

# **Agenda item # 7-11**

## SETTLEMENT AGREEMENT

This Settlement Agreement (“Agreement”) is entered into between the Indiana Horse Racing Commission (“Commission”), by Joe Gorajec, Executive Director of the Indiana Horse Racing Commission Staff (“Commission Staff”) and Peter Wrenn (“Wrenn”), a 2015 licensee subject to regulation by the Commission. Collectively, the Commission Staff and Wrenn shall be referred to herein as “the Parties.” This Agreement is subject to the review and approval of the Commission.

### RECITALS

1. The Indiana Horse Racing Commission (“IHRC”) is the administrative agency in the State of Indiana that regulates horse racing pursuant to provisions of the Indiana Code, Title 4, Article 31.
2. Wrenn is licensed as a driver with the IHRC during 2015, and at all times relevant to this Settlement Agreement.
3. Title 71 of the Indiana Administrative Code regulates drivers participating in pari-mutuel racing in Indiana.
4. On August 13, 2015, Wrenn drove the horse Get Rockin in Race 11 at Hoosier Park.
5. On review of Race 11, the Race Judges determined that Wrenn “failed to display competitive urging while in contention during the stretch drive” in violation of 71 IAC 7-3-7(e)(1).
6. Wrenn appealed the ruling, claiming that he drove the horse to its fastest career finish, traveled the least distance on the track of any driver, and acted safely and appropriately.
7. On August 29, 2015, Wrenn again drove Get Rockin in Race 6 at Hoosier Park.
8. On review of Race 6, the Race Judges determined that Wrenn “failed to display competitive urging while in contention in the homestretch” in violation of 71 IAC 7-3-7(e)(1).
9. The Race Judges referred Wrenn’s conduct in Race 6 to the Executive Director of the Indiana Horse Racing Commission for further consideration.
10. The Race Judges also imposed a summary suspension of Wrenn’s license on September 1, 2015.
11. Wrenn filed a complaint in Marion Superior court, No. 12, in Marion County, Indiana, seeking a temporary restraining order prohibiting imposition of the summary suspension. The action is captioned: Peter Wrenn v. Indiana Horse Racing Commission, Cause No. 49D12-1509-PL-029295 (hereinafter the “Marion County Action”).

12. On September 2, 2015, the judge in the Marion County Action, issued a temporary restraining order after hearing evidence presented by both the State and Wrenn. That order was extended by order of the Court.

13. Wrenn wishes to settle all alleged violations in order to avoid the expense, distraction and uncertainties associated with further litigation.

14. The Commission's Executive Director, given Wrenn's willingness to enter into this Agreement, is executing this Agreement in lieu of issuing an Administrative Complaint against Wrenn and to resolve all outstanding alleged violations.

15. Now, in full and complete resolution of any and all further administrative proceedings involving Wrenn related to Wrenn's driving of the horse Get Rockin and Hoosier Park, the Commission Staff and Wrenn agree to the terms and conditions set forth in this Agreement.

16. Now, in full and complete resolution of any and all further administrative proceedings involving Wrenn relative to the alleged violations referenced above, the Commission Staff and Wrenn agree to the terms and conditions set forth in this Agreement.

#### AGREEMENT

NOW, THEREFORE, in consideration of the foregoing, and the promises and covenants to be performed as set forth herein, the Parties agree as follows:

17. Wrenn agrees that the Commission Staff can prove by a preponderance of the evidence the two violations charged.

18. As a penalty for the referenced violation, Wrenn agrees to a thirty (30) day suspension of his license, effective Wednesday, October 14, 2015 to and including Thursday, November 12, 2015. After November 12, 2015, Wrenn's license shall be deemed active and valid. Wrenn may drive in races on November 13 and 14, 2015.

19. In further consideration of this Agreement, Wrenn further agrees not to enter any race in Indiana as a driver for the remainder of 2015, except as set forth in paragraph 18 above. The Agreement in this paragraph is not a penalty imposed by the Indiana Horse Racing Commission. It is voluntary undertaking by Wrenn and constitutes part of this Agreement.

20. Wrenn further agrees that he will not seek licensure from the Indiana Horse Racing Commission from January 1, 2016 to and including December 31, 2016. The Agreement in this paragraph is not a penalty imposed by the Indiana Horse Racing Commission. It is voluntary undertaking by Wrenn and constitutes part of this Agreement.

21. Wrenn will dismiss with prejudice or cause to be dismissed with prejudice the Marion County Action.

22. Should Wrenn breach this Agreement, this Agreement shall be deemed void, and the Commission Staff may commence proceedings related to the alleged violations as though this Agreement never existed.

23. The Commission will enter a ruling consistent with this Agreement which will make clear that the penalty or sanction set forth in Paragraph 18 is the total sanction or penalty for the alleged violations referenced in this Settlement Agreement. No other sanction or penalty shall be imposed pursuant to this Agreement. It is the intent of the Parties that this Agreement not be interpreted as imposing any other sanction or penalty.

