazepam	Valium	2	B
Dichlorophenazone	Febenol, Isocom	2	A
Diethylpropion	Tepanil, etc.	2	A
Diethylthiambutene	Themalon	2	A
Dihydrocodeine	Parcodin	2	A
Dilorazepam	Briantum	2	A
Diprenorphine	M50/50	2	A
Dixyrazine	Esucos	2	A
Dopamine	Intropin	2	A
Doxacurium	Nuromax	2	A
Doxapram	Dopram	2	A
Doxefazepam	Doxans	2	A
Doxepin	Adapin, Sinequan	2	A
Droperidol	Inapsine, Droleptan, Innovar-Vet (with Fentanyl)	2	A
Duloxetine		2	A
Enciprazine		2	A
Ephedrine		2	A
Epibatidine		2	A
Epinephrine		2	A
Ergoloid Mesylates (dihydroergocornine Mesylate, dihydroergocristine mesylate and dihydroergocryptine mesylate)	Hydergine	2	A
Erythropoietin (EPO)	Epogen, Procrif, etc.	2	A
Estazolam	Domnamid, Eurodin, Nuctalon	2	A
Ethamivan		2	A
Ethanol		2	A
Ethchlorvynol	Placidyl	2	A
Ethinamate	Valmid	2	A
Ethiopropazine	Parsidol	2	A
Ethylisobutrazine	Diquel	2	A
Etidocaine	Durane	2	A
Etifoxin	Stresam	2	A
Etiizolam	Depas, Pasaden	2	A
Etidroxizine	Indunox	2	A
Etomidate		2	A
Fenarbamate	Tynium	2	A
Fenclozic Acid	Cincopal	2	A
Fenfluramine	Pondimin	2	A
Fluamisonone	Sedalande	2	A
Fludiazepam	Erispam	2	A
Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2	A
Fluopromazine	Darkene, Hypnodorm	2	A
Fluoresone	Darkene, Hypnodorm	2	A
Fluoxetine	Prozac	2	A
Flupenthixol	Depixol, Fluansol	2	A

Listing by Classification (Continued)

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Fluphenazine	Prolixin, Permittil, Anatsensol, etc.	2	A
Flurazepam	Dalmane	2	A
Fluspirilene	Imap, Redeptin	2	A
Flutoprazepam	Restas	2	A
Fluvoxamine	Dumirox, Faverin, etc.	2	A
Gallantamine	Reminyl	2	A
Gallamine	Flaxedil	2	A
Gepirone		2	A
Glutethimide	Doriden	2	A
Halazepam	Paxipam	2	A
Haloperidol	Haldol	2	A
Haloxazolam	Somelin	2	A
Hemoglobin glutamers	Oxyglobin, Hemopure	2	A
Hexafluorenitium	Myalexen	2	A
Hexobarbital	Evipal	2	A
Homophenazine	Pelvichthol	2	A
Hydroxyzine	Atarax	2	B
Ibomal	Noctal	2	A
Imipramine	Imavate, Presamine, Tofranil	2	A
Isapirone		2	A
Isocarboxazid	Marplan	2	A
Isomethadone		2	A
Isoproterenol	Isoprel	2	A
Isoxicam	Maxicam	2	A
Ketamine	Ketalar, Ketaset, Vetalar	2	B
Ketazolam			
Lenperone	Anxon, Lafran, Solatran, Loftran	2	A
Levamisole			
Levomethorphan	Elanone-V	2	A
		2	B
		2	A

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Lidocaine	Xylocaine	2	B
Lithium	Lithizine, Duralith, etc.	2	A
Lobeline		2	A
Loflazepate, Ethyl	Vician	2	A
Loperamide	Imodium	2	A
Loprazolam	Dormonort, Havlane	2	A
Lorazepam	Ativan	2	A
Lormetazepam	Noctamid	2	A
Loxapine	Loxitane	2	A
Maprotiline	Ludiomil	2	A
Mebutamate	Axiten, Dormate, Capla	2	A
Meclofenoxate	Lucidril, etc.	2	A
Medazepam	Nobrium, etc.	2	A
Melperone	Euperan	2	A
Memantine	Namenda	2	A
Meparfynol	Oblivon	2	A
Mepazine	Pacatal	2	A
Mephexalone	Control, etc.	2	A
Mephénytoin	Mesantoin	2	A
Mephobarbital (Methylphenobarbital)	Mebaral	2	A
Mepivacaine	Carbocaine	2	B
Meprobamate	Equanil, Miltown	2	A
Mesoridazine	Serentil	2	A
Metaclozepam	Talis	2	A
Metazocine		2	A
Metharbital	Genonil	2	A
Methohexital	Brevital	2	A
Methotrimeprazine	Levoprome, Neurocil, etc.	2	A
Methyprylon	Noludar	2	A
Metocurine	Metubine	2	A
Metomidate	Hypnodil	2	A
Mexazolam	Melex	2	A
Midazolam	Versed	2	A
Mirtazepine	Remeron	2	A
Mivacurium	Mivacron	2	A
Modafinil	Provigil	2	A
Molindone	Moban	2	A

Listing by Classification (Continued)

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Moprone	Luvatren	2	A
Mosaprimine		2	A
Nalbuphine	Nubain	2	A
Nalorphine	Nalline, Lethidrone	2	A
Nefazodone	Serzone	2	A
Nimetazepam	Erimin	2	A
Nitrazepam	Mogadon	2	A
Nordazepam	Calmday, Nordaz, etc.	2	A
Norepinephrine		2	A
Nortriptyline	Aventyl, Pamelor	2	A
Olanzapine	Zyprexa	2	A
Oxazepam	Serax	2	A
Oxazolam	Serenal	2	A
Oxilofrine (hydroxyephedrine)		2	A
Oxyperitine	Forit, Integrin	2	A
Paliperidone		2	A
Pancuronium	Pavulon	2	A
Paraldehyde	Paral	2	A
Paroxetine	Paxil, Seroxat	2	A
Penfluridol	Cyperon	2	A
Pentobarbital	Nembutal	2	A
Perazine	Taxilan	2	A
Perflurodecolin		2	A
Perflurodecylhydronophthalene		2	A
Perflurooctylbromide		2	A
Perflurotriptylamine		2	A
Perflurocarbons		2	A
Pericitazine	Alodept, etc.	2	A
Perlapine	Hypnodin	2	A
Perphenazine	Trilafon	2	A
Phenaglycodol	Acalo, Alcamid, etc.	2	A
Phenelzine	Nardelzine, Nardil	2	A
Phenobarbital	Luminal	2	A
Phentermine	Iomamin	2	A
Pimindone	Alvodine, Cimadon	2	A
Pimozide	Orap	2	A
Pinazepam	Domar	2	A
Pipamperone	Dipiperon	2	A

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Pipecuronium	Arduan	2	A
Pipequaline		2	A
Piperacetazine	Psymod, Quidle	2	A
Piperocaine	Metycaine	2	A
Pipotiazine	Lonseren, Piportil	2	A
Pipradrol	Datari, Gerondyl, etc.	2	A
Piquindone		2	A
Prazepam	Verstran, Centrax	2	A
Prilocaine	Citanest	2	A
Prochlorperazine	Darbazine, Compazine	2	A
Propranolol		2	A
Propiomazine	Largon	2	A
Propionylpromazine	Tranvet	2	A
Propiralan		2	A
Propofol	Diprivan, Disoprivan	2	A
Propoxycaïne	Ravocaine	2	A
Prothipendyl	Dominal	2	A
Protriptyline	Concordin, Triptil	2	A
Proxibarbitol	Axeen, Centralgol	2	A
Pyrithyldione	Hybersulfan, Sonodor	2	A
Quazipam	Doral	2	A
Quetiapine	Seroquel	2	A
Racemethorphan		2	A
Racemorphan		2	A
Raclopride		2	A
Ractopamine	Paylean	2	A
Remoxipride	Roxiam	2	A
Reserpine	Serpasil	2	A
Rilmazafone		2	A
Risperidone		2	A
Ritanserin		2	A
Rivastigmine	Exelon	2	A
Rocuronium	Zemuron	2	A
Rofecoxib	Vioxx	2	A
Romifidine	Sedivet	2	B
Ropivacaine	Naropin	2	A
Secobarbital (Quinalbarbitone)	Seconal	2	A
Selegiline	Eldepryl, Jumex	2	A

Listing by Classification (Continued)

Class 2: Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Sertraline	Lustral, Zoloft	2	A
Snake Venoms		2	A
Somatrem	Protropin	2	A
Somatropin	Nutropin	2	A
Spiclomazine		2	A
Spiperone		2	A
Succinylcholine	Sucostrin, Quelin, etc.	2	A
Sulfondiethylmethane		2	A
Sulfonmethane		2	A
Sulfonidazine	Inofal	2	A
Sulpiride	Aiglonyl, Sulpitol	2	A
Sultopride	Barnetil	2	A
Talbutal	Lotusate	2	A
Tandospirone		2	A
Temazepam	Restoril	2	A
Tetrabenazine	Nitoman	2	A
Tetraacaine	Pontocaine	2	A
Tetrazepam	Musaril, Myolastin	2	A
Thebaine		2	A
Thialbarbital	Kemithal	2	A
Thiambital	Surital	2	A
Thiethylperazine	Torecan	2	A
Thiopental	Pentothal	2	A
Thiopropazate	Dartal	2	A
Thiopropazine	Majeptil	2	A
Thioridazine	Mellaril	2	A
Thiothixene	Navane	2	A
Thiaprone	Italbrid, Luxoben, etc.	2	A
Thietamine	Component of Telazol	2	A
Timiperone	Tolopelon	2	A
Tofisopam	Grandaxain, Seriel	2	A
Topiramate	Topamax	2	A
Tramadol	Ultram	2	A
Tranylcypromine	Pamate	2	A
Trazodone	Desyrel	2	A
Tretoquinol	Inolin	2	A
Triazolam	Halcion	2	A
Tribromethanol		2	A

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Tricaine methanesulfonate	Finquel	2	A
Trichloroethanol		2	A
Trichloroethylene	Trilene, Trimar	2	A
Triclofos	Triclos	2	A
Trifluomeprazine	Nortran	2	A
Trifluoperazine	Stelazine	2	A
Trifluperidol	Triperidol	2	A
Triflupromazine	Vetame, Vesprin	2	A
Trimipramine	Surmontil	2	A
Tubocurarine (Curare)	Metubin	2	A
Tybamate	Benvil, Nospan, etc.	2	A
Urethane		2	A
Valdecoxib		2	A
Valoctamide	Nirvanyl	2	A
Venlafaxine	Efflexor	2	A
Veraprilide	Accional, Verapril	2	A
Vercuronium	Noreuron	2	A
Viloxazine	Catatrol, Vivalan, etc.	2	A
Vinbarbital	Delvinol	2	A
Vinybital	Optanox, Speda	2	A
Yohimbine		2	A
Zaleplon	Sonata	2	A
Zilpaterol hydrochloride	Zilpaterol	2	A
Ziprasidone	Geodon	2	A
Zolazepam		2	A
Zolpidem	Ambien, Stilnox	2	A
Zomepirac	Zomax	2	A
Zopiclone	Imovan	2	A
Zotepine	Lodopin	2	A
Zuclopenthixol	Ciatyl, Cesordinol	2	A

Listing by Classification (Continued)

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Acebutolol	Sectral	3	B
Acetromazine	Atrovect, Notensil, PromAce®	3	B
Albuterol (Salbutamol)	Proventil, Ventolin	3	B
Almotriptan	Axert	3	A
Alprenolol		3	A
Ambenonium	Mytelase, Myeuran	3	B
Aminophylline	Aminophyllin, etc.	3	B
Amitraz	Mitaban	3	A
Amlodipine	Norvasc	3	A
Amyl nitrite		3	A
Arecoline		3	A
Arformoterol		3	A
Atenolol	Tenormin	3	B
Atropine		3	B
Benazepril, Benazepril and MC-Tab	Lotensin	3	A
Betaxolol	Kerlone	3	B
Bethanidine	Esbatal	3	A
Biperiden	Akineton	3	A
Bisoprolol	Zebeta, Bisobloc, etc.	3	B
Bitolterol	Effectin	3	A
Bolasterone		3	A
Boldenone	Equipoise	3	B
Boldione		3	A
Bretylium	Bretylol	3	B
Brimonidide	Alphagan	3	B
Bromfenac	Duraet	3	A
Bromodiphenhydramine		3	B
Bufexamac		3	A
Bumetanide	Bumex	3	B
Butorphanol	Stadol, Torbugesic	3	B
N-Butylscopolamine		3	B
Calusterone		3	B
Candesartan	Atacand	3	B
Capotenil	Capoten	3	B
Carazolol	Carbacel, Conduction	3	A
Carbachol	Lentin, Doryl	3	B

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Carbamazepine	Tegretol	3	B
Carbinoxamine	Clistin	3	B
Carteolol	Cartrol	3	B
Carvedilol	Coreg	3	B
Celecoxib	Celebrex	3	B
Cimetrol		3	A
Clemastine	Tavist	3	B
Clenbuterol	Ventipulmin	3	B
Clidinium	Quarezan, Clindex, etc.	3	B
Clonidine	Catapres	3	B
Clostebol		3	B
Cyclocladate	Cyclospasmol	3	A
Cycrimine	Pagitane	3	B
Danazol	Danocrine	3	B
Dehydrochloromethyltestosterone		3	B
Deracoxib	Deremaxx	3	B
Desoxymethyltestosterone		3	B
Detomidine	Dormosedan	3	B
Dextropropoxyphene	Darvon	3	B
Diazoxide	Proglycem	3	B
Diflunisal		3	B
Dimeflin		3	A
Diphenhydramine	Benadryl	3	B
Dipyridamole	Persantine	3	B
Divalproex	Depakote	3	A
Dobutamine	Dobutrex	3	B
Doxazosin		3	A
Doxylamine	Decapryn	3	B
Dromostanolone	Drolban	3	B
Dyphylline		3	B
Edrophonium	Tensilon	3	B
Eletripan	Relpax	3	A
Enalapril (metabolite enalaprilat)	Vasotec	3	B
Ergoloid mesylates		3	B
Erbrylil tetranitrate	Cardilate	3	A
Esmolol	Brevibloc	3	B
Etamiphylline		3	B

Listing by Classification (Continued)

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Ethacrynic acid	Edecrin	3	B
Ethosuximide	Zarontin	3	A
Ethylestrenol	Maxibolin, Organon	3	B
Ethylnorepinephrine	Bronkhephrine	3	A
Etodolac	Lodine	3	B
Felbamate	Felbato	3	A
Fenbuten	Cincopal	3	B
Fenoldopam	Corlopan	3	B
Fenopropfen	Nalfon	3	B
Fenoterol	Berotec	3	B
Fenspiride	Respiride, Respan, etc	3	B
Fentiazac		3	B
Flurbiprofen	Froben	3	B
Flufenamic Acid		3	B
Fluoxymesterone	Halotestin	3	B
Flupirtine	Katadolone	3	A
Formebolone		3	B
Formoterol	Altram	3	B
Fosinopril, Fosinoprilat	Monopril	3	A
Fosphenytoin	Cerebyx	3	B
Furazabol	Neurontin	3	B
Gabapentin		3	B
Gestrinone		3	A
Glycopyrrolate	Robinul	3	B
Guanadrel	Hylorol	3	A
Guanethidine	Ismelin	3	A
Guanabenz	Wytensin	3	B
Heptaminol	Corofundol	3	B
Homatropine	Homapin	3	B
Hydralazine	Aprisoline	3	B
4-Hydroxytestosterone		3	B
Ibutilide	Corvert	3	B
Iloprost	Ventavis	3	A
Indomethacin	Indocin	3	B
Ipratropium		3	B
Irbesartan	Avapro	3	A
Isoetharine	Bronkosol	3	B
Isosorbide dinitrate	Isordil	3	B
Kebuzone		3	B