24. The Parties agree that this Agreement shall be interpreted, enforced, and governed by the laws of the State of Indiana.

25. This Agreement may be executed in multiple counterparts, each of which shall be deemed an original, fully enforceable counterpart of all purposes, but all of which constitute one and the same instrument.

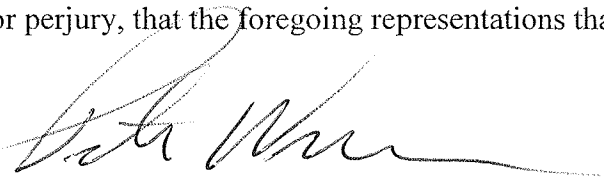
26. Wrenn represents that he has carefully read and reviewed the foregoing Agreement, acknowledges its contents, has had the right to consult with his own counsel, and agrees to be bound by its terms. Wrenn acknowledges that he has voluntarily entered into this Agreement as of the date and year herein set forth.

27. Wrenn and the Commission Staff, subject of the approval of the Commission, may modify this Agreement. Any modification must be in writing signed by the Parties.

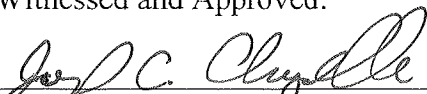
IN WITNESS HEREOF, the Parties have executed this Agreement on the dates listed below.

I swear, under penalties for perjury, that the foregoing representations that have been made by me are true and correct.

10-3-15  
Date

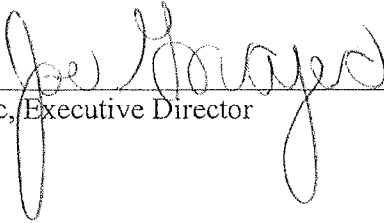
  
Peter Wrenn

Witnessed and Approved:


  
Joseph C. Chapelle, Counsel for Peter Wrenn

ON BEHALF OF THE INDIANA HORSE RACING COMMISSION:

10/2/15  
Date

  
\_\_\_\_\_  
Joe Gorajec, Executive Director

Approved as to form:

  
\_\_\_\_\_  
Lea Ellingwood, General Counsel

## SETTLEMENT AGREEMENT

This Settlement Agreement ("Agreement") is entered into between the Indiana Horse Racing Commission ("Commission"), by Deena Pitman, Interim Executive Director of the Indiana Horse Racing Commission Staff ("Commission Staff") and Rolando Aragon ("Aragon"), a 2015 licensee subject to regulation by the Commission. Collectively, the Commission Staff and Aragon shall be referred to herein as "the Parties." This Agreement is subject to the review and approval of the Commission.

### RECITALS

1. The Indiana Horse Racing Commission ("IHRC") is the administrative agency in the State of Indiana that regulates horse racing pursuant to provisions of the Indiana Code, Title 4, Article 31.
2. Rolando Aragon was licensed as a jockey with the IHRC during 2015, and at all times relevant to this Settlement Agreement.
3. Title 71 of the Indiana Administrative Code regulates jockeys participating in pari-mutuel racing in Indiana.
4. The thoroughbred race horse Big Chance was, during the first race on September 15, 2015 at Indiana Grand, mounted by Aragon.
5. Big Chance participated in and finished second in the first race on September 15, 2015 at Indiana Grand.
6. The IHRC Stewards determined that Aragon rode carelessly during the first race on September 15, 2015 at Indiana Grand.
7. 71 IAC 7.5-6-5(b)(1) provides: The following shall apply to interference, jostling, or striking during the race: (1) A jockey shall not ride carelessly or willfully so as to permit the jockey's mount to interfere with, impede, or intimidate any other horse in the race.
8. On September 17, 2015, the IHRC Stewards issued Ruling No. 15596, which disqualified Big Chance and placed the horse eighth.
9. The IHRC Stewards, in Ruling No. 15596, also suspended Aragon for seven racing days.
10. The thoroughbred race horse Kiki Dreamcatcher was, during the eighth race on September 25, 2015, mounted by Aragon.
11. Kiki Dreamcatcher participated in and finished sixth in the eighth race on September 25, 2015.

12. The IHRC Stewards determined that Aragon drifted in without being clear on Edmond Dantee, another horse participating in the first race on September 15, 2015 at Indiana Grand.
13. 71 IAC 7.5-6-5(b)(1) provides: The following shall apply to interference, jostling, or striking during the race: (1) A jockey shall not ride carelessly or willfully so as to permit the jockey's mount to interfere with, impede, or intimidate any other horse in the race.
14. On September 29, 2015, the IHRC Stewards issued Ruling No. 15606, which suspended Aragon for three racing days.
15. Aragon timely appealed both rulings, and the matter was set for hearing before the Honorable Administrative Law Judge James Lauck.
16. The Commission's Executive Director, given Aragon's willingness to enter into this Agreement, is executing this Agreement in lieu of moving forward with a hearing.
17. Now, in full and complete resolution of any and all further administrative proceedings involving Aragon relative to the first race on September 15, 2015 at Indiana Grand, and the eighth race on September 25, 2015 at Indiana Grand, the Commission Staff and Aragon agree to the terms and conditions set forth in this Agreement.
18. Now, in full and complete resolution of any and all further administrative proceedings involving Aragon relative to the violations referenced above, the Commission Staff and Aragon agree to the terms and conditions set forth in this Agreement.