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Ketorolac	Toradol	3	A
Labetalol	Normodyne	3	B
Lamotrigine	Lamictal	3	A
Levobunolol	Betagan	3	B
Lisinopril	Prinivil, Zestril	3	A
Losartan	Hyzaar	3	B
Mabuterol		3	A
Mecamylamine	Inversine	3	B
Medetomidine	Domitor	3	B
Mefenamic Acid	Ponstel	3	B
Mepenzolate	Cantil	3	A
Mestanolone		3	B
Mesterolone		3	B
Metaproterenol	Alupent, Metaprel	3	B
Metenolone		3	B
Methachlole		3	A
Methandienone		3	B
Methandriol	Probolac	3	B
Methandrostenolone	Dianabol	3	A
Methantheline	Banthine	3	B
Methasterone		3	A
Methixene	Trest	3	A
Methoxamine	Vasoxyl	3	A
Methoxyphenamine	Orthoxide	3	A
Methsuximide	Celontin	3	A
Methylatropine		3	B
Methyldienolone		3	B
Methylidopa	Aldomet	3	A
Methylnortestosterone		3	B
Methyltestosterone	Metandren	3	A
Methyl-1-testosterone		3	A
Metolazone		3	B
Metoprolol	Lopressor	3	B
Mibefradil	Posicor	3	B
Mibolerone		3	B
Midodrine	Pro-Amiline	3	B
Minoxidil	Loniten	3	B
Moexipril (metabolite moexiprilat)	Uniretic	3	B
Muscarine		3	A

Listing by Classification (Continued)

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Nabumetone	Anthraxan, Relafen, Reliflex	3	A
Nadol	Corgard	3	B
Naloxone	Narcan	3	A
Naltrexone	Revia	3	A
Nandrolone	Nandrolin, Laurabolin, Durabolin	3	B
Naratriptan	Amerge	3	B
Nebivolol		3	A
Nefopam		3	A
Neostigmine	Prostigmine	3	B
Niflumic Acid	Nifluril	3	B
Nimesulide		3	B
Nitroglycerin		3	B
19-Norandrostenediol		3	B
19-Norandrostenedione		3	B
Norbolethone		3	B
Norclostebol		3	B
Norethandrolone		3	A
Nylidrine	Arlidin	3	A
Olmesartan	Benicar	3	A
Oxabolone		3	B
Oxandrolone	Anavar	3	B
Oxcarbazepine	Trileptal	3	A
Oxprenolol	Trascor	3	B
Oxymesterone		3	B
Oxymetholone	Adroyd, Anadrol	3	B
Papaverine	Pavagen, etc.	3	A
Paramethadione	Paradione	3	A
Pargyline	Eufonyl	3	A
Penbutolol	Levadol	3	B
Pentaerythritol tetranitrate	Duotrate	3	A
Pentazocine	Talwin	3	B
Pergolide	Permax	3	B
Perindopril	Biprel	3	A
Phenoxybenzamine	Dibenzyline	3	B
Phentolamine	Regitine	3	B
Phenylephrine	Isophrin, Neo-Synephrine	3	B
Phenylpropanolamine	Propadrine	3	B

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Physostigmine	Eserine	3	B
Pindolol	Viskin	3	A
Pirbuterol	Maxair	3	A
Piretanide	Arelis, Tauliz	3	B
Piroxicam	Feldene	3	B
Prazosin	Minipress	3	B
Primidone	Mysoline	3	B
Procaine		3	B
Procaterol	Pro Air	3	A
Procyclidine	Kemadrin	3	B
Promazine	Sparine	3	B
Promethazine	Phenergan	3	B
Propantheline	Pro-Banthine	3	A
Propentophylline	Karsivan	3	B
Propranolol	Inderal	3	B
Prostanazol		3	B
Protokylol	Ventaire	3	A
Pseudoephedrine	Cenafed, Novafed	3	B
Pyridostigmine	Mestinon, Regonol	3	B
Pyrilamine	Neoantegan, Equihist	3	B
Quinapril, Quinaprilat	Accupril	3	A
Quinbolone		3	B
Ramipril, metabolite Ramiprilat	Altace	3	A
Ritodrine	Yutopar	3	B
Rizatriptan	Maxalt	3	B
Salmeterol		3	B
Scopolamine (Hyoscine)	Triptone	3	B
Sibutramine	Meridia	3	B
Sildenafil	Viagra	3	A
Sotalol	Betapace, Sotacor	3	B
Spirapril, metabolite Spiraprilat	Renomax	3	A
Stanozolol	Winstrol-V	3	B
Stenbolone		3	B
Sulindac	Climoril	3	A
Sumatriptan	Imitrex	3	B
Tadalafil	Cialis	3	A
Telmisartan	Micardis	3	B
Tenoxicam	Alganex, etc.	3	B
Teopaxalin		3	B

Listing by Classification (Continued)

Class 3: Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Terazosin	Hytrin	3	A
Terbutaline	Brethine, Bricanyl	3	B
Testolactone	Testlac	3	B
Testosterone		3	B
Tetrahydrogestrinone		3	A
Theophylline	Aqualylin, etc.	3	B
Tiaprofenic Acid	Surgam	3	B
Timolol	Blocadrin	3	B
Tolazoline	Priscoline	3	B
Tolmetin	Tolectin	3	B
Torsemide (Torasemide)	Demadex	3	A
Trandolapril (and metabolite, Trandolaprilat)	Tarka	3	B
Trenbolone	Finoplix	3	B
Tribenxylphenidyl	Artane	3	A
Trimethadione	Tridione	3	B
Trimethaphan	Arfonad	3	A
Tripeleminamine	PBZ	3	B
Valerenic acid		3	A
Valsartan	Diovan	3	B
Vardenafil	Levitra	3	A
Xylazine	Rompun, Bay Va 1470	3	B
Zolmitriptan	Zomig	3	B
Zonisamide	Zonegran	3	B
Δ -1-androstene-3, 17-diol		3	A
Δ -1-androstene-3, 17-dione		3	A
Δ -1-dihydrotestosterone		3	A

Listing by Classification (Continued)

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4	C
Acetanilid		4	B
Acetazolamide	Diamox, Vetamox	4	B
Acetophenetidin (Phenacetin)		4	B
Acetylsalicylic acid (Aspirin)		4	C
Alclomethasone	Aclovate	4	C
Adrenochrome monosemicarbazone salicylate		4	B
Aldosterone	Aldocortin, Electro cortin	4	B
Ambroxol	Ambriol, etc.	4	C
Aminonide	Cyclocort	4	C
Amiloride	Moduretic; Midamor	4	B
Aminocaproic acid	Amicar, Caprocid	4	C
Aminodarone		4	B
2-Aminoheptaine	Tuamine	4	B
Aminopyrine		4	B
Amisometradine	Rolictron	4	B
Amlopidine	Norvasc, Ammivin	4	B
Amrinone		4	B
Anisotropine	Valpin	4	B
Antipyrine		4	B
Apazone (Azapropazone)	Rheumox	4	B
Aprindine		4	B
Baclofen	Lioresal	4	B
Beclomethasone	Propaderm	4	C
Benazepril	Lotrel	4	B
Bendroflumethiazide	Naturetin	4	B
Benzonate	Dorsacaine	4	C
Benzocaine		4	B
Benzthiazide		4	B
Bepiridil	Bepadil	4	B
Betamethasone	Betason, etc.	4	C
Bethanechol	Urecholine, Duvoid	4	C
Bromhexine	Oleto, etc.	4	B
Brompheniramine	Dimetane, Disomer	4	B
Budesonide	Pulmacort, Rhinocort	4	B
Butacaine	Butyn	4	C
Butamben (butyl aminobenzoate)	Butesin	4	C
Butoxycaine	Stadacain	4	B
Camphor		4	C
Carbazochrome		4	C

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Carprofen	Rimadyl	4	B
Cetirizine	Zyrtec	4	B
Chlormerodrin	Neohydrin	4	B
Chlorphenesin	Maolate	4	C
Chloroquine	Avloclor	4	C
Chlorothiazide	Diuril	4	B
Chlorpheniramine	Chlortrimeton, etc.	4	B
Chlorthalidone	Hydroton	4	B
Chlorzoxazone	Paraflex	4	B
Ciclesonide		4	B
Cinchocaine	Nupercaine	4	C
Clanobutin		4	B
Clibucaine	Batrax	4	C
Clobetasol	Temovate	4	C
Clocortolone	Cloderm	4	C
Clofenamide		4	B
Clormecaine	Placacid	4	C
Cotichine		4	B
Cortisone	Cortone, etc.	4	C
Cyclizine	Merazine	4	B
Cyclobenzaprine	Flexeril	4	B
Cyclo methylcaine	Surfacaine	4	C
Cyclothiazide	Anhydron, Renazide	4	B
Cyproheptadine	Peraectin	4	C
Dantrolene	Dantrium	4	C
Dembroxol (Dembrexine)	Sputolysin	4	C
Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4	C
Desonite	Des Owen	4	C
Desoximetasone	Topicort	4	C
Dexamethasone	Azium, etc.	4	C
Dextromethorphan		4	B
Dibucaine	Nupercainal, Cinchocaine	4	C
Dichlorphenamide	Daramide	4	C
Diclofenac	Voltaren, Voltarol	4	C
Diflorasone	Florone, Maxiflor	4	C
Diflucortolone	Flu-Cortinest, etc.	4	C
Digitoxin	Crytodigin	4	B
Digoxin	Lanoxin	4	B
Dihydroergotamine		4	B
Diltiazem	Cardizem	4	B

Listing by Classification (Continued)

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Dimethisoquin	Quotane	4	B
Dimethylsulfoxide (DMSO)	Domoso	4	C
Diphenoxylate	Difenoxin, Lomotil	4	B
Dipyrrone	Novin, Methampyrone	4	C
Disopyramide	Norpace	4	B
Dyclonine	Dyclone	4	C
Eltenac		4	C
Ergonovine	Ergotrate	4	C
Ergotamine	Gynergen, Cafergot, etc.	4	B
Etanercept	Enbrel	4	B
Ethioheptazine	Zactane	4	B
Ethofoin	Peganone	4	B
Ethoxzolamide	Cardrase, Ethamide	4	C
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4	C
Felodipine	Plendil	4	B
Fexofenadine	Allegra	4	C
Firocoxib		4	B
Flecainide	Idalon	4	B
Floctafenine	Idalon, Idarac	4	B
Fludrocortisone	Synalar, etc.	4	C
Flumethasone	Alforone, etc.	4	C
Flumethiazide	Flucort, etc.	4	C
Flunarizine	Ademol	4	B
Flunisolide	Sibelium	4	B
Flumixin	Bronilide, etc.	4	C
Fluticasonide	Banamine	4	C*
Fluocinolone	Synalar	4	C
Fluocinonide	Licon, Lidex	4	C
Fluorometholone	FML	4	B
Fluoroprednisolone	Predef-2X	4	C
Fluprednisolone	Alphadrol	4	C
Flurandrenolide	Cordran	4	C
Fluticasone	Flixonase, Flutide	4	C
Guafenesin (glycerol guaiacolate)	Gecolate	4	C
Halobetasol	Halog	4	C
Halobetasol	Ultravate	4	C
Hexoecylium	Tral	4	B
Hexylcaine	Cyclaine	4	C
Hydrochlorothiazide	Hydrodiuril	4	B
Hydrocortisone (Cortisol)	Cortef, etc.	4	C
Hydroflumethiazide	Saturon	4	B

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Ibuprofen	Motrin, Advil, Nurpin, etc.	4	C
Infliximab	Remicade	4	B
Isoflupredone	Predif	4	C
Isometheptene	Octin, Octon	4	B
Isopropamide	Darbid	4	B
Isoxsuprine	Vasodilan	4	C
Isradipine	DynaCirc	4	B
Ketoprofen	Orudis	4	C*
Letosteine	Viscotol, Vistotal	4	C
Loratidine	Claritin	4	B
Mecizine	Antivert, Bonine	4	B
Meclofenamic acid	Arquel	4	C
Medrysone	Medriusar, etc.	4	C
Meloxicam	Mobic	4	B
Mephesisin	Tolserol	4	B
Meralluride	Mercuhydrin	4	B
Merbaphen	Novasural	4	B
Mercaptopimerin	Thiomerin	4	B
Mercumalilin	Cumertilin	4	B
Mersalyl	Salyrgan	4	B
Metaxalone	Skelaxin	4	B
Methapyrilene	Histadyl, etc.	4	B
Methazolamide	Naptazane	4	C
Methdilazine	Tacaryl	4	B
Methocarbamol	Robaxin	4	C
Methotrexate	Folex, Nexate, etc.	4	B
Methscopolamine	Pamine	4	B
Methylchlorthiazide	Enduron	4	B
Methylergonovine	Methergine	4	C
Methylprednisolone	Medrol	4	C
Methysergide	Sansert	4	B
Metiamide		4	B
Metoclopramide	Reglan	4	C
Mexilitine	Mexilitil	4	B
Milrinone		4	B
Mometasone	Elocon	4	C
Montelukast	Singulair	4	C
Naepaine	Amylsine	4	C
Naphazoline	Privine	4	B
Naproxen	Equiproxen, Naprosyn	4	C

Listing by Classification (Continued)

Class 4: This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Nicardipine	Cardine	4	B
Nifedipine	Procardia	4	B
Nimodipine	Nemotop	4	B
Nortestosterone		4	C
Olsalazine	Dipentum	4	B
Orphenadrine	Norflex	4	B
Oxaprozin	Daypro, Deflam	4	C
Oxymetazoline	Afrin	4	B
Oxyphenbutazone	Tandearil	4	C
Oxyphenyclimine	Daricon	4	B
Oxyphenonium	Antrenyl	4	B
Paramethasone	Haldrone	4	C
Pentoxifylline	Trental, Vazofirin	4	C
Phenacemide	Phenurone	4	B
Phensuximide	Milontin	4	B
Phenylbutazone		4	C*
Phenytoin	Dilantin	4	B
Polythiazide	Renese	4	B
Pramoxine	Tronothaine	4	C
Prednisolone	Delta-Cortef, etc.	4	C
Prednisone	Meticorten, etc.	4	C
Probenecid		4	C
Procainamide	Pronestyl	4	B
Propafenone	Rythmol	4	B
Proparacaine	Ophthaine	4	C
Propylhexedrine	Benzedrex	4	B
Quinidine	Quinidex, Quinicardine	4	B
Salicylamide		4	C
Salicylate		4	C
Spironolactone	Aldactone	4	B
Sulfasalazine	Azulfidine, Azaline	4	C
Terfenadine	Seldane, Triludan	4	B
Tetrahydrozoline	Tyzine	4	B
Theobromine		4	B
Thiosalicylate		4	C
Thiphenamil	Trocinate	4	B
Tocamide	Tonocard	4	B
Tranexamic acid		4	C
Triamcinolone	Vetalog, etc.	4	C
Triamterene	Dyrenium	4	B
Trichlormethiazide	Naqua, Naquasone	4	C

*See Recommended Penalty Section of Document

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Tridihexethyl	Pathilon	4	B
Trimeprazine	Temaril	4	B
Tripolidine	Actidif	4	B
Tuaminoheptane	Tuamine	4	C
Vedaprofen		4	B
Verapamil	Calan, Isoptin	4	B
Xylometazoline	Otrivin	4	C
Zafirlukast	Accolate	4	C
Zeranol	Ralgro	4	C
Zileuton	Zyflo	4	C

Listing by Classification (Continued)

Class 5: This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents and other medications as determined by the regulatory bodies.