#### AGREEMENT

NOW, THEREFORE, in consideration of the foregoing, and the promises and covenants to be performed as set forth herein, the Parties agree as follows:

19. Aragon hereby admits that he did ride carelessly during first race on September 15, 2015, at Indiana Grand.
20. Aragon hereby admits that he did allow his mount to drift in on another horse during the eighth race on September 25, 2015.
21. Aragon has acknowledged participation in and/or knowledge of violations of Articles 7.5 of Title 71 of the Indiana Administrative Code, and the following races are affected:
  - a. Big Chance is disqualified from second place and is placed last (eighth) for the purposes of receiving purse money from the first race on September 15, 2015 at Indiana Grand Racing and Casino.

b. Kiki Dreamcatcher's sixth place finish in the eight race on September 25, 2015 at Indiana Grand Racing and Casino is unchanged.

22. As a penalty for the referenced violations, Aragon agrees to an eight-day suspension.
23. All eight days shall be served on race days during the 2015 Indiana Grand race meet.
24. The specific race days shall be determined by agreement between the IHRC Stewards and Aragon.
25. The Commission will enter a ruling consistent with this Agreement which will make clear that the sanctions set forth in Paragraphs 21, 22, 23, and 24 are the total sanctions for the violation referenced in this Settlement Agreement.
26. The Parties agree that this Agreement shall be interpreted, enforced, and governed by the laws of the State of Indiana.
27. This Agreement may be executed in multiple counterparts, each of which shall be deemed an original, fully enforceable counterpart of all purposes, but all of which constitute one and the same instrument.
28. Aragon represents that he has carefully read and reviewed the foregoing Agreement, acknowledges its contents, has had the right to consult with his own counsel, and agrees to be bound by its terms. Aragon acknowledges that he has voluntarily entered into this Agreement as of the date and year herein set forth.

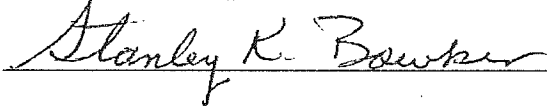
IN WITNESS HEREOF, the Parties have executed this Agreement on the dates listed below.

I swear, under penalties for perjury, that the foregoing representations that have been made by me are true and correct.

10-10-15  
Date

  
\_\_\_\_\_  
Rolando Aragon

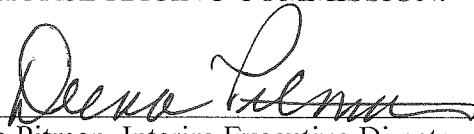
Witnessed and Approved:

  
\_\_\_\_\_  
Stanley K. Bowker



ON BEHALF OF THE INDIANA HORSE RACING COMMISSION:

10-13-15  
Date

  
\_\_\_\_\_  
Deena Pitman, Interim Executive Director

Approved as to form:

  
\_\_\_\_\_  
Holly Newell, Deputy General Counsel

## SETTLEMENT AGREEMENT

This Settlement Agreement ("Agreement") is entered into between the Indiana Horse Racing Commission ("Commission"), by Joe Gorajec, Executive Director of the Indiana Horse Racing Commission Staff ("Commission Staff") and Richard Estvanko, a 2015 licensee subject to regulation by the Commission. Collectively, the Commission Staff and Estvanko shall be referred to herein as "the Parties." This Agreement is subject to the review and approval of the Commission.

### RECITALS

1. The Indiana Horse Racing Commission ("IHRC") is the administrative agency in the State of Indiana that regulates horse racing pursuant to provisions of the Indiana Code, Title 4, Article 31.
2. Richard Estvanko was licensed as a trainer with the IHRC during 2015, and at all times relevant to this Settlement Agreement.
3. Title 71 of the Indiana Administrative Code regulates trainers participating in pari-mutuel racing in Indiana.
4. The thoroughbred race horse High Mystery was at all relevant times trained by Estvanko.
5. The thoroughbred race horse High Mystery was at all times relevant owned by 317 Irish Racing Partnership.
6. Estvanko entered the aforementioned horse in the 4<sup>th</sup> Race on May 8, 2015, at Indiana Grand Racing and Casino.
7. High Mystery participated in and finished 1<sup>st</sup> in the 4<sup>th</sup> Race on May 8, 2015 at Indiana Grand.
8. Industrial Laboratories ("Industrial") was at all times relevant the Primary Testing Laboratory for the Indiana Horse Racing Commission.
9. Industrial analyzed urine taken from High Mystery and established the presence of ritalinic acid on the race date identified in Paragraphs 5 and 6.
10. Split sample testing performed by LGC confirmed the presence of ritalinic acid in the urine taken from High Mystery after the 4<sup>th</sup> Race on May 8, 2015 at Indiana Grand.
11. 71 IAC 8.5-1-2 provides: No horse participating in a race shall carry in its body any foreign substance except as provided by these rules.

12. Ritalinic acid is not among the substances that a horse participating in a race may carry in its body.

13. The Commission's Executive Director, given Estvanko's willingness to enter into this Agreement, is executing this Agreement in lieu of issuing an Administrative Complaint against Estvanko.

14. Now, in full and complete resolution of any and all further administrative proceedings involving Estvanko relative to the positive finding of ritalinic acid in the horse High Mystery after the 4<sup>th</sup> Race on May 8, 2015 at Indiana Grand, the Commission Staff and Estvanko agree to the terms and conditions set forth in this Agreement.

15. Now, in full and complete resolution of any and all further administrative proceedings involving Estvanko relative to the violations referenced above, the Commission Staff and Estvanko agree to the terms and conditions set forth in this Agreement.

#### AGREEMENT

NOW, THEREFORE, in consideration of the foregoing, and the promises and covenants to be performed as set forth herein, the Parties agree as follows:

16. Estvanko hereby admits that High Mystery did, at the time of the 4<sup>th</sup> Race on May 8, 2015 at Indiana Grand, carry in its body ritalinic acid, a foreign substance not permitted to present in a horse participating in pari-mutuel racing in Indiana.

17. Estvanko has acknowledged participation in and/or knowledge of violations of Article 8.5 of Title 71 of the Indiana Administrative Code, and the following race is affected:

- a. High Mystery is disqualified from 1<sup>st</sup> place and is unplaced for the purposes of receiving purse money from the 4<sup>th</sup> race on May 8, 2015 at Indiana Grand Racing and Casino.
- b. High Mystery shall be on the steward's list through and including August 27, 2015.

18. As a penalty for the referenced violation, Estvanko agrees to a three-year ban from participating in pari-mutuel racing in Indiana, effective August 4, 2015 to and including August 4, 2018.

19. Estvanko agrees that he will be suspended from racing for 18 months, from August 4, 2015 up to and including February 4, 2017.

20. Estvanko agrees that he will not seek licensure from the Indiana Horse Racing Commission from February 5, 2017 to and including August 4, 2018.

21. The Commission will enter a ruling consistent with this Agreement which will make clear that the sanctions set forth in Paragraphs 17, 18, 19, and 20 are the total sanctions for the violation referenced in this Settlement Agreement.

22. The Parties agree that this Agreement shall be interpreted, enforced, and governed by the laws of the State of Indiana.


23. This Agreement may be executed in multiple counterparts, each of which shall be deemed an original, fully enforceable counterpart of all purposes, but all of which constitute one and the same instrument.

24. Estvanko represents that he has carefully read and reviewed the foregoing Agreement, acknowledges its contents, has had the right to consult with his own counsel, and agrees to be bound by its terms. Estvanko acknowledges that he has voluntarily entered into this Agreement as of the date and year herein set forth.

IN WITNESS HEREOF, the Parties have executed this Agreement on the dates listed below.

I swear, under penalties for perjury, that the foregoing representations that have been made by me are true and correct.

8-27-15  
Date

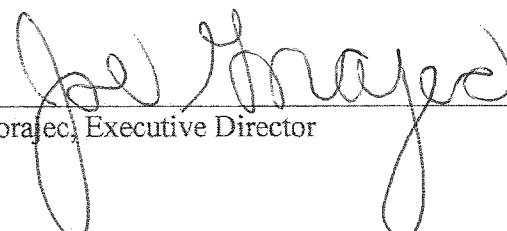
  
Richard Estvanko

Witnessed and Approved:

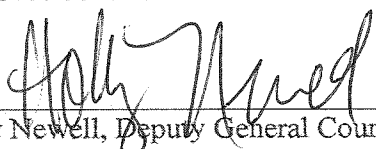
\_\_\_\_\_

ON BEHALF OF THE INDIANA HORSE RACING COMMISSION:

8/31/15  
Date

  
Joe Gorajec, Executive Director

Approved as to form:

  
Holly Newell, Deputy General Counsel

\_\_\_\_\_

21. The Commission will enter a ruling consistent with this Agreement which will make clear that the sanctions set forth in Paragraphs 17, 18, 19, and 20 are the total sanctions for the violation referenced in this Settlement Agreement.

22. The Parties agree that this Agreement shall be interpreted, enforced, and governed by the laws of the State of Indiana.


23. This Agreement may be executed in multiple counterparts, each of which shall be deemed an original, fully enforceable counterpart of all purposes, but all of which constitute one and the same instrument.

24. Estvanko represents that he has carefully read and reviewed the foregoing Agreement, acknowledges its contents, has had the right to consult with his own counsel, and agrees to be bound by its terms. Estvanko acknowledges that he has voluntarily entered into this Agreement as of the date and year herein set forth.