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class
Anisindione		5	C
Cilostazol	Pletal	5	C
Cimetidine	Tagamet	5	D
Cromolyn	Intel	5	C
Dicumarol	Dicumarol	5	C
Dimethylsulphone (MSM)		5	C
Diphenadione		5	C
Esomeprazole	Nexium	5	D
Famotidine	Gaster, etc.	5	D
Lansoprazole		5	D
Mesalamine	Asacol	5	C
Misoprostel	Cytotec	5	C
Nedocromil	Tilade	5	C
Nizatidine	Axid	5	C
Omeprazole	Prilosec, Losec	5	D
Pantoprazole	Protonix	5	D
Phenindione	Hedulin	5	C
Phenprocoumon	Liquamar	5	C
Pirenzapine	Gastrozepin	5	C
Polyethylene glycol		5	C
Rabeprazole	Aciphex	5	C
Ranitidine	Zantac	5	D
Warfarin	Coumadin, Coufarin	5	C

Non-Classified Substances

Substances that are considered to have no effect on the physiology of a racing animal except to improve nutrition or treat or prevent infections or parasite infestations, are not classified. These Substances normally include antimicrobials, antiparasitic drugs, and nutrients such as vitamins. Examples of such substances include the following:

Sulfonamides and trimethoprim

Antibiotics:
 Penicillins
 Cephalosporins
 Chloramphenicol
 Aminoglycosides
 Tetractckubes
 Nitrofurans
 Metronidazole

Anthelmintics:
 Avermectins
 Benzimidazoles
 Piperazines
 Pyrantel
 Antifungals
 Vitamins
 A,D,E,K,B vitamins
 Vitamin C

Bufotenine

1. Note: Bufotenine is not commercially available in any form.
2. Note: Bufotenine is a metabolite of 3-methyl-N-N dimethyltryptamine, found in reed canary grass (and potentially other food source plants). It may be found in the urine of horses eating this grass (and potentially other plant foods), and has been reported as a positive finding. Findings of bufotenine in equine urine should not be considered for regulatory action.

Recommended Penalty and Model Rule

The following are recommended penalties for violations due to the presence of a drug carrying a Category "A" penalty and for violations of ARCI-011-015: Prohibited Practices:

LICENSED TRAINER:	1st Offense	2nd LIFETIME offense in any jurisdiction	3rd LIFETIME offense in any jurisdiction
<ul style="list-style-type: none"> Minimum one-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension 	<ul style="list-style-type: none"> Minimum three-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of license revocation with no reapplication for a three-year period. 	<ul style="list-style-type: none"> Minimum five-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of license revocation with no reapplication for a five-year period. 	<ul style="list-style-type: none"> Minimum fine of \$10,000 or 10% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$25,000 or 25% of purse (greater of the two).
<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission. 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Minimum fine of \$25,000 or 25% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$50,000 or 50% purse (greater of the two). 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Minimum fine of \$50,000 or 50% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$100,000 or 100% purse (greater of the two). 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission.
<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission. 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission. 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission. 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission.
LICENSED OWNER:	1st Offense	2nd LIFETIME offense in owner's stable any jurisdiction	3rd LIFETIME offense in owner's stable in any jurisdiction
<ul style="list-style-type: none"> Disqualification and loss of purse 	<ul style="list-style-type: none"> Disqualification and loss of purse 	<ul style="list-style-type: none"> Disqualification and loss of purse 	<ul style="list-style-type: none"> Disqualification, loss of purse and \$50,000 fine
<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse shall be placed on the Veterinarian's List for 90 days and must pass a commission-approved examination before becoming eligible to be entered. 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse shall be placed on the Veterinarian's List for 120 days and must pass a commission-approved examination before becoming eligible to be entered. 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse shall be placed on the Veterinarian's List for 180 days and must pass a commission-approved examination before becoming eligible to be entered. 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Referral to the Commission with a recommendation of a suspension for a minimum of 90 days.

Recommended Penalty and Model Rule (Continued)

The following are recommended penalties for violations due to the presence of a drug carrying Category "B" penalty, for the presence of more than one NSAID in a plasma/serum sample, subject to the provisions set forth in ARCI-011-020 E.(1)(c) and for violations of the established levels for total carbon dioxide:

LICENSED TRAINER:	2nd offense (365-day period) in any jurisdiction	3rd offense (365-day period) in any jurisdiction
<p>1st Offense</p> <ul style="list-style-type: none"> • Minimum 15-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> • Minimum fine of \$500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$1,000. 	<p style="text-align: center;">AND</p> <ul style="list-style-type: none"> • Minimum 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> • Minimum fine of \$1,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$2,500. 	<ul style="list-style-type: none"> • Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a one-year suspension. <ul style="list-style-type: none"> • Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000 or 5% purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> • May be referred to the Commission for any further action deemed necessary by the Commission.
LICENSED OWNER:	2nd LIFETIME offense in owner's stable any jurisdiction	3rd LIFETIME offense in owner's stable in any jurisdiction
<p>1st Offense</p> <ul style="list-style-type: none"> • Disqualification and loss of purse [in the absence of mitigating circumstances]* <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> • Horse must pass a commission-approved examination before becoming eligible to be entered. 	<ul style="list-style-type: none"> • Disqualification and loss of purse [in the absence of mitigating circumstances]* <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> • Horse must pass a commission-approved examination before becoming eligible to be entered. 	<ul style="list-style-type: none"> • Disqualification, loss of purse, and in the absence of mitigating circumstances a \$5,000 fine.* <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> • Horse shall be placed on the Veterinarian's List for 45 days and must pass a commission-approved examination before becoming eligible to be entered.

*The RMTCC recommendation called for loss of purse to happen in absence of mitigating circumstances. The Joint Model Rules Committee has made loss of purse mandatory in their proposal.

Recommended Penalty and Model Rule (Continued)

The following are recommended penalties for violations due to the presence of a drug carrying a Category "C" penalty, and overages for permitted NSAIDs and furosemide: *(All concentrations are for measurements in serum or plasma.)*

LICENSED TRAINER	Phenylbutazone (>2.0-5.0 mcg/ml)* Flunixin (>20 - 100 ng/ml) Ketoprofen (>10 - 50 ng/ml) Furosemide (>100 ng/ml) and/or no furosemide when identified as administered	Phenylbutazone (>5.0 mcg/ml) Flunixin (>100 ng/ml) Ketoprofen (>50 ng/ml) and CLASS C Violations
1 st Offense (365-day period) in any jurisdiction	Minimum of a written warning to maximum fine of \$500	Minimum fine of \$1,000 absent mitigating circumstances
2 nd Offense (365-day period) in any jurisdiction	Minimum of a written warning to maximum fine of \$750	Minimum fine of \$1,500 and 15-day suspension absent mitigating circumstances
3 rd Offense (365-day period) in any jurisdiction	Minimum fine of \$500 to a maximum fine of \$1,000	Minimum fine of \$2,500 and 30-day suspension absent mitigating circumstances
LICENSED OWNER	Phenylbutazone (>2.0-5.0 mcg/ml)* Flunixin (>20 - 100 ng/ml) Ketoprofen (>10 - 50 ng/ml) Furosemide (>100 ng/ml) and/or no furosemide when identified as administered	Phenylbutazone (>5.0 mcg/ml) Flunixin (>100 ng/ml) Ketoprofen (>50 ng/ml) and CLASS C Violations
1 st Offense (365-day period) in any jurisdiction	Horse may be required to pass commission-approved examination before being eligible to run	Loss of purse. Horse must pass commission-approved examination before being eligible to run
2 nd Offense (365-day period) in any jurisdiction	Horse may be required to pass commission-approved examination before being eligible to run	Loss of purse. If same horse, placed on veterinarian's list for 45 days, must pass commission-approved examination before being eligible to run
3 rd Offense (365-day period) in any jurisdiction	Disqualification and loss of purse. Horse must pass commission-approved examination before being eligible to run	Loss of purse. Minimum \$5,000 fine. If same horse, placed on veterinarian's list for 60 days, must pass commission-approved examination before being eligible to run

*If the trainer has not had more than one violation within the previous two years, the Stewards/Judges are encouraged to issue a warning in lieu of a fine provided the reported level is below 3.0 mcg/ml absent of aggravating factors.

After a two-year period, if the licensee has had no further violations, any penalty due to an overage in the 2.0-5.0 category will be expunged from the licensee's record for penalty purposes.

Recommended Penalty and Model Rule (Continued)

The recommended penalty for a violation involving a drug that carries a Category "D" penalty is a written warning to the trainer and owner. Multiple violations may result in fines and/or suspension.

Recent Document Revisions

Version	Date	Drug/Substance	Notes	Approx. Page(s)
7.00	January 2014	Pergolide	Added as Class 3, Penalty B	8, 20
6.00	December 2013	Methylhexanamine	Added as Class 1, Penalty A	7, 12
5.00	December 2012	Zilpaterol hydrochloride	Moved from Class 3 to Class 2 Substance, Penalty Remains Unchanged	11, 17
5.00	December 2012	Tetramisole hydrochloride	Removed from Non-Classified Substance List	26
5.00	December 2012	Ambroxol	Moved from Class 4, Penalty B to Class 4, Penalty C	1, 22
4.01	October 2012	Ractopamine	Corrected typographical error in Drug Class (Incorrectly listed as a Class 3 substance)	9, 16
4.01	October 2012	Pyrilamine	Corrected typographical error on Penalty Class (Listed as Penalty Class A in "Listing by Classification" section.	9, 20
4.00	July 2012	<i>myo</i> -inositol trispyrophosphate (ITPP)	Added as Class 1, Penalty A	7, 12
4.00	July 2012	Benzonate	Added as Class 2, Penalty A	2, 13
3.00	December 2011	Almotriptan	Corrected Penalty Class omission in Alphabetical Listing section of document	1, 18
3.00	December 2011	Naltrexone	Corrected Penalty Class omission in Alphabetical Listing section of document	7, 20
3.00	December 2011	Amiloride	Corrected Penalty Class omission in Alphabetical Listing section of document	1, 22
3.00	December 2011	Butanilcaine	Corrected Penalty Class omission in Alphabetical Listing section of document	2, 13
3.00	December 2011	3,4-methylenedioxy-pyrovalerone, aka MDPV, "Bath Salts"	Corrected typographical error in Trade Name sections	1, 12
3.00	December 2011	Carbazochrome	Added as Class 4, Penalty C	2, 22
2.01	August 2011	Dimethylsulfoxide (DMSO)	Edited Drug Classification definitions to remove mention of DMSO from Class 5 definition	vii
2.00	July 2011	<<Drugs Not Listed>>	Language pertaining to all drugs/substances not found in this document shall be considered a Class I, Penalty A Substance	ii

Version	Date	Drug/Substance	Notes	Approx. Page(s)
2.00	July 2011	Phenylbutazone	Penalties for tests over 2.0 micrograms per milliliter of plasma or serum but less than 5.0 micrograms per milliliter of plasma or serum added.	29
2.00	July 2011	Dermorphin	Added as Class 1, Penalty A	3, 12
2.00	July 2011	3,4-methylenedioxy-pyrovallorone, aka MDPV, "Bath Salts"	Added as Class 1, Penalty A	1, 12
2.00	July 2011	Synthetic cannabis	Added as Class 1, Penalty A	10, 12
2.00	July 2011	Aiclomethasone	Corrected typographical error in spelling	1, 22
1.01	January 2011	Methocarbamol	Corrected typographical error on Penalty Class from Class B to Class C	7, 23
1.00	December 2010	Zilpaterol	Added as Class 3, Penalty a	11, 17
1.00	December 2010	Dimethylsulfoxide (DMSO)	Changed from Class 5 to Class 4	4, 23

(B)

0054901



0054901

Date: 7/24/14 0054901
Track: Indiana Downs



0054901

Salix PBZ Other

HORSE: Cielo Classic



0054901

TATTOO NUMBER: J06155

COLOR: B SEX: G AGE: 8

RACE: 7 FINISH: 3

TRAINER: Donald Grego

SAMPLED BY: Guanda Paz

URINE

SAMPLED BY: [Signature]

BLOOD

WITNESS NAME: Leslie Resaca F.V.