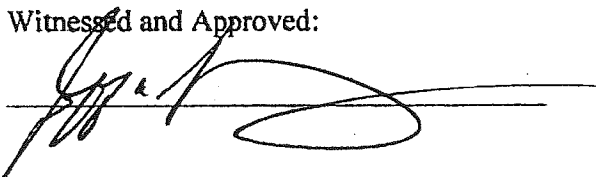
IN WITNESS HEREOF, the Parties have executed this Agreement on the dates listed below.

I swear, under penalties for perjury, that the foregoing representations that have been made by me are true and correct.

8-27-15  
Date

  
\_\_\_\_\_  
Richard Estvanko

Witnessed and Approved:

  
\_\_\_\_\_

ON BEHALF OF THE INDIANA HORSE RACING COMMISSION:

\_\_\_\_\_  
Date

\_\_\_\_\_  
Joe Gorajec, Executive Director

Approved as to form:

\_\_\_\_\_  
Holly Newell, Deputy General Counsel

# Ruling Log

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Supended
15079	7/1/2015	BELANGER, PHILIPPE	SB	05/26/2015	BETAMETHASONE	1000		PURSE REDIST	
15080	7/1/2015	BELANGER, PHILIPPE	SB	05/26/2015	COBALT			WARNING	
15081	7/1/2015	WRENN, PETER	SB	06/23/2015	WHIP-indiscriminate	500			
15082	7/1/2015	PLANO, RICHARD	SB	06/30/2015	DRIVING INFRACTION	100			
15541	7/2/2015	DOMINGUEZ, FERMIN	TB	06/25/2015	RESTORED-MISCELL.			RESTORED	
15540	7/2/2015	ARAGON, ROLANDO	TB	06/30/2015	RIDING INFRACTION		07/07/2015	07/10/2015	3*
15083	7/3/2015	SHETLER, DAN	SB	07/01/2015	WHIP-indiscriminate	200			
15084	7/4/2015	GILLESPIE, RONNIE	SB	07/03/2015	WHIP-indiscriminate	300			
15085	7/7/2015	STOHLER, TRENT	SB	07/03/2015	FT-N-STIRP/KICKING	200			
15086	7/7/2015	HITEMAN, DALE	SB	07/04/2015	LATE DRIVER CHANGE	50			
15542	7/7/2015	MCENTEE, PAUL	TB	06/27/2015	SUMMARILY SUSPND		06/27/2015	07/24/2015	28
15543	7/7/2015	HAMMOND, KIMBERLY	TB	06/17/2015	BUTE	500			
15110	8/7/2015	GILLESPIE, RONNIE	SB	08/05/2015	DRIVING INFRACTION		08/10/2015	8/12/2015	3
15111	8/7/2015	HOLT, DONNA	SB	08/06/2015	LATE DRIVER CHANGE	50			
15570	8/7/2015	SCHUH, TIMOTHY	TB	08/07/2015	CHNG OF OCCUPATION				
15571	8/7/2015	BENNETT, GERALD	TB	08/07/2015	LICENSE TERMINATED				
15087	7/9/2015	WIDGER, SAMUEL	SB	07/08/2015	DRIVING INFRACTION	200			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Supended
15088	7/10/2015	BATES, BRANDON	SB	07/07/2015	WHIP-indiscriminate	200			
15550	7/11/2015	ESQUEDA-GARCIA, CESAR	QH	07/04/2015	RIDING INFRACTION		07/16/2015	07/21/2015	3*
15089	7/11/2015	COLEMAN, NEIL	SB	07/09/2015	DRIVING INFRACTION	50			
15090	7/11/2015	WIDGER, SAMUEL	SB	07/10/2015	WHIP-indiscriminate	200			
15551	7/11/2015	GRABLE, MALEENA	TB	07/11/2015	LICENSE TERMINATED				
15552	7/15/2015	GUNDER, BRIAN	QH	11/07/2014	UNPROP BUSI MANNER	1000			
15091	7/15/2015	SMITH, TYLER	SB	07/09/2015	WHIP-indiscriminate	200			
15092	7/15/2015	DELONG, JOHN	SB	07/11/2015	WHIP-indiscriminate	500	07/13/2015	07/15/2015	3
15093	7/15/2015	HARMON, KRISTA	SB	07/03/2015	EMPLOY UNLIC HELP	250			
15094	7/15/2015	MACOMBER, RICHARD	SB	07/14/2015	WHIP-indiscriminate	200			
15095	7/16/2015	WRIGHT, DARRELL	SB	07/15/2015	DRIVING INFRACTION	100			
15096	7/17/2015	MOFFITT, BRADLEY	SB	05/31/2014	DARBEPOETIN/EPO		03/18/2015	PURSE REDIST	3,650
15553	7/17/2015	HERRERA, CESAR	TB	11/09/2013	RESTORED-FP RECVD			RESTORED	
15097	7/18/2015	ROBERTS, RONNIE	SB	07/17/2015	LATE DRIVER CHANGE	50			
15098	7/18/2015	SMITH, TYLER	SB	07/17/2015	DRIVING INFRACTION	200			
15554	7/21/2015	MURPHY, CAROLYN	TB	06/06/2014	DEXAMETHASONE	1000		PURSE REDIST	
15555	7/21/2015	SANTANA, RICARDO	TB	07/17/2015	RIDING INFRACTION		07/25/2015	07/29/2015	3*
15099	7/22/2015	YODER, JAMES	SB	07/18/2015	DRIVING INFRACTION	200			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Supended
15557	7/22/2015	OCAMPO, ISRAEL	TB	07/18/2015	RIDING INFRACTION		07/28/2015	07/30/2015	3*
15556	7/24/2015	VAUGHN, TERRY	TB	06/30/2015	XYLAZINE	500	07/26/2015	PURSE REDIST	
15558	7/24/2015	ADAMS, THERESA	TB	07/15/2015	CANNABINOIDS POSITIVE		07/24/2015	8/22/2015	30
15559	7/24/2015	MCENTEE, PAUL	TB	06/27/2015	TRAINER RESPON.	1000	06/27/2015	08/25/2015	60
15100	7/25/2015	PLANO, RICHARD	SB	07/24/2015	DRIVING INFRACTION	400			
15560	7/25/2015	HASCH, JOHN	TB	07/25/2015	CHNG OF OCCUPATION				
15561	7/28/2015	CORDES, KEGAN	QH	07/25/2015	SUMMARILY SUSPND		07/25/2015	INDEFINITE	
15101	7/28/2015	YODER, JOE	SB	06/30/2015	DEXAMETHASONE	1000		PURSE REDIST	
15562	7/28/2015	LEZCANO, ABEL	TB	07/25/2015	FAIL TO OBEY JDS/STW	100			3*
15563	7/28/2015	LEZCANO, ABEL	TB	07/24/2105	RIDING INFRACTION		07/31/2015	08/05/2015	3*
15564	7/28/2015	AMOSS, THOMAS	TB	10/21/2011	METHOCARBAMOL	5000	09/17/2015	PURSE REDIST	
15102	7/29/2015	WRENN, PETER	SB	07/25/2015	DRIVING INFRACTION	200			
15103	7/29/2015	GILLESPIE, RONNIE	SB	07/28/2015	WHIP-indiscriminate	300			
15104	7/29/2015	SHETLER, ANDY	SB	07/28/2015	STARTER VIOLATION	100			
15105	7/29/2015	MELLOY, PATRICK	SB	07/28/2015	LATE DRIVER CHANGE	50			
15565	7/31/2015	ROJAS, SALVADOR	QH	05/17/2014	DEXAMETHASONE	1000		PURSE REDIST	
15106	7/31/2015	FARRINGTON, RICHARD	SB	07/28/2015	DRIVING INFRACTION	200			
15107	7/31/2015	HOSTETLER, LAVERN	SB	07/29/2015	DRIVING INFRACTION		07/31/2015	08/02/2015	3



Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Supended
15566	7/31/2015	REDNOUR, JOHN	TB	07/11/2015	LEVAMISOLE	500	08/03/2015	PURSE REDIST	
15567	8/1/2015	PORTILLO, REYNALDO	TB	07/29/2015	FAIL BREATHALIZER	300			
15108	8/4/2015	LEWIS, JOHN	SB	08/04/2015	SUMMARILY SUSPND		08/04/2015	RESTORED	
15568	8/4/2015	DENSFORD, HOPE	TB	08/06/2013	RESTORED-MISCELL.				
15569	8/4/2015	ESTVANKO, RICHARD	TB	05/08/2015	SUMMARILY SUSPND		08/04/2015	INDEFINITE	
15109	8/5/2015	DELONG, JOHN	SB	08/01/2015	DRIVING INFRACTION	100			
15112	8/8/2015	LEWIS, JOHN	SB	08/04/2015	RESTORED-MISCELL.				
15113	8/8/2015	WRENN, PETER	SB	08/05/2015	DRIVING INFRACTION	50			
15114	8/8/2015	CULLIPHER, JEFFREY	SB	08/07/2015	LATE DRIVER CHANGE	50			
15594	9/8/2015	MCCARTY, GEORGE	TB	09/10/2015	SUMMARILY SUSPND		09/10/2015	INDEFINITE	
15617	10/8/2015	AREVALO, J GUADALUPE	QH	10/01/2015	RESTORED-MISCELL.				
15573	8/11/2015	STATLER, VALERIE	TB	08/11/2015	CHNG OF OCCUPATION				
15574	8/11/2015	BANNON, LISA	TB	08/08/2015	TRAINER RESPON.	100			
15576	8/12/2015	GUERRERO, JUAN	QH	08/11/2015	SUMMARILY SUSPND		08/12/2015	INDEFINITE	
15575	8/12/2015	DOMINGUEZ, FERMIN	TB	8/11/2015	SUMMARILY SUSPND		8/12/2015	INDEFINITE	
15115	8/13/2015	MACOMBER, RICHARD	SB	08/11/2015	DRIVING INFRACTION	200			
15116	8/15/2015	SMITH, GALE	SB	08/13/2015	DRIVING INFRACTION		08/14/2015	08/16/2015	3
15577	8/15/2015	CASTILLO, ROBERTO	TB	08/01/2015	ALCOHOL ON BACKSIDE	200			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Supended
15578	8/15/2015	CASTILLO, MAURICIO	TB	08/15/2015	LICENSE TERMINATED				
15117	8/18/2015	DILLANDER, CHARLES	SB	08/14/2015	DRIVING INFRACTION		08/21/2015	08/23/2015	3
15118	8/18/2015	MILLER, LEWAYNE	SB	08/14/2015	DRIVING INFRACTION	200			
15119	8/18/2015	WIDGER, SAMUEL	SB	08/15/2015	DRIVING INFRACTION		08/20/2015	08/24/2015	5
15120	8/18/2015	WRENN, PETER	SB	08/13/2015	DRIVING INFRACTION		08/25/2015	10/23/2015	60
15579	8/20/2015	OSORIO, DIDIEL	TB	08/19/2015	RIDING INFRACTION		08/25/2015	09/01/2015	5*
15580	8/20/2015	LOPRESTI, CHARLES	TB	08/21/2015	TRAINER RESPON.	100			
15582	8/20/2015	LAWRENCE, DARRELL	TB	08/18/2015	SMOKING IN BARN	100			
15581	8/20/2015	KOHNHORST, RICHARD	TB	08/18/2015	SMOKING IN BARN	100			
15583	8/21/2015	CARDENAS, DICK	TB	08/15/2015	RIDING INFRACTION	500			
15584	8/21/2015	GARCIA, GENARO	TB	8/12/2015	TRAINER RESPON.	200			
15585	8/21/2015	HASCH, JOHN	TB	08/21/2015	CHNG OF OCCUPATION				
15586	8/22/2015	FARHAT, JAD	TB	12/19/1996	RESTORED-FP RECVD			RESTORED	
15588	8/27/2015	GUERRERO, JUAN	QH	08/11/2015	SUMMARILY SUSPND		08/11/2015	SUSP WHLD	
15587	8/27/2015	ESTVANKO, CHRISTINA	TB	08/27/2015	MISCELLANEOUS				
15589	8/29/2015	WEBB, RALPH	QH	08/29/2015	CHNG OF OCCUPATION				
15121	8/29/2015	MILLER, LEWAYNE	SB	08/26/2015	DRIVING INFRACTION	500			
15122	8/29/2015	GILLESPIE, RONNIE	SB	08/28/2015	DRIVING INFRACTION		09/04/2015	09/06/2015	3

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Supended
15123	9/1/2015	WRENN, PETER	SB	08/29/2015	SUMMARILY SUSPND		09/01/2015	STAYED	
15591	9/2/2015	VAZQUEZ-GOMEZ, CESAR	QH	08/29/2015	RIDING INFRACTION		09/08/2015	09/11/2015	3*
15590	9/2/2015	ESTVANKO, RICHARD	TB	05/08/2015	RITALINIC ACID	1000	08/04/2015	2/4/2017	551
15592	9/2/2015	HISBY, RONALD	TB	08/21/2015	RIDING INFRACTION		09/08/2015	09/11/2015	3*
15593	9/3/2015	MARTINEZ, RICARDO	QH	07/10/2015	CLENBUTEROL POSTV	2500	08/30/2015	11/27/2015	90
15124	9/4/2015	WIDGER, SAMUEL	SB	09/01/2015	DRIVING INFRACTION	50			
15544	7/9/2015	EVANS, ROY	TB	06/20/2015	TRAINER RESPON.		07/09/2015	10/31/2015	115
15545	7/9/2015	ROMAN, PEDRO	TB	07/06/2015	ALCOHOL ON BACKSIDE	200			
15546	7/9/2015	GONZALEZ, LUIS	TB	07/06/2015	ALCOHOL ON BACKSIDE	200			
15547	7/9/2015	DE LA CRUZ, VICTOR	TB	07/06/2015	ALCOHOL ON BACKSIDE	200			
15548	7/9/2015	GATICA, BONFILIO	TB	07/06/2015	ALCOHOL ON BACKSIDE	200			
15125	9/8/2015	PLANO, RICHARD	SB	08/28/2015	WHIP-bleeding/welts	500			
15126	9/8/2015	DELONG, JOHN	SB	09/01/2015	DRIVING INFRACTION	200			
15127	9/12/2015	PLANO, RICHARD	SB	09/08/2015	DRIVING INFRACTION	200			
15128	9/12/2015	CONRAD, CHARLES	SB	09/09/2015	FAIL DRV WHN PROG	50			
15129	9/12/2015	X, RAHEEM	SB	06/17/2015	FALSIFY LIC APP.		09/12/2015	PEND HEAR	
15130	9/12/2015	AUGUSTIN, JONAS	SB	07/21/2015	FALSIFY LIC APP.		09/12/2015	09/29/2015	18
15595	9/12/2015	WEAVER, MICHAEL	TB	07/15/2015	5-HYDROXYDANTROLENE	1000			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Supended
15131	9/15/2015	LEWIS, JOHN	SB	07/14/2015	COBALT	500	09/21/2015	PURSE REDIST	
15132	9/16/2015	HITEMAN, DALE	SB	09/15/2015	WHIP-indiscriminate	200			
15133	9/17/2015	BOLYARD, JEFF	SB	09/15/2015	DRIVING INFRACTION	50			
15134	9/17/2015	DELONG, JOHN	SB	09/16/2015	WHIP-indiscriminate	200			
15596	9/17/2015	ARAGON, ROLANDO	TB	09/15/2015	RIDING INFRACTION		9/22/2015	09/30/2015	7*
15597	9/17/2015	NOLLEY, JOHN	TB	08/18/2010	RESTORED-FP RECVD			RESTORED	
15598	9/18/2015	HAFFNER, RANDALL	TB	06/26/2015	DEXAMETHASONE	1500	09/27/2015	10/11/2015	15
15599	9/19/2015	GONZALEZ, VICTOR	QH	09/18/2015	TRAINER RESPON.	200			
15135	9/19/2015	WRENN, PETER	SB	09/17/2015	DRIVING INFRACTION	200			
15136	9/19/2015	LUTHER, THOMAS	SB	09/17/2015	WHIP-indiscriminate	200			
15601	9/22/2015	VELTMAN, JULIE	QH	9/15/2015	RIDING INFRACTION		09/23/2015	09/25/2015	3*
15602	9/22/2015	DEL RIO PINA, ROLANDO	QH	09/17/2015	RIDING INFRACTION		9/24/2015	09/26/2015	3*
15600	9/22/2015	MCNAIR, SCOTTY	TB	05/15/2015	TERBUTALINE	500	09/27/2015	PURSE REDIST	
15137	9/23/2015	RIDEOUT, DOUGLAS	SB	09/19/2015	DRIVING INFRACTION	50			
15138	9/23/2015	SHETLER, DAN	SB	09/19/2015	WHIP indiscrimate	300			
15139	9/23/2015	MERCER, DAVE	SB	09/22/2015	WHIP-indiscriminate	200			
15140	9/23/2015	CLARK, CHAD	SB	09/22/2015	WHIP-indiscriminate	200			
15141	9/23/2015	CASAGRANDA, KRISTY	SB	09/17/2015	LATE DRIVER CHANGE	50			