WITNESS LICENSE: 140662

COMMENTS:

000009

tabbles[®]
EXHIBIT
5

tabbles

EXHIBIT

6

COMMISSION TEST BARN REPORT
INDIANA DOWNS

SALIX CONTROL #	RACE #	HORSE #	TATTOO #	NAME OF HORSE	SEX	Q/C	TRAINER	TIME HORSE ARRIVED/ DISMISSED	URINE TIME	BLOOD DRAWN BY	DATE	WELLS YES/NO	NAME
✓ 0054891	1	6	013048	BEATIE IC	F		Bun Cokbrek	2:19	2:55 Tonya	AD	7/24/14	NO	AD
✓ 0054892	1	5	017115	INCOMPATIBLE	F		Steve Hennessey	2:17	2:58 Beth	AD	7/24/14	NO	AD
✓ 0054893	2	10	034623	BUD N JOE	G		Michael Nantz	3:50	3:43 Megan	AD	7/24/14	NO	AD
✓ 0054894	3	8	022357	ELTARIN	H		Thomas Anness	3:15	3:50 Amanda	AD	7/24/14	NO	AD
✓ 0054895	3	3	N22098	MIKER POKETT	G		David Rider	3:14	3:47 Kurgandy	AD	7/24/14	NO	AD
✓ 0054896	4	7	008690	Seattle Mountain	F		Ronald Brown	3:43	4:27 Dani	AD	7/24/14	NO	AD
✓ 0054897	5	10	N22487	Tax Breaker	F		Barbara M Bode	4:10	4:54 Tonya	AD	7/24/14	NO	AD
✓ 0054898	5	6	N17222	Nadoo Noman	F		Les J Rossi	4:08	4:51 Beth	AD	7/24/14	NO	AD
✓ 0054899	6	2	N12349	Starmin Kennedy	F		Merrill Roberts	4:37	5:09 Megan	AD	7/24/14	NO	AD
✓ 0054900	7	12	L33400	Buster Rose	G		Stephen Dawn	5:09	5:49 Kurgandy	AD	7/24/14	NO	AD
✓ 0054901	7	5	J04155	Cielo Classic	G		Donald Gargo	5:08	5:49 Amanda	AD	7/24/14	NO	AD
✓ 0054902	8	3	N17909	Lil Maxie	F		Arbain Dawn	5:35	6:37 Dani	AD	7/24/14	NO	AD
✓ 0054903	9	8	025592	Jacques Daughtic	F		Michael Maxer	6:03	6:39 Tonya	AD	7/24/14	NO	AD
✓ 0054904	9	1	000626	Deep Thought	F		Charles LoPresti	6:02	6:35 Beth	AD	7/24/14	NO	AD

G. Oelting
H. Stead/Colt
F. Mart/Fuly

[Signature]
Vet Tester

[Signature]
Signature of Veterinarian


1 of 2

000014

COMMISSION TEST BARN REPORT
INDIANA DOWNS

SALIX CONTROL #	RACE #	HORSE #	TATTOO #	NAME OF HORSE	SEX	QH/Chim	TRAINER	TIME HORSE		URINE	TIME	Blood		WELTS	
								ARRIVED/	DISMISSED			Drawn	Sealed		By
1	10	7	34100	HE Mighty Chick	F	X	Randy Haffner	6:28	7:50	Megan	7:48	AD	LT	7:07	NO AD
2															
3															
4															
5															
6															
7															
8															
9															
10															
11															
12															
13															
14															

G - Gelding
H - Stud/Colt
F - Mare/Filly


Vet Tech: _____


Signature of Veterinarian: _____

Sample Shipment List

Pre-race Samples

TCO, Analysis

Post Race Samples

Race Track: INDIANA GRAND

Collection Date: 7/24/14

Packed By / Date: [Signature] 7-25-14

Seal Number: 5304652

TAG NUMBER	NUMBER OF BLOOD TUBES	NUMBER OF URINE CUPS	GENDER (H, M, G, R, F, C)	DECLARED MEDICATION(S) (L, PBZ)	COMMENTS OR SAMPLE INFORMATION (INJURED, WORK, OOC, CLAIMED, TRAINER SUBMISSION)
0054891	2	1	F	L	
0054892	2	1	F	L	
0054893	2	1	G	L	
0054894	2	1	H	L	
0054895	2	1	G	L	
0054896	2	1	F	L	
0054897	2	1	F	L	
0054898	2	1	F	L	
0054899	2	1	F	L	
0054900	2	1	G	L	
0054901	2	1	G	L	
0054902	2	1	F	L	

000012

EXHIBIT
7

Sample Shipment List

Pre-race Samples

TCO₂ Analysis

Post Race Samples

Race Track: INDIANA GRAND

Collection Date: 7/24/14

Packed By / Date: [Signature] 7-25-14

Seal Number: 5304652

TAG NUMBER	NUMBER OF BLOOD TUBES	NUMBER OF URINE CUPS	GENDER (H, M, G, R, F, C)	DECLARED MEDICATION(S) (L, PBZ)	COMMENTS OR SAMPLE INFORMATION (INJURED, WORK, OOC, CLAIMED, TRAINER SUBMISSION)
0054903	2	1	F	L	
0054904	2	1	F	L	
0054905	2	1	F	L	
/					

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Form # 200-05 Rev. 02

000013

Richwine, Terry

From: Newell, Holly
Sent: Thursday, October 09, 2014 10:44 AM
To: Pierre Barratt; Chris Ware; Rick Sams
Cc: Gorajec, Joe; Richwine, Terry; Seth Wong; Petra Hartmann
Subject: IHRC samples

Importance: High

Gentlemen,

IHRC Director of Security Terry Richwine and Investigator Kevin Tompkins, will be arriving at LGC around 11 a.m. EST today to take possession of all 2014 IHRC post-race samples identified in a document that will be attached and sent under separate cover. These are samples that are in LGC's possession and have screened positive, but are pending confirmation.

The IHRC expects all samples to be segregated, temperature controlled, and ready to be moved to IHRC possession.

Holly Newell
Deputy Counsel, Indiana Horse Racing Commission
1302 North Meridian
Suite 175
Indianapolis, IN 46202

(317)233-3119

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Direct Signature

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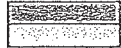
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Last Updated: 9-Oct



Recently Added
Multiple Findings

Total Samples: 82
Total Findings: 91

SUSPECTS - Collection Date

ANALYTE DETAILS SCREENING RESULTS

LGC Lab Number	IHRC Tag Number	Track	Collection Date	Received Date	Suspicious For	Con.	U.O.M.	Matrix	Further Details
126885	0040262	Indiana Grand	09/04/14	09/10/14	Penlobarbutal				DEAD HORSE - PENDING INF
126685	0040262	Indiana Grand	09/04/14	09/10/14	Testosterone				DEAD HORSE
124473	0045419	Shelbyville Fair	09/01/14	09/03/14	Clenbuterol			urine	
124469	0045407	Shelbyville Fair	09/01/14	09/03/14	Methylprednisolone	124	pg/mL	serum	
126365	0053670	Indiana Grand	08/29/14	09/10/14	Dexamethasone/Betamethasone	163	pg/mL	serum	
119852	0047170	Indiana Grand	08/09/14	08/13/14	Clenbuterol			urine	
119900	0045345	Portland Fair	08/09/14	08/13/14	Dexamethasone/Betamethasone	33	pg/mL	serum	
119854	0047172	Indiana Grand	08/09/14	08/13/14	Dexamethasone/Betamethasone	20	pg/mL	serum	
119843	0047160	Indiana Grand	08/09/14	08/13/14	Dexamethasone/Betamethasone	23	pg/mL	serum	
119852	0047170	Indiana Grand	08/09/14	08/13/14	Dextropropion (metabolite of dextropropion)			urine	
119852	0047170	Indiana Grand	08/09/14	08/13/14	Methylprednisolone	136	pg/mL	serum	
119904	0045349	Portland Fair	08/09/14	08/13/14	Phenylbutazone	4.7	ug/mL	serum	
119819	0045366	Portland Fair	08/09/14	08/13/14	Triptenamine			urine	
119888	0048732	Hoosier Park	08/09/14	08/13/14	Triptenamine			urine	
119882	0048726	Hoosier Park	08/09/14	08/13/14	Triptenamine			urine	
119954	0047138	Indiana Grand	08/07/14	08/11/14	Dexamethasone/Betamethasone	59	pg/mL	serum	
119953	0047137	Indiana Grand	08/07/14	08/11/14	Flunixin	12.9	ng/mL	serum	Contains phenylbutazone
119953	0047137	Indiana Grand	08/07/14	08/11/14	Phenylbutazone	0.35	ug/mL	serum	
119029	0047114	Indiana Grand	08/06/14	08/11/14	Dexamethasone/Betamethasone	58	pg/mL	serum	
119116	0046998	Hoosier Park	08/06/14	08/11/14	Isoflupredone	291	pg/mL	serum	
118589	0046645	Hoosier Park	08/06/14	08/06/14	Dexamethasone/Betamethasone	179	pg/mL	serum	
118634	0045334	Shelbyville Fair	08/02/14	08/06/14	Furosemide			serum	Absent, status = present
118611	0045247	Shelbyville Fair	08/01/14	08/06/14	Dexamethasone/Betamethasone	55	pg/mL	serum	
118566	0046829	Hoosier Park	08/01/14	08/06/14	Isoflupredone	127	pg/mL	serum	
117806	0046611	Hoosier Park	07/31/14	08/04/14	Furosemide			serum	Present, status = absent
117785	0046595	Hoosier Park	07/30/14	08/04/14	Methylprednisolone	735	pg/mL	serum	
117700	0047029	Indiana Grand	07/30/14	08/04/14	Testosterone	35	pg/mL	serum	Filly
117854	0045319	Connersville Fair	07/29/14	08/04/14	Furosemide			serum	Absent, status = present
117850	0045315	Connersville Fair	07/29/14	08/04/14	Phenylbutazone	5.8	ng/mL	serum	
117695	0045592	Indiana Grand	07/29/14	08/04/14	Testosterone	48	pg/mL	serum	Filly
117165	0045744	Indiana Grand	07/28/14	07/30/14	Dexamethasone/Betamethasone	193	pg/mL	serum	INJURED HORSE
117143	0045939	Indiana Grand	07/28/14	07/30/14	Methylprednisolone	1.3	ng/mL	serum	
116695	0045901	Indiana Grand	07/24/14	07/27/14	Ibuprofen	21	ng/mL	serum	
116695	0045901	Indiana Grand	07/24/14	07/27/14	Triamcinolone Acetonide	144	pg/mL	serum	
116629	0048519	Hoosier Park	07/23/14	07/27/14	Methylprednisolone	380	pg/mL	serum	
116606	0048502	Hoosier Park	07/22/14	07/27/14	Phenylbutazone	2.9	ug/mL	serum	
115987	0045280	Goshen Fair	07/21/14	07/23/14	Clenbuterol			urine	
115975	0045288	Goshen Fair	07/21/14	07/23/14	Furosemide			serum	Absent, status = present
115961	0045275	Goshen Fair	07/19/14	07/23/14	Triptenamine			urine	
115835	0045835	Indiana Grand	07/18/14	07/22/14	Flunixin			serum	
115828	0044828	Indiana Grand	07/18/14	07/23/14	Flunixin	7.1	ng/mL	serum	Contains phenylbutazone
115828	0044828	Indiana Grand	07/18/14	07/23/14	Phenylbutazone	0.5	ug/mL	serum	
115866	0048219	Hoosier Park	07/18/14	07/23/14	Triptenamine			urine	
115235	0045415	Indiana Grand	07/17/14	07/19/14	Dexamethasone/Betamethasone	52	pg/mL	serum	
114659	0045213	Shelbyville Fair	07/15/14	07/18/14	DUSO			serum	
114659	0045213	Shelbyville Fair	07/15/14	07/18/14	Furosemide			serum	Absent, status = present
115147	0045778	Indiana Grand	07/15/14	07/19/14	Hydrocortisone succinate			urine	
114650	0045234	Shelbyville Fair	07/14/14	07/19/14	Lidocaine metabolites			urine	
114651	0045235	Shelbyville Fair	07/14/14	07/19/14	Methylprednisolone	1.9	ng/mL	serum	
114651	0045235	Shelbyville Fair	07/14/14	07/19/14	Triamcinolone Acetonide	248	pg/mL	serum	
114545	0040265	Indiana Grand	07/13/14	07/19/14	Stanozolol	766	pg/mL	serum	
114539	0045223	Kendallville Fair	07/12/14	07/15/14	Triptenamine			urine	
114598	0048171	Hoosier Park	07/12/14	07/18/14	Triptenamine			urine	
114570	0048113	Hoosier Park	07/11/14	07/18/14	Triptenamine			urine	
113977	0045983	Indiana Grand	07/09/14	07/12/14	Lidocaine metabolites			urine	
113951	0048092	Hoosier Park	07/09/14	07/12/14	Triptenamine			urine	
113912	0048073	Hoosier Park	07/09/14	07/12/14	Flunixin	110	ng/mL	serum	
113919	0046080	Hoosier Park	07/08/14	07/12/14	Furosemide			serum	Absent, status = present
113989	0045177	Portland Fair	07/08/14	07/12/14	Lamotrigine			urine	
114006	0045194	Portland Fair	07/08/14	07/12/14	Stanozolol	85	pg/mL	serum	
113020	0048058	Hoosier Park	07/05/14	07/09/14	Flunixin			serum	
113027	0048065	Hoosier Park	07/05/14	07/09/14	Methylprednisolone	429	pg/mL	serum	
112898	0045927	Indiana Grand	07/04/14	07/09/14	Diphenhydramine metabolites			urine	
112970	0045166	Frankfort Fair	07/04/14	07/09/14	Hydroxyacetone			urine	
112149	0045801	Indiana Grand	07/02/14	07/05/14	Ambroxol			urine	
112149	0045901	Indiana Grand	07/02/14	07/05/14	Bornitoxine			urine	
112161	0045913	Indiana Grand	07/02/14	07/05/14	Methylprednisolone	160	pg/mL	serum	
111474	0045879	Indiana Grand	06/28/14	07/01/14	Dexamethasone/Betamethasone	39	pg/mL	serum	
111656	0044455	Hoosier Park	06/27/14	07/02/14	Flunixin			serum	
110816	0045834	Indiana Grand	06/24/14	06/28/14	Hydrochlorothiazide			urine	
110238	0045132	Shelbyville Fair	06/23/14	06/25/14	Furosemide			serum	Absent, status = present
110097	0045807	Indiana Grand	06/20/14	06/24/14	Dexamethasone/Betamethasone	34	pg/mL	serum	
109505	0045766	Indiana Grand	06/17/14	06/21/14	Dexamethasone/Betamethasone	24	pg/mL	serum	
108769	0045738	Indiana Grand	06/13/14	06/17/14	Dexamethasone/Betamethasone	83	pg/mL	serum	
108829	0044289	Hoosier Park	06/13/14	06/18/14	Methylprednisolone	1.4	ng/mL	serum	
108188	0045667	Indiana Grand	06/10/14	06/14/14	Dexamethasone/Betamethasone	165	pg/mL	serum	
108180	0045708	Indiana Grand	06/10/14	06/14/14	Methylprednisolone	748	pg/mL	serum	
107260	0045640	Indiana Grand	06/06/14	06/11/14	Dexamethasone/Betamethasone	34	pg/mL	serum	
107258	0045638	Indiana Grand	06/06/14	06/11/14	Dexamethasone/Betamethasone	67	pg/mL	serum	
106591	0045028	Converse Fair	06/05/14	06/07/14	Ketoprofen metabolites			urine	
106541	0044198	Hoosier Park	06/03/14	06/07/14	Tranexamic Acid			urine	
106527	0044184	Hoosier Park	06/04/14	06/07/14	Methylprednisolone	811	pg/mL	serum	
106470	0045610	Indiana Grand	06/03/14	06/07/14	Dexamethasone/Betamethasone	116	pg/mL	serum	

000017

104874	0044126	Hoosier Park	05/28/14	05/31/14	Lidocaine			serum
104792	0045569	Indiana Grand	05/28/14	05/31/14	Flumethasone			serum
104785	0045545	Indiana Grand	05/27/14	05/31/14	Eulyscopamine			serum
104785	0045549	Indiana Grand	05/27/14	05/31/14	Xylazine			serum
105139	0040409	Indiana Grand	05/17/14	05/20/14	Dexamethasone/Belamethasone	37	pg/mL	serum
105138	0040408	Indiana Grand	05/17/14	05/20/14	Methylprednisolone	460	pg/mL	serum
102834	0043447	Hoosier Park	05/14/14	05/17/14	Methylprednisolone	4.2	ng/mL	serum
102571	0040266	Indiana Grand	05/14/14	05/17/14	Testosterone	40	pg/mL	serum F0y

Passed

112970

106470 ✓

108168 ✓

111474 ✓

113931 ✓

114639 ✓

114545

115866 ✓

115828 ✓

115961 ✓

119852 ✓

126365



LGC Science, Inc.
1745 Alysheba Way
Suite 160
Lexington, KY 40509

Tel: 859-721-0180
Fax: 859-264-0371

DOCUMENTATION OF SAMPLE TRANSFER

Date: October 09, 2014

ASSOCIATED PARTIES:

Indiana Horse Racing Commission
1302 N. Meridian, Suite 175
Indianapolis, IN 46202

LGC Science Inc.
1745 Alysheba Way, Suite 160
Lexington, KY 40509

DECLARATION:

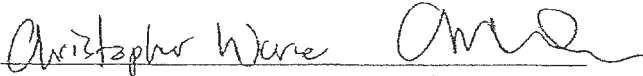
The samples enumerated on *Attachment 1* have been transferred to a representative of the Indiana Horse Racing Commission by LGC Science Inc. on this date. All samples listed in *Attachment 1* have been inspected and verified by both parties. Henceforth, the Indiana Horse Racing Commission assumes custody for all enumerated samples.