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Supended
15603	9/23/2015	BRIGHT, ALVIN	TB	09/22/2015	TRAINER RESPON.	100			
15604	9/25/2015	POTTS, CARL	TB	09/24/2015	EXP/MISG HEALTH	100			
15142	9/26/2015	CONRAD, CHARLES	SB	09/22/2015	WHIP-indiscriminate	200			
15605	9/29/2015	OXENDINE, SMITIY	QH	09/19/2015	UNWARR CLAIM/FOUL	100			
15143	9/29/2015	MEDORS, MICHAEL	SB	08/26/2015	DEXAMETHASONE	1000		PURSE REDIST	
15144	9/29/2015	AUGUSTIN, JONAS	SB	07/21/2015	FALSIFY LIC APP.		09/29/2015	PEND HEAR	
15606	9/29/2015	ARAGON, ROLANDO	TB	09/25/2015	RIDING INFRACTION		10/02/2015	10/07/2015	3*
15145	9/30/2015	MYERS, MERLE	SB	09/08/2015	DEXAMETHASONE	1000		PURSE REDIST	

71 IAC 14-4-4 Breeder's award  
Authority: IC 4-31-3-9  
Affected: IC 4-31

Sec. 4. (a) For foals of 2009 and prior, an award will be paid to the breeder of an Indiana sired horse ~~which wins the following~~ and for foals of 2010 and later, an award will be paid to the breeder of an Indiana sired and bred horse which wins the following:

- (1) Final of any breed development Indiana sired late closer event.
- (2) Leg or final of the Indiana sires stakes.
- (3) Indiana sired fair circuit championship races.
- (4) Any horse winning the track's open or invitational race.
- (5) Any two (2) or three (3) year old winning any other race not listed above **in which the horse is not entered for a claiming price tag.**

(b) ~~For foals of 2010 and later, an~~ The award will be paid to the breeder of an **eligible Indiana sired and bred** horse whose combined win earnings are greater than twenty thousand dollars (\$20,000). The award will be allocated based on a percentage of the total pool equal to an eligible horse's combined win earnings of all eligible horses. (*Indiana Horse Racing Commission; 71 IAC 14-4-4; emergency rule filed Jun 10, 2009, 12:45 p.m.: 20090617-IR-071090464ERA, eff May 29, 2009 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #09-464(E) was filed with the Publisher June 10, 2009.]*)