SIGNATURES:



Indiana Horse Racing Commission

10-9-14 11:24 AM
Date/Time



LGC Science Inc.

09 Oct. 2014 @ 11:24 AM
Date/Time

Attachment 1



State of Indiana
Indiana Horse Racing Commission

Michael R. Pence, Governor

www.in.gov/hrc

Documentation of Sample Transfer and Shipment

October 9, 2014

Samples Secured and Locked By: Terry Richwine Sign Name OKC-2

TERRY RICHWINE Print Name

10-9-14 11:30 AM Date/Time

Carrier Service: FED X

Time/Date relinquished to Carrier: 11-9-14 / 12:14P

Samples delivered to: The Industrial Laboratories, Inc., 4046 Youngfield Street, Wheat Ridge, CO 80033
Telephone: 303.287.9691

Samples Received By: _____ Sign Name

_____ Print Name

_____ Date/Time

000021



State of Indiana
Indiana Horse Racing Commission

Michael R. Pence, Governor

www.in.gov/hrc

Documentation of Sample Transfer and Shipment

October 9, 2014

Samples Secured and Locked By: Terry Biehwine Sign Name IL-23

TERRY BIEHWINE Print Name

10-9-14 11:30 AM Date/Time

Carrier Service: FED-X

Time/Date relinquished to Carrier: 11-9-14 / 12:14 PM

Samples delivered to: The Industrial Laboratories, Inc., 4046 Youngfield Street, Wheat Ridge, CO 80033
Telephone: 303.287.9691

Samples Received By: _____ Sign Name

_____ Print Name

_____ Date/Time

000022



State of Indiana
Indiana Horse Racing Commission

Michael R. Pence, Governor

www.in.gov/hrc

Documentation of Sample Transfer and Shipment

October 9, 2014

Samples Secured and Locked By: Terry Richwine Sign Name IL-23

TERRY RICHWINE Print Name

10-9-14 11:30 AM Date/Time

Carrier Service: FED-X

Time/Date relinquished to Carrier: 10-9-14 / 12:14 P

Samples delivered to: The Industrial Laboratories, Inc., 4046 Youngfield Street, Wheat Ridge, CO 80033
Telephone: 303.287.9691

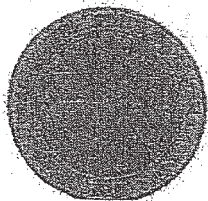
Samples Received By: Petra Hartmann Sign Name

Petra Hartmann Print Name

10-10-14 / 10:30 am Date/Time

(36)

000000



State of Indiana
Indiana Horse Racing Commission

Michael R. Pence, Governor

www.in.gov/hrc

Documentation of Sample Transfer and Shipment

October 9, 2014

Samples Secured and Locked By: Terry Richwine Sign Name OKC-2

TERRY RICHWINE Print Name

10-9-14 11:30 AM Date/Time

Carrier Service: FED X

Time/Date relinquished to Carrier: 11-9-14 / 12:14P

Samples delivered to: The Industrial Laboratories, Inc., 4046 Youngfield Street, Wheat Ridge, CO 80033
Telephone: 303.287.9691

Samples Received By: Petra Hartmann Sign Name

Petra Hartmann Print Name

10-10-14 / 10:30 am Date/Time

(42)

000024



LGC Science, Inc.
1745 Alysheba Way
Suite 160
Lexington, KY 40509
t: 859-721-0180
f: 859-264-0371

Sample Record
Send To Laboratory
Industrial Laboratories Inc.

TO BE COMPLETED BY TESTING LABORATORY UPON RECEIPT OF SAMPLE FOR TESTING:

Laboratory: Industrial Laboratories

Date/Time Samples Received: 10-10-14 / 10:30 am

Was package received in good condition with all seals intact? Box sealed

Sample # 0044468 (111569) - severe leak

Received By: Peira Hanhman Director, DTS
(Signature and Title)

Upon receipt of this sample, please complete this notification and send promptly by email or facsimile to:

chris.ware@lgcgroup.com

Fax (859) 264-0371 Attn: Chris Ware

126365
111569 - leak
114545

000025



LGC Science, Inc.
1745 Alysheba Way
Suite 160
Lexington, KY 40509
t: 859-721-0180
f: 859-264-0371

Sample Record
Send To Laboratory
Industrial Laboratories Inc.

TO BE COMPLETED BY TESTING LABORATORY UPON RECEIPT OF SAMPLE FOR TESTING:

Laboratory: Industrial Labs

Date/Time Samples Received: 10-10-14 / 10:30 am

Was package received in good condition with all seals intact? yes

Received By: Petra Hankmann, Director/DTS
(Signature and Title)

Upon receipt of this sample, please complete this notification and send promptly by email or facsimile to:

chris.ware@lgcgroup.com

Fax (859) 264-0371

Attn: Chris Ware

(empty blood tubes)

000026

Swat. Know
LGC Val. Dat 9/21



A

INDIANA HORSE RACING COMMISSION.
1302 N. MERIDIAN STREET
SUITE 175
INDIANAPOLIS, IN 46202

AFFIDAVIT

I hereby certify that Industrial Laboratories at 4046 Youngfield Street, Wheat Ridge, Colorado; has analyzed the sample identified below:

54901, Indiana Grand, race date July 24, 2014.

Sample matrix: Serum

The sample was received in good & secure condition on: October 10, 2014

Laboratory ID number: IL-R-14-15485

The sample was analyzed using:
Liquid Chromatography Mass Spectrometry-Mass Spectrometry (LC-MS/MS)

The sample contained: Triamcinolone acetonide & Ibuprofen

Concentration: 171 +/- 19 picograms per milliliter (pg/mL) (Triamcin acet)
approximately 23 ng/mL (Ibuprofen)

Comments: Reported uncertainties represent expanded uncertainties expressed at approximately the 99.7% confidence level using a coverage factor of k=3.20

SIGNED:

Petra Hartmann

Petra Hartmann
Director, Drug Testing Services

Date: October 28th, 2014

Sworn to me this day:

28 day of October, 2014.

Michelle Stringer
NOTARY PUBLIC

4046 Youngfield St., Wheat Ridge, Colorado 80033 TEL (303) 287-9691 FAX (303) 287-0964
www.industrialabs.net

Receipt of analysis services acknowledges the terms and conditions on the website
www.industrialabs.net/terms/terms.html

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MICHELLE STRINGER
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 20134033071
MY COMMISSION EXPIRES MAY 23, 2017

000040

EXHIBIT
9

Comprehensive Ruling Report

Rulings Against: DONALD GREGO

Legal Name: DONALD GREGO

Birth Date: 9/15/1947



5 total ruling(s) listed.

Ruling #: 1

Ruling Number:	12559	Date:	6/2/2012
Issued By:	Indiana Racing Commission	Facility:	Indiana Downs
Ruling Type:	Race Office/Track Rule Violation		
Division:	Horse	Breed:	
Effective Date:	N/A	Race Date:	N/A
Infraction Date:	N/A	Infraction Facility:	N/A
Race Number:	N/A	Animal Name:	N/A
Under Appeal:	False	Appeal Date:	N/A
Fine Amount:	\$ 100	Fine Paid:	Not Submitted
Suspension Start:	None	Suspension End:	None
Actions:			

Alpha Ruling: 12559

Action Type: Initial Ruling

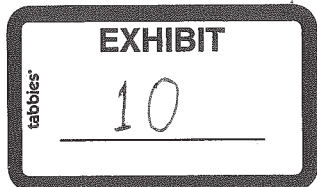
Issue Date: 6/2/2012

Action Text:

Trainer Donald Grego is fined \$100 for failing to have a current Piroplasmosis vaccination certificate for "Cielo Classic" on file as required by the Indiana Board of Animal Health so he could participate in the second (2nd) race on June 2, 2012 necessitating a late scratch.

Ruling #: 2

Ruling Number:	071003	Date:	5/16/2007
Issued By:	Illinois Racing Board	Facility:	Arlington Park
Ruling Type:	Medication/Drug Violation - Animal		
Division:	Horse	Breed:	
Effective Date:	N/A	Race Date:	N/A
Infraction Date:	N/A	Infraction Facility:	N/A
Race Number:	N/A	Animal Name:	N/A
Under Appeal:	False	Appeal Date:	N/A
Fine Amount:	\$ 250	Fine Paid:	Not Submitted
Suspension Start:	None	Suspension End:	None
Actions:			



Alpha Ruling: 071003

Action Type: Initial Ruling

Issue Date: 5/16/2007

Action Text:

PURSUANT TO ILLINOIS RACING BOARD RULE SECTION 603.70 (G)(2) TRAINER DONALD R. GREGO IS HEREBY ASSESSED A CIVIL PENALTY OF TWO HUNDRED FIFTY DOLLARS (\$250.00) FOR EXCESSIVE USE OF LASIX (113.6 NG/ML) FIRST OFFENSE OVER 100 NG/ML RESULTING IN AN OVERAGE I

Ruling #: 3

Ruling Number:	*N*99059	Date:	2/26/1983
Issued By:	Arkansas Racing Commission	Facility:	Unknown
Ruling Type:	Unknown		
Division:	Unknown	Breed:	
Effective Date:	N/A	Race Date:	N/A
Infraction Date:	N/A	Infraction Facility:	N/A
Race Number:	N/A	Animal Name:	N/A
Under Appeal:	False	Appeal Date:	N/A
Fine Amount:	\$ 0	Fine Paid:	Not Submitted
Suspension Start:	None	Suspension End:	None

Actions:

Alpha Ruling: *N*99059

Action Type: Initial Ruling

Issue Date: 2/26/1983

Action Text:

RE: RULING OF 2-21: RULING SUSTAINED.

Ruling #: 4

Ruling Number:	*N*99058	Date:	2/21/1983
Issued By:	Arkansas Racing Commission	Facility:	Oaklawn Park
Ruling Type:	Failure to Conduct Business in Proper Manner		
Division:	Unknown	Breed:	
Effective Date:	N/A	Race Date:	N/A
Infraction Date:	N/A	Infraction Facility:	N/A
Race Number:	N/A	Animal Name:	N/A
Under Appeal:	False	Appeal Date:	N/A
Fine Amount:	\$ 0	Fine Paid:	Not Submitted
Suspension Start:	None	Suspension End:	None

Actions:

Alpha Ruling: *N*99058

Action Type: Initial Ruling

Issue Date: 2/21/1983

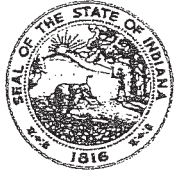
Action Text:

\$100 - FAILURE TO CONDUCT BUSINESS IN PROPER MANNER REGARDING A SCRATCH.

Ruling #: 5

Ruling Number:	*N*99060	Date:	8/26/1975
Issued By:	Illinois Racing Board	Facility:	Unknown
Ruling Type:	Possession of Medication/Drugs/Contraband/Injectable (s)/Needle/Syringe		
Division:	Unknown	Breed:	
Effective Date:	N/A	Race Date:	N/A
Infraction Date:	N/A	Infraction Facility:	N/A
Race Number:	N/A	Animal Name:	N/A
Under Appeal:	False	Appeal Date:	N/A
Fine Amount:	\$ 0	Fine Paid:	Not Submitted
Suspension Start:	None	Suspension End:	None
Actions:			

Alpha Ruling:	*N*99060	Action Type:	Initial Ruling	Issue Date:	8/26/1975
Action Text:	\$50.00 FOR NEGLIGENCE IN CONJUNCTION WITH CONTRABAND MATERIAL.				



INDIANA HORSE RACING COMMISSION APPEAL

7215 JAN 15 P 3 19

State Form 48793 (R3 / 7-13)

WWW.INHRC.COM

In Re: # 14703 (Ruling Number)

Donald R. Grego
(Name of Appellant)

502-445-5272
(Telephone)

1327 Lance Dr Louisville
(Address) (City)

Ky 40216
(State) (ZIP)

953378
(IN License Number)

9-15-47
(Date of Birth (month, day, year))

I hereby appeal the decision of the Judges/Stewards at Indiana Grand on Jan 5, 2015
in connection with the above referenced ruling. (Date of Ruling (month, day, year))

Reasons for Appeal (attach additional sheets if necessary):
① Lack of notice of rule changes
② Chain of custody of blood sample
③ Accuracy of blood sample reading
④ Increased fine upon hearing by stewards.
⑤ Substantial lack of due process of Law.

Donald R. Grego
(Signature of Appellant)

1-12-15
(Date (month, day, year))

If you will be represented by legal counsel and know the name of your attorney, please complete the following.

Name of Attorney: I will be represented by legal counsel

Mailing Address: _____

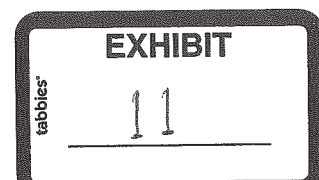
Telephone Number / Fax Number: _____

DO NOT WRITE BELOW THIS LINE.

All appeals shall be made in writing and must be filed with the Commission within fifteen (15) days after the Judges' or other Officials' ruling is served upon the Appellant.

(Signature of Judge/Steward or Other Official)

Distribution: Executive Director, Judges/Stewards, Appellant





State Form 48793 (R3 / 7-13)

INDIANA HORSE RACING COMMISSION AMENDED APPEAL

In Re: 14703 (Ruling Number)

Donald R. Grego
(Name of Appellant)
1327 Lance Drive Louisville
(Address) (City)
953378
(IN License Number)

(502) 445-5272
(Telephone)
KY 40216
(State) (ZIP)
09/15/1947
(Date of Birth (month, day, year))

I hereby appeal the decision of the Judges/Stewards at Indiana Grand on 01/05/2015
in connection with the above referenced ruling. (Date of Ruling (month, day, year))

Reasons for Appeal (attach additional sheets if necessary): 1.) I was not notified of any changes by the Indiana
either before or after I had renewed my training license at Indiana Grand. 2.) Due to the time between the
race at Indiana Grand on 07/24/2014, lab receiving sample on 10/10/2014 and results on 10/28/2014, I
question the accuracy of the results and chain of custody of the sample. 3.) After the hearing on 12/29/2014,
I was notified that the fine was increased \$1000.00 for the same results I am currently contesting.

Donald R. Grego 01/09/2015
(Signature of Appellant) (Date (month, day, year))

If you will be represented by legal counsel and know the name of your attorney, please complete the following.

Name of Attorney: Bernard S. Ritchie, Jr.

Mailing Address: 10405 Croswell Trace

Louisville, KY 40223

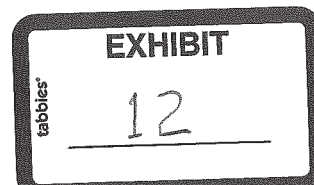
Telephone Number / Fax Number: (502) 724-3587

DO NOT WRITE BELOW THIS LINE.

All appeals shall be made in writing and must be filed with the Commission within fifteen (15) days
after the Judges' or other Officials' ruling is served upon the Appellant.

(Signature of Judge/Steward or Other Official)

Distribution: Executive Director, Judges/Stewards, Appellant



BEFORE AN ADMINISTRATIVE LAW JUDGE
APPOINTED BY THE INDIANA HORSE RACING COMMISSION

DONALD R. GREGO,
Petitioner,

v.

INDIANA HORSE RACING COMMISSION
STAFF.

In Re: AN APPEAL OF STEWARDS'
RULING NO. 14703

Respondent.

AFFIDAVIT OF PETRA HARTMANN

Comes now the undersigned, and being duly sworn upon her oath, alleges and says as follows:

1. I am an adult, over eighteen (18) years of age, of sound mind, and have personal knowledge of and am competent to testify as to the matters contained herein.

2. I am and was at all times relevant employed as the Drug Testing Services Director of Industrial Laboratories ("Industrial"). At all times relevant, Industrial has regularly conducted tests of samples taken from race horses to detect the presence of any foreign substance in the horse's system at the time of a race.

3. At all times relevant, Industrial Laboratories was engaged by the Indiana Horse Racing Commission to analyze serum and urine samples from horses participating in pari-mutuel racing in Indiana.

4. On or about October 10, 2014, urine and blood/serum Samples No. 54901 taken from Indiana Grand on July 24, 2014, was received by Industrial Laboratories in good and secure condition.

5. Industrial Laboratories was engaged to perform an analysis of serum and urine Samples No. 54901, which were collected after a race at Indiana Grand Racing and Casino on July 24, 2014.

6. In my role as the Drug Testing Services Director at Industrial, the testing of serum and urine Samples No. 54901 were conducted under my supervision and control.

7. Industrial testing determined that serum Sample No. 54901 contained ibuprofen. Detection, identification and confirmation of the presence of ibuprofen was performed utilizing liquid chromatography mass spectrometry-mass spectrometry (LC-MS/MS).

8. Industrial testing determined that serum Sample No. 54901 contained triamcinolone acetonide at a level of 171 picograms per milliliter ("pg/mL") (+/-19 pg/mL). Detection, identification and confirmation of the presence of triamcinolone acetonide was performed utilizing liquid chromatography mass spectrometry-mass spectrometry (LC-MS/MS).

9. I sent an Affidavit to the Indiana Horse Racing Commission confirming these results on October 28, 2014. A true and accurate copy of that document is attached to this Affidavit and identified as Exhibit 9.

10. Attached Exhibit 9 reflects entries, reports, records and/or data compilations that were made at or near the time of the occurrence of the matters set forth in each of the records, by or from information transmitted by a person with knowledge of the existence of the matters recorded therein.

11. Attached Exhibit 9 and the entries, reports, records and/or data compilations that it reflects are, and were, kept in the course of regularly conducted business activities of the Commission.

12. Attached Exhibit 9 and the entries, reports, records and/or data compilations that it reflects are, and were, made and gathered as a part of the regular business practice and activity of the Commission.

13. I certify the authenticity of Exhibit 9 pursuant to the provision of Indiana Rules of Evidence 803(6).

Further Affiant Sayeth Not.

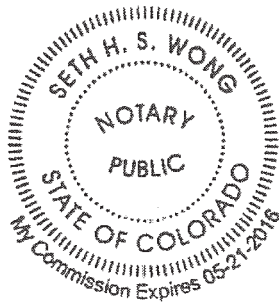
I affirm under the penalties for perjury that the foregoing representations are true and correct to the best of my knowledge.

June 19th, 2015
Date

Petra Hartmann
Petra Hartmann

STATE OF COLORADO)
) SS:
COUNTY OF Jefferson)

Before me the undersigned, a Notary Public in and for said County and State, personally appeared Petra Hartmann and acknowledged the execution of the foregoing instrument this 19 day of June, 2015.



[Signature]
Notary Public
Seth H S Wong
Printed Name

My Commission Expires:
May 21, 2016

County of Residence:
Denver

Sum. from
LGC lab Oct 9th



(A)

INDIANA HORSE RACING COMMISSION.
1302 N. MERIDIAN STREET
SUITE 175
INDIANAPOLIS, IN 46202

AFFIDAVIT

I hereby certify that Industrial Laboratories at 4046 Youngfield Street, Wheat Ridge, Colorado; has analyzed the sample identified below:

54901, Indiana Grand, race date July 24, 2014.

Sample matrix: Serum

The sample was received in good & secure condition on: October 10, 2014

Laboratory ID number: IL-R-14-15485

The sample was analyzed using:
Liquid Chromatography Mass Spectrometry-Mass Spectrometry (LC-MS/MS)

The sample contained: Triamcinolone acetonide & Ibuprofen

Concentration: 171 +/- 19 picograms per milliliter (pg/mL) (Triamcin acet)
approximately 23 ng/mL (Ibuprofen)

Comments: Reported uncertainties represent expanded uncertainties expressed at approximately the 99.7% confidence level using a coverage factor of k=3.20

SIGNED:

Petra Hartmann

Petra Hartmann
Director, Drug Testing Services

Date: October 28th, 2014

Sworn to me this day:

28 day of October, 2014.

Michelle Stringer
NOTARY PUBLIC

4046 Youngfield St., Wheat Ridge, Colorado 80033 TEL (303) 287-9691 FAX (303) 287-0964
www.industrialabs.net

Receipt of analysis services acknowledges the terms and conditions on the website
www.industrialabs.net/terms/terms.html

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MICHELLE STRINGER
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 20134033071
MY COMMISSION EXPIRES MAY 23, 2017

000040

tabbles®
EXHIBIT
9

BEFORE AN ADMINISTRATIVE LAW JUDGE
APPOINTED BY THE INDIANA HORSE RACING COMMISSION

DONALD R. GREGO,
Petitioner,

v.

INDIANA HORSE RACING COMMISSION
STAFF,

Respondent.

In Re: AN APPEAL OF STEWARDS'
RULING NO. 14703

AFFIDAVIT OF STANLEY BOWKER

Comes now the undersigned, and being duly sworn upon his oath, alleges and says as follows:

1. I am an adult, over eighteen (18) years of age, of sound mind, have personal knowledge of and am competent to testify as to the matters contained herein.
2. I am the Senior State Steward of the Indiana Horse Racing Commission (the "Commission"). I commenced my duties on October 18, 2009. The Senior State Steward is the highest ranking steward.
3. As Senior State Steward, I, along with two associate stewards, am responsible for ensuring that IHRC rules are enforced on a day-to-day basis. The stewards work together to act as referee to all pari-mutuel thoroughbred and quarter horse racing in Indiana.
4. My review of information relating to the seventh race conducted at Indiana Grand on July 24, 2014 reveals that Cielo Classic finished third and was awarded a \$3,400.00 purse. The Program for Race 7 at the Indiana Grand Race Course is attached to this Affidavit as Exhibit 1. The Equibase report

showing the race results and purse for Race 7 at the Indiana Grand Race Course is attached to this Affidavit as Exhibit 2.¹

5. At all times relevant, Cielo Classic was owned by Donald Grego, who was licensed by the Commission as an owner.
6. At all times relevant, Cielo Classic was trained by Grego, who was licensed by the Commission as a trainer.
7. Blood and urine samples were collected from Cielo Classic after the July 24, 2014 race.
8. On October 28, 2014, Industrial Laboratories identified Sample No. 54901 as being positive for two substances: triamcinolone acetonide and ibuprofen.
9. The Stewards notified Grego of the positive tests.
10. Grego declined to have a split sample tested.
11. The IHRC stewards conducted a telephonic hearing for Grego on December 29, 2014. Grego was represented by counsel during the hearing.
12. Commission regulations dictate that the Stewards shall consider the classification level of the violation as currently established by the Uniform Classification Guidelines of Foreign Substances and Recommended Penalties and Model Rule published by the ARCI, and impose penalties and disciplinary measures consistent with the recommendations therein. 71 IAC 8.5-1-7.
13. On January 5, 2015, the IHRC Stewards issued Ruling No. 14703, a true and accurate copy of which is attached hereto as Exhibit 13.

¹ Equibase Chart results have been updated to reflect Stewards' Ruling No. 14703. The revised Chart is attached as Exhibit 3.

14. The IHRC Stewards concluded that Cielo Classic did have ibuprofen and triamcinolone acetonide (in excess of threshold limits) in its system at the time of the 7th race on July 24, 2014.
15. The IHRC Stewards concluded that pursuant to 71 IAC 5.5-3-2(a)(2) Grego, as trainer of Cielo Classic, was fully responsible for the horse's condition.
16. The IHRC Stewards concluded that Grego had violated 71 IAC 8.5-1-2 and 71 IAC 8.5-1-4.2(21).
17. Accordingly, the IHRC Stewards fined Grego \$2,000.00 (\$1,000.00 for the violation of 71 IAC 8.5-1-2; and \$1,000.00 for the violation of 71 IAC 8.5-1-4.2(21)), pursuant to 71 IAC 8.5-1-7.
18. Attached Exhibits 1 through 3 and 13 reflect entries, reports, records and/or data compilations that were made at or near the time of the occurrence of the matters set forth in each of the records, by or from information transmitted by a person with knowledge of the existence of the matters recorded therein.
19. Attached Exhibits 1 through 3 and 13 and the entries, reports, records and/or data compilations that they reflect are, and were, kept in the course of regularly conducted business activities of the Commission.
20. Attached Exhibits 1 through 3 and 13 and the entries, reports, records and/or data compilations that they reflect are, and were, made and gathered as a part of the regular business practice and activity of the Commission.

Further Affiant Sayeth Not.

I affirm under the penalties for perjury that the foregoing representations are true and correct to the best of my knowledge.

6-19-15
Date

Stanley K. Bowker
Stanley Bowker

STATE OF Indiana)
COUNTY OF Shelby) SS:

Before me the undersigned, a Notary Public in and for said County and State, personally appeared Stanley Bowker and acknowledged the execution of the foregoing instrument this 19 day of June, 2015.

Deena Pitman
Notary Public
Deena Pitman
Printed Name

My Commission Expires:
March 9, 2014

County of Residence:
Johnson

COMPARATIVE RANKINGS

Table with columns: Wins At Distance, Last 3 Finishes, Lifetime Wins, In The Money, J/T Meet Wins, Class Rating, Avg Last 3 B Speed, Best Overall. Rows include horses like He Will Shine, Cielo Classic, Kela's Boy, Steel Guitar, Discreet Treat, Lil Posh.

Daily Double / Exacta / Trifecta / 50 Cent Trifecta / Superfecta / 10 Cent Superfecta / 50 Cent Pick 3 (Races 7-8-9)

7 Indiana Grand Race Course

3f ALLOWANCE OPTIONAL CLAIMING 25,000

Purse \$34,000. (Plus 40% State Bred Supplement) For Three Year Olds and Upward Which Have Never Won A Race Other Than Maiden, Claiming, Starter Or Indiana Bred or Claiming Price \$25,000, Three Year Olds, 120 Lbs; Older, 124 Lbs Non-winners Of A Race On The Turf Since June 24 Allowed 2 Lbs. Claiming Price \$25,000 (Races where entered for \$15,000 or less not considered in allowances) (Condition Eligibility Preferred). If this race is taken off the turf, it will be run at 5 furlongs on the main track. FIVE FURLONGS (Turf)



equibase.com/QR

Track Record: Chamberlain Bridge(5), 124 lbs; :55.40 (5-27-09)

Table with columns: Prg #, Horse, Jockey (St-W-P-S Win%) ITM%, Pedigree / Breeder / Owner / Trainer (St-W-P-S Win%) ITM%, Weight, Medication

1 Discreet Treat
FERNANDO DE LA CRUZ (227-50-28-38 22%) 51%
Near Green, Neon Pink Chevrons
L 122
Race 122
Class Rating: 77
Works: 12 Jun 14 Ind 4F ft :46.40 b 1/60
Trainer (Last 365 days): All: 235 27% \$1.91 Dist/Surf: 4 25% \$1.90

2 West Malibu
ARMANDO AYUSO (21-3-2-3 14%) 38%
Royal Blue, Kelly Green Star, Kelly Green Band on Sleeves
L 122
Race 122
Class Rating: 67
Works: 12 Jun 14 Ind 4F ft :46.40 b 1/60
Trainer (Last 365 days): All: 220 14% \$1.54 Dist/Surf: 4 25% \$1.00

3 Sold Out
BEN CREED (155-21-19-12 14%) 34%
Tan, Forest Green "MV"
L 119
Race 119
Class Rating: 69
Works: 16 Jun 14 CD 3F ft :38.00 b 10/27
Trainer (Last 365 days): All: 36 0% \$0.00 Dist/Surf: 5 0% \$0.00

4 Kela's Boy
KATIE DAVIS (122-8-7-17 7%) 26%
Red, White Sash, White Sleeves
L 117.5
Race 117.5
Class Rating: 72
Works: 7 Jul 14 Ind 4F ft :53.00 b 8/9
Trainer (Last 365 days): All: 4 0% \$0.00 Allowance: 1 0% \$0.00

EXHIBIT
tabbies
1

5 Cielo Classic \$25,000

Green JOHN MCKEE (1-0-0-0 0%) 0%

B g. 8 (Feb 15, 2006) (KEE SEP YRLG 07 \$5,000) Sky Classic (\$12,500) (Hinjinsky II) - Cielo's Finest (Conquistador Cielo) Life: 17 6 3 2 \$135,474

2014: 1 0 1 0 \$6,200 Wet Dirt: 10 3 2 1 \$60,035 2013: 0 0 0 0 \$0 Turf: 7 3 1 1 \$75,439

Table with columns for date, race, distance, track, and time. Races include 21Jun14 CD4, 13Dec12 TP9, 10Nov12 CD5, etc.

Table with columns for date, race, distance, track, and time. Races include 77-85 2 5 1hd 2hd 2 2 2 1 Johnson J M 118 L, 96-98 6 3 3 3 1 1hd 21 McKee J 121 L, etc.

Table with columns for date, race, distance, track, and time. Races include 3.70 Law Dog120 1/2 Cielo Classic118 1/2 Full118 1/2, 2.70 Point Finish123 1/2 Cielo Classic121 1/4 Bob's Gone Wild121 1/2, etc.

6 Lil Posh \$25,000

Black GRETA KUNTZWEILER (8-0-1-1 0%) 25%

B g. 5 (Mar 22, 2009) (IND MIX FALL 10 \$1,100) Posh (\$2,000) (Deputy Minister) - Nan's Lil Poopsie (Twining) Life: 34 1 3 3 \$63,332

2014: 3 0 0 0 \$1,574 Wet Dirt: 27 0 3 2 \$26,289 2013: 14 1 1 1 \$44,069 Turf: 7 1 0 1 \$37,043

Table with columns for date, race, distance, track, and time. Races include 26Jun14 CD2, 09Jun14 Mnr2, 22May14 CD6, etc.

Table with columns for date, race, distance, track, and time. Races include 92-75 6 1 5 5 4 5 7 5 9 Kuntzweiler G 118 L, 39.60 Grip Hands118 1/2 The Absolute One120 1/2 Sasueno118 1/4, etc.

Table with columns for date, race, distance, track, and time. Races include 17.80 Phil's Wildcat118ns Gohomebay120 1/2 Cap Trick120 1/2, 52.20 Doubly Distinct116 1/2 Rednamite116 1/2 Let's Go Ben122 1/2, etc.

7 Steel Guitar \$25,000

Orange JAMIE THERIOT (60-7-9-12 12%) 47%

Gr/gr g. 5 (May 14, 2009) (KEE NOV BRDG 12 \$80,000) Unbridled's Song (\$150,000) (Unbridled) - Candytuft (Dehere) Life: 17 2 4 3 \$62,356

2014: 8 1 1 2 \$18,210 Wet Dirt: 12 1 3 3 \$34,115 2013: 7 1 2 1 \$33,846 Turf: 5 1 1 0 \$28,241

Table with columns for date, race, distance, track, and time. Races include 21Jun14 Ind4, 23May14 Ind4, 17May14 Ind3, etc.

Table with columns for date, race, distance, track, and time. Races include 74-87 3 3 2 1 2 1 1hd 2 1 Theriot J 122 bl, *1.50 Good Ticked122 1/2 Steel Guitar123 Discreet Treat122 1/2, etc.

Table with columns for date, race, distance, track, and time. Races include *2.00 Steel Guitar122 1/2 Hello Smokey122 1/2 Hogue122 1/2, *1.10 Zantastica117 1/2 The Crazy Champ124 1/2 Steel Guitar123, etc.

8 Paddy's Notes \$25,000

Pink ORIANA ROSSI (99-15-15-18 15%) 48%

B g. 5 (Mar 16, 2009) Aragorn (IRE) (\$30,000) (Giant's Causeway) - Scratch Pad (Notebook) Life: 12 4 1 2 \$101,392

2014: 2 1 0 0 \$14,520 Wet Dirt: 11 4 1 2 \$101,202 2013: 8 3 0 1 \$75,872 Turf: 1 0 0 0 \$190

Table with columns for date, race, distance, track, and time. Races include 04Jul14 Ind1, 20May14 Ind7, 20Nov13 CD6, etc.

Table with columns for date, race, distance, track, and time. Races include 67-99 6 1 1 1 1 1hd 1 1 2 Rossi O 122 bl, 3.80 Paddy's Notes122 1/2 Prince of Time122nk Scatterfield22 1/2, etc.

Table with columns for date, race, distance, track, and time. Races include 12.10 Success Is Racing117 1/2 Lawyer Daggott117 1/2 Bisque119nk, 32.80 Great Attack120 1/2 Band of Joy120 1/2 Expecting Cash120 1/2, etc.

9 Penny's Deputy \$25,000

Turquoise MALCOLM FRANKLIN (234-37-36-28 16%) 43%

Dk B/ Br g. 5 (Mar 13, 2009) Silver Deputy (\$30,000) (Deputy Minister) - Miss Penny Fortune (Rahy) Life: 10 1 1 1 0 \$21,519

2014: 4 1 0 0 \$16,040 Wet Dirt: 7 1 1 0 0 \$19,654 2013: 4 0 0 0 \$3,284 Turf: 3 0 0 0 0 \$1,865

Table with columns for date, race, distance, track, and time. Races include 19Jun14 CD7, 22May14 CD6, 18Apr14 Kee5, etc.

Table with columns for date, race, distance, track, and time. Races include 108-70 2 2 2 1 3 3 7 7 1 Bridgmohan S 122 L, 43.90 Rincon Behr122 1/2 Aztec Brave117 1/2 Forfeit117 1/2, etc.

Table with columns for date, race, distance, track, and time. Races include 10.50 Doubly Distinct116 1/2 Rednamite116 1/2 Let's Go Ben122 1/2, 8.20 Caleb's Comer123ns Ten Indian123nk Hijo Del Corredor117 1/2, etc.



10 He Will Shine

Purple RAMON RECHY (50-4-3-1 8%) 16% 30-1

Black, White "KK", Black Bars on Red Sleeves

Dk B/ Br g, 5 (Feb 13, 2009) (KEE NOV BRDG 09 \$1,000) Will He Shine (\$6,500) (Silver Deputy) - Eve's Valentine (Deerhound) Br: Millennium Farms (MI) Own: Kimbra Hobson Tr: Tim Reidy (11-2-2-2 18%) 55%

Life: 7 4 1 2 \$23,750 Dirt: 7 4 1 2 \$23,750 2014: 2 1 0 1 \$5,250 Wet Dirt: 1 1 0 0 \$4,800 2013: 0 0 0 0 \$0 Turf: 0 0 0 0 \$0 Ind Turf: 0 0 0 0 \$0 Wet Turf: 0 0 0 0 \$0 Distance: 1 1 0 0 \$4,500 Synthetic: 2 1 0 1 \$5,250

L 122

Class Rating: 78 b/between, tired 9

Table with race results for He Will Shine, including dates, track types, distances, and competitors.

11 Wingate Hall

Grey ORLANDO MOJICA (153-15-17-20 10%) 34% 15-1 Red, White "GG" on Green Triangle Yoke, Red Band on Green Sleeves

B g, 3 (Apr 27, 2011) (KEE SEP YRLG 12 \$130,000) Street Sense (\$50,000) (Street Cry (IRE)) - Fruhi's Gold (Crafty Prospector) Br: Liberation Farm, Brandywine Farm & Darley (KY) Own: Bruce Murphy and Genaro Garcia Tr: Genaro Garcia (43-5-5-6 12%) 37%

Life: 11 1 2 0 \$22,705 Dirt: 9 1 2 0 \$22,203 2014: 7 1 2 0 \$21,042 Wet Dirt: 0 0 0 0 \$0 2013: 4 0 0 0 \$1,663 Turf: 2 0 0 0 \$502 Ind Turf: 0 0 0 0 \$0 Wet Turf: 1 0 0 0 \$192 Distance: 0 0 0 0 \$0 Synthetic: 2 0 0 0 \$367

L 118

Class Rating: 74

Table with race results for Wingate Hall, including dates, track types, distances, and competitors.

12 Buster Rose

Line JEREMY ROSE (187-39-31-31 21%) 54% 4-1 Hunter Green, White "B"

Dk B/ Br g, 6 (Mar 19, 2008) Pioneering (\$5,000) (Mr. Prospector) - Sister Rose (Service Stripe) Br: Michael W. Ball (IN) Own: Michael Ball Tr: Stephen D. Dunn (15-1-1-1 7%) 20%

Life: 23 5 8 5 \$192,129 Dirt: 22 5 7 5 \$175,008 2014: 4 1 2 1 \$32,850 Wet Dirt: 1 0 0 0 \$440 2013: 6 1 1 2 \$15,280 Turf: 1 0 1 0 \$17,121 Ind Turf: 1 0 1 0 \$17,121 Wet Turf: 0 0 0 0 \$0 Distance: 0 0 0 0 \$0 Synthetic: 4 1 2 1 \$16,350

L 119

Class Rating: 88

Table with race results for Buster Rose, including dates, track types, distances, and competitors.

ALSO ELIGIBLE - Evacuation Route may run if any late scratches occur.

13 Evacuation Route

Brown RODNEY A. PRESCOTT (265-31-29-24 12%) 32% 6-1 Black, Black "LMR" on White Ball, Black and White Sleeves

B g, 8 (Mar 5, 2006) (OBS WNT MIX 07 \$30,000) Omega Code (\$7,500) (Elusive Quality) - Lili Sissy Girl (El Prado (IRE)) Br: Bob Myers (FL) Own: Lindsey M. Raines Tr: Lindsey M. Raines (0-0-0-0 0%) 0%

Life: 48 3 8 10 \$171,140 Dirt: 15 0 4 4 \$39,321 2014: 5 0 1 0 \$6,170 Wet Dirt: 0 0 0 0 \$0 2013: 10 0 1 2 \$12,134 Turf: 33 3 4 6 \$131,819 Ind Turf: 0 0 0 0 \$0 Wet Turf: 10 0 1 1 \$23,381 Distance: 7 1 1 1 \$26,975 Synthetic: 13 0 4 4 \$36,421

L 122

Class Rating: 90

Table with race results for Evacuation Route, including dates, track types, distances, and competitors.

B-Bute; L-Lasix; LI-First time on Lasix; LK-Off Lasix; I-Indiana Bred/Indiana Sired

Selections: 5-1-12-7

000040

INDIANA GRAND RACE COURSE - July 24, 2014 - Race 7

ALLOWANCE OPTIONAL CLAIMING - For Thoroughbred Three Year Old and Upward (NW1 X) Claiming Price: \$25,000
 Five Furlongs On The Turf Track Record: (Chamberlain Bridge - 55.40 - May 27, 2009)



Purse: \$34,000

Plus: \$8,160 State Bred

Available Money: \$42,160

Value of Race: \$42,160 1st \$28,560, 2nd \$6,800, 3rd \$3,400, 4th \$1,700, 5th \$340, 6th \$340, 7th \$170, 8th \$170, 9th \$170, 10th \$170, 11th \$170, 12th \$170

Weather: Cloudy Track: Firm

Off at: 5:00 Start: Good for all except 8



Last Raced	Pgm	Horse Name (Jockey)	Wgt	M/E	PP	Start	3/16	3/8	Str	Fin	Odds	Comments
20Jun14 ⁷ IND ¹	12	Buster Rose (Rose, Jeremy)	119	L b	12	8	3 ¹ / ₂	2 ²	1 ^{Head}	1 ¹	3.10	rallied, 3w, driving
21Jun14 ⁴ IND ³	1	Discreet Treat (De La Cruz, Fernando)	122	L f	1	5	1 ²	1 ^{Head}	2 ² / _{1/2}	2 ³ / ₄	2.90	pace, led, tired late
21Jun14 ⁴ CD ²	5	Cielo Classic (McKee, John)	122	L	5	11	10 ²	8 ¹	5 ^{Head}	3 ¹ / ₂	2.40*	angled 4w, willingly
19Jun14 ⁷ CD ⁷	9	Penny's Deputy (Franklin, Malcolm)	122	L	9	2	4 ^{Head}	3 ¹ / ₂	3 ⁴	4 ¹ / ₄	23.30	chased, 3w, hung late
21Jun14 ⁴ IND ²	7	Steel Guitar (Theriot, Jamie)	122	L b	7	9	9 ¹	7 ¹	4 ^{Head}	5 ¹ / ₂	6.90	4w stretch, no threat
2Jul14 ² IND ¹	2	West Malibu (Ayuso, Armando)	122	L	2	6	7 ^{Head}	6 ¹	6 ¹ / ₂	6 ⁵ / ₂	12.50	inside turn, no menace
26Jun14 ² CD ⁵	6	Lil Posh (Kuntzweiler, Greta)	119	L	6	1	8 ^{Head}	10 ¹⁰	9 ¹	7 ³ / ₄	50.10	unhurried, no threat
7Jun14 ⁷ CD ⁴	11	Wingate Hall (Mojica, Orlando)	118	L b	11	10	6 ^{Head}	5 ¹ / ₂	7 ^{Head}	8 ^{Head}	31.00	4 wide turn, no rally
12Jan14 ³ TP ³	10	He Will Shine (Rechy, Ramon)	122	L bf	10	7	2 ^{Head}	4 ^{Head}	8 ²	9 ⁶ / ₂	62.10	chased, 2w, used up
1Jul14 ⁴ IND ³	3	Sold Out (Creed, Ben)	119	L	3	3	5 ¹ / ₂	9 ¹ / ₂	10 ¹²	10 ⁴ / _{1/2}	70.80	through early, inside
4Jul14 ¹ IND ¹	8	Paddy's Notes (Rossi, Oriana)	119	L b	8	12	12	12	11 ¹⁰	11 ¹⁶ / _{1/2}	8.50	broke in air, outrun
7Oct13 ⁵ IND ⁷	4	Kela's Boy (Wilkinson, Erin)	122	L	4	4	11 ⁸	11 ¹	12	12	94.10	brief foot, stopped

Fractional Times: 21.70 45.18

Final Time: 57.56

Split Times: (23:48) (12:38)

Run-Up: 28 feet Temporary Rail: 12 feet

Winner: Buster Rose, Dark Bay or Brown Gelding, by Pioneering out of Sister Rose, by Service Stripe. Foaled Mar 19, 2008 in Indiana.

Breeder: Michael W. Ball. Winning Owner: Michael Ball

Claiming Prices: 5 - Cielo Classic: \$25,000; 7 - Steel Guitar: \$25,000; 6 - Lil Posh: \$25,000;

Scratched Horse(s): Evacuation Route (Also-Eligible)

Total WPS Pool: \$48,299

Pgm	Horse	Win	Place	Show	Wager Type	Winning Numbers	Payoff	Pool
12	Buster Rose	8.20	4.40	3.40	\$2.00 Exacta	12-1	40.20	42,111
1	Discreet Treat		4.00	2.80	\$2.00 Trifecta	12-1-5	125.20	35,076
5	Cielo Classic			2.60	\$2.00 Superfecta	12-1-5-9	1,703.40	24,152
					\$2.00 Pick 3	5/10-2-12 (3 correct)	201.00	2,555
					\$2.00 Daily Double	2-12	69.20	2,012

Past Performance Running Line Preview

Pgm	Horse Name	Start	3/16	3/8	Str	Fin
12	Buster Rose	8	3 ²	2 ^{Head}	1 ^{Head}	1 ¹
1	Discreet Treat	5	1 ²	1 ^{Head}	2 ^{Head}	2 ¹
5	Cielo Classic	11	10 ⁵ / ₂	8 ⁶ / ₄	5 ⁶ / _{3/4}	3 ¹ / _{3/4}
9	Penny's Deputy	2	4 ³ / ₂	3 ²	3 ² / ₂	4 ³ / ₄
7	Steel Guitar	9	9 ⁴ / ₂	7 ⁵ / ₄	4 ⁶ / ₂	5 ⁴ / ₂
2	West Malibu	6	7 ⁴ / ₄	6 ⁴ / ₄	6 ⁶ / ₄	6 ⁶
6	Lil Posh	1	8 ⁴ / ₂	10 ⁷ / _{3/4}	9 ¹⁰ / ₂	7 ¹¹ / _{1/2}
11	Wingate Hall	10	6 ⁴ / ₄	5 ³ / _{3/4}	7 ⁸ / ₄	8 ¹² / _{1/4}
10	He Will Shine	7	2 ²	4 ³ / ₂	8 ⁸ / ₂	9 ¹² / _{1/4}
3	Sold Out	3	5 ³ / _{3/4}	9 ⁷ / ₄	10 ¹¹ / ₂	10 ¹⁸ / _{3/4}
8	Paddy's Notes	12	12 ¹⁵ / ₂	12 ¹⁸ / _{3/4}	11 ²³ / _{1/2}	11 ²³ / _{1/4}
4	Kela's Boy	4	11 ⁷ / ₂	11 ¹⁷ / _{3/4}	12 ³³ / _{1/2}	12 ³⁹ / _{3/4}

Trainers: 12 - Dunn, Stephen; 1 - Johnson, Marvin; 5 - Grego, Donald; 9 - Thornbury, Jeffrey; 7 - Holthus, Paul; 2 - McBride, Barbara; 6 - Vaughn, Terry; 11 - Garcia, Genaro; 10 - Reidy, Tim; 3 - Clark, Phillip; 8 - Glyshaw, Tim; 4 - Nasby, Dale

Owners: 12 -Michael Ball; 1 - Gibler, Lou, Johnson, Marvin A. and Widman, Larry A.; 5 -Donald R. Grego; 9 - Fortune Racing LLC; 7 - N P H Stable, Summers, Robert and Bridget and Quien Sabe Racing Stables; 2 - Just For Fun Stables; 6 -Terry Vaughn; 11 - Murphy, Bruce and Garcia, Genaro; 10 -Kimbra Hobson; 3 -Norma Clark; 8 - Grothaus, Dr. Ronald and Kay; 4 -Dale R. Nasby;

Footnotes

BUSTER ROSE was settle then rallied on the turn while three wide, was in a long dive on the outside and powered to the front in late stretch and proved best in the end. DISCREET TREAT set the early pace then was in a long drive in the stretch while inside, dug in but tired in late stretch. CIELO CLASSIC was unhurried early while inside then rallied in upper stretch, angled out in mid stretch to be four wide and closed willingly in late stretch. PENNY'S DEPUTY chased the pace then rallied on the turn three wide, made a bid but hung in late stretch. STEEL GUITAR was unhurried early then rallied on the turn, was four wide in the stretch but was no threat in the end. WEST MALIBU was inside on the turn then was no menace in the stretch. LIL POSH was unhurried early then was no threat in the stretch. WINGATE HALL raced four wide into the turn then lacked a rally in the stretch. HE WILL SHINE chased the early pace while two wide, was used up then stopped. SOLD OUT was through early while inside and stopped. PADDY'S NOTES broke in the air and was outrun. KELA'S BOY showed brief foot and stopped.



INDIANA GRAND RACE COURSE - July 24, 2014 - Race 7

ALLOWANCE OPTIONAL CLAIMING - Thoroughbred

FOR THREE YEAR OLDS AND UPWARD WHICH HAVE NEVER WON A RACE OTHER THAN MAIDEN, CLAIMING, STARTER OR INDIANA BRED OR CLAIMING PRICE \$25,000. Three Year Olds, 120 lbs.; Older, 124 lbs. Non-winners Of A Race On The Turf Since June 24 Allowed 2 lbs. Claiming Price \$25,000 (Races where entered for \$15,000 or less not considered in allowances) (Condition Eligibility Preferred). If this race is taken off the turf, it will be run at 5 furlongs on the main track. (NW1 X) Claiming Price: \$25,000

Five Furlongs On The Turf Track Record: (Chamberlain Bridge - 55.40 - May 27, 2009)

Purse: \$34,000

Plus: \$8,160 State Bred

Available Money: \$41,990

Value of Race: \$41,990 1st \$28,560, 2nd \$6,800, 3rd \$3,400, 4th \$1,700, 5th \$340, 6th \$340, 7th \$170, 8th \$170, 9th \$170, 10th \$170, 11th \$170

Weather: Cloudy Track: Firm

Off at: 5:00 Start: Good for all except 8

EQUIBASE
COMPANY

Video Race Replay

Last Raced	Pgm	Horse Name (Jockey)	Wgt	M/E	PP	Start	3/16	3/8	Str	Fin	Odds	Comments
20Jun14 7 ^{IND} 1	12	Buster Rose (Rose, Jeremy)	119	L b	12	8	3 ¹ / ₂	2 ²	1 ^{Head}	1 ¹	3.10	rallied, 3w, driving
21Jun14 4 ^{IND} 3	1	Discreet Treat (De La Cruz, Fernando)	122	L f	1	5	1 ²	1 ^{Head}	2 ² / _{1/2}	2 ^{3/4}	2.90	pace, led, tired late
21Jun14 4 ^{CD} 2	5	DQ-Cielo Classic (McKee, John)	122	L	5	11	10 ²	8 ¹	5 ^{Head}	3 ¹ / ₂	2.40*	angled 4w, willingly
19Jun14 7 ^{CD} 7	9	Penny's Deputy (Franklin, Malcolm)	122	L	9	2	4 ^{Head}	3 ¹ / ₂	3 ⁴	4 ¹ / ₄	23.30	chased, 3w, hung late
21Jun14 4 ^{IND} 2	7	Steel Guitar (Theriot, Jamie)	122	L b	7	9	9 ¹	7 ¹	4 ^{Head}	5 ¹ / ₂	6.90	4w stretch, no threat
2Jul14 2 ^{IND} 1	2	West Malibu (Ayuso, Armando)	122	L	2	6	7 ^{Head}	6 ¹	6 ¹ / ₂	6 ⁵ / ₂	12.50	inside turn, no menace
26Jun14 2 ^{CD} 5	6	Lil Posh (Kuntzweiler, Greta)	119	L	6	1	8 ^{Head}	10 ¹⁰	9 ¹	7 ^{3/4}	50.10	unhurried, no threat
7Jun14 7 ^{CD} 4	11	Wingate Hall (Mojica, Orlando)	118	L b	11	10	6 ^{Head}	5 ^{1/2}	7 ^{Head}	8 ^{Head}	31.00	4 wide turn, no rally
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1Jul14 4 ^{IND} 3	3	Sold Out (Creed, Ben)	119	L	3	3	5 ^{1/2}	9 ^{1/2}	10 ¹²	10 ⁴ / ₂	70.80	through early, inside
4Jul14 1 ^{IND} 1	8	Paddy's Notes (Rossi, Oriana)	119	L b	8	12	12	12	11 ¹⁰	11 ¹⁶ / ₂	8.50	broke in air, outrun
7Oct13 8 ^{IND} 7	4	Kela's Boy (Wilkinson, Erin)	122	L	4	4	11 ⁸	11 ¹	12	12	94.10	brief foot, stopped

Fractional Times: 21.70 45.18

Final Time: 57.58

Split Times: (23:48) (12:38)

Run-Up: 28 feet Temporary Rail: 12 feet

Winner: Buster Rose, Dark Bay or Brown Gelding, by Pioneering out of Sister Rose, by Service Stripe. Foaled Mar 19, 2008 in Indiana.

Breeder: Michael W. Ball. Winning Owner: Michael Ball

Claiming Prices: 5 - Cielo Classic: \$25,000; 7 - Steel Guitar: \$25,000; 6 - Lil Posh: \$25,000;

Disqualification(s): # 5 Cielo Classic from 3 to 12

Scratched Horse(s): Evacuation Route (Also-Eligible)

Total WPS Pool: \$48,299

Pgm	Horse	Win	Place	Show	Wager Type	Winning Numbers	Payoff	Pool
12	Buster Rose	8.20	4.40	3.40	\$2.00 Exacta	12-1	40.20	42,111
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5	Cielo Classic			2.60	\$2.00 Superfecta	12-1-5-9	1,703.40	24,152
					\$2.00 Pick 3	5/10-2-12 (3 correct)	201.00	2,555
					\$2.00 Daily Double	2-12	69.20	2,012

Past Performance Running Line Preview

Pgm	Horse Name	Start	3/16	3/8	Str	Fin
12	Buster Rose	8	3 ²	2 ^{Head}	1 ^{Head}	1 ¹
1	Discreet Treat	5	1 ²	1 ^{Head}	2 ^{Head}	2 ¹
5	Cielo Classic	11	10 ⁵ / ₂	8 ⁵ / ₄	5 ⁶ / _{3/4}	3 ¹ / _{3/4}
9	Penny's Deputy	2	4 ³ / ₂	3 ²	3 ² / ₂	4 ³ / ₄
7	Steel Guitar	9	9 ⁴ / ₂	7 ⁵ / ₄	4 ⁶ / ₂	5 ⁴ / ₂
2	West Malibu	6	7 ⁴ / ₄	6 ⁴ / ₄	6 ⁶ / _{3/4}	6 ⁶
6	Lil Posh	1	8 ⁴ / ₂	10 ⁷ / _{3/4}	9 ¹⁰ / ₂	7 ¹¹ / ₂
11	Wingate Hall	10	6 ⁴ / ₄	5 ³ / _{3/4}	7 ⁸ / ₄	8 ¹² / _{1/4}
10	He Will Shine	7	2 ²	4 ³ / ₂	8 ⁸ / ₂	9 ¹² / _{1/4}
3	Sold Out	3	5 ³ / _{3/4}	9 ⁷ / ₄	10 ¹¹ / ₂	10 ¹⁸ / _{3/4}
8	Paddy's Notes	12	12 ¹⁵ / ₂	12 ¹⁸ / _{3/4}	11 ²³ / ₂	11 ²³ / _{1/4}
4	Kela's Boy	4	11 ⁷ / ₂	11 ¹⁷ / _{3/4}	12 ²³ / _{1/2}	12 ²⁹ / _{3/4}

Trainers: 12 - Dunn, Stephen; 1 - Johnson, Marvin; 5 - Grego, Donald; 9 - Thornbury, Jeffrey; 7 - Holthus, Paul; 2 - McBride, Barbara; 6 - Vaughn, Terry; 11 - Garcia, Genaro; 10 - Reidy, Tim; 3 - Clark, Phillip; 8 - Glyshaw, Tim; 4 - Nasby, Dale

Owners: 12 -Michael Ball; 1 - Gibler, Lou, Johnson, Marvin A. and Widman, Larry A.; 5 -Donald R. Grego; 9 - Fortune Racing LLC; 7 - N P H Stable, Summers, Robert and Bridget and Quien Sabe Racing Stables; 2 - Just For Fun Stables; 6 -Terry Vaughn; 11 - Murphy, Bruce and Garcia, Genaro; 10 -Kimbra Hobson; 3 -Norma Clark; 8 - Grothaus, Dr. Ronald and Kay; 4 -Dale R. Nasby;

Footnotes

BUSTER ROSE was settle then rallied on the turn while three wide, was in a long dive on the outside and powered to the front in late stretch and proved best in the end. DISCREET TREAT set the early pace then was in a long drive in the stretch while inside, dug in but tired in late stretch. CIELO CLASSIC was unhurried early while inside then rallied in upper stretch, angled out in mid stretch to be four wide and closed willingly in late stretch. PENNY'S DEPUTY chased the pace then rallied on the turn three wide, made a bid but hung in late stretch. STEEL GUITAR was unhurried early then rallied on the turn, was four wide in the stretch but was no threat in the end. WEST MALIBU was inside on the turn then was no menace in the stretch. LIL POSH was early then was no threat in the stretch. WINGATE HALL raced four wide into the turn then lacked a rally in the stretch. HE WILL SHINE ch

EXHIBIT

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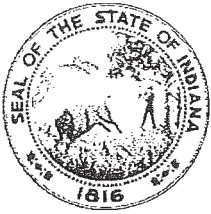
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pace while two wide, was used up then stopped. SOLD OUT was through early while inside and stopped. PADDY'S NOTES broke in the air and was outrun. KELA'S BOY showed brief foot and stopped. CIELO CLASSIC WAS DISQUALIFIED FROM THIRD TO UNPLACED FOR A MEDICATION VIOLATION.

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INDIANA HORSE RACING COMMISSION

RULING #14703

INDIANA GRAND

IHRC License #: 953378
Infraction Date: 7/24/2014
Date of Hearing: 12/29/2014
Date of Ruling: 1/5/2015
Horse Name: Cielo Classic
Race #: 7
License Type: Owner/Trainer TB

Don Grego
1327 Lance Dr
Louisville, KY 40216

Penalty

Fine: \$2,000
Total number of Suspended days:
Suspension Begins: Ends:
Number of Drives:
Drug Name: Triamcinolone & Ibuprofen

Rule Violation(s): 71 IAC 8.5-1-4.2(21) Threshold levels; 71 IAC 5.5-3-2 (a)(2) Trainer responsibility; 71 IAC 8.5-1-2 Foreign substances prohibited; 71 IAC 8.5-1-7 Drug classifications and penalties

Violation Declaration: Acting on a report from the Industrial Laboratories of Wheat Ridge, CO, that blood sample #54901 had tested positive for Triamcinolone (171 pg/mL of serum) which was in excess of the allowable limit of 100 pg/mL, a violation of IHRC Rule #71 IAC 8.5-1-4.2(21) and ibuprofen which is not permissible at any detectable level in serum, a violation of 71 IAC 8.5-1-2, the Stewards at Indiana Grand Racecourse determined that blood sample #54901 was obtained from "Cielo Classic", third-place finisher in the seventh race on July 24, 2014. The trainer of record for "Cielo Classic" was Donald Grego.

Trainer Grego was notified of the positive test results and was advised of his rights to have the split sample sent to an independent laboratory approved by the Commission and for a hearing before the Stewards. He waived his right to have a split sample tested and asked for a hearing.

On December 29, 2014, the Stewards conducted a telephonic hearing in the matter.

Pursuant to IHRC Rule #71 IAC 5.5-3-1 (a)(2), the trainer is responsible for the presence of any prohibited drug, medication, or other substance, including prohibited medication in excess of the allowable level as reported by a Commission approved laboratory, and is prima facie evidence of a violation of this rule.

Pursuant to the rules of the Commission and based on the evidence received at the hearing, the Stewards hereby ORDER the following:

1. Trainer Grego is fined \$1,000 for exceeding the allowable level of Triamcinolone in "Cielo Classic";
2. Trainer Grego is fined \$1,000 for the presence ibuprofen, a non-permissible drug, in "Cielo Classic"; and
3. "Cielo Classic" is disqualified from third place in the seventh race on July 24, 2014 for the purpose of receiving purse money and Owner Donald Grego is ORDERED to return the purse money earned by "Cielo Classic" in that race and the Horsemen's Bookkeeper is directed to redistribute the purse follows:

1. #12 Buster Rose (no change)
2. #1 Discreet Treat (no change)
3. #9 Penny's Deputy
4. #7 Steel Guitar
5. #2 West Malibu
6. #6 Lil Posh
7. #11 Wingate Hall
8. #10 He Will Shine
9. #3 Sold Out
10. #8 Paddy's Notes
11. #4 Kela's Boy

This ruling does not affect the pari-mutuel payoffs.

Stanley R. Bowker
Senior State Steward

1/5/2015
Date

William Twito
Associate Steward

1/5/2015
Date

Leah M. DeWitt
Associate Steward

1/5/2015
Date

Appeal Process: Pursuant to 71 IAC 10-2-9, anyone who is aggrieved or adversely affected by this ruling may appeal to the Commission. Such appeal must be filed with the Commission within fifteen (15) days of this ruling.

Fine Statement: Any Person failing to pay a fine within seven (7) days may be summarily suspended pending the payment of the fine, however when a fine and suspension is imposed, the fine shall be due and payable at the time the suspension expires, unless otherwise ordered. All fines shall be paid to the Commission.

Suspended Persons: Unless the ruling specifically states otherwise, any person suspended or determined to be ineligible for licensing shall be denied access to all facilities under the jurisdiction of the Commission, including satellite facilities, during the period of suspension or ineligibility.

EXHIBIT

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