

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2020 Application of Churchill Downs Technology            )  
Initiatives Company for Secondary Pari-Mutuel            )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.*    )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2020 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2020 (hereinafter “the Application”), submitted by Churchill Downs Technology Initiatives Company (hereinafter “the Application” or “CDI”) on or about May 8, 2019. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Tuesday, December 3, 2019, at 10:00 a.m. *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 10:00 a.m. in the Author’s Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, Indiana 46202. The Hearing will relate to the following Application that was filed with the Commission on or about May 8, 2019:

2020 Application for Licensure as a Secondary Pari-Mutuel Organization of Churchill Downs  
Technology Initiatives Company to Operate Advance Deposit Wagering in Indiana, Pursuant to  
71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Greg Schenkel, Vice Chairman;

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

Susie Lightle, and Bill McCarty, Members. Noah Jackson will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff is and will act as counsel to the IHRC Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider CDI's 2020 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about May 8, 2019, seeking approval to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence in the Author's Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, IN 46202, on Tuesday, December 3, 2019, at 10:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Wednesday, November 27, 2019. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Monday, December 2, 2019. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Monday, December 2, 2019.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to CDI's 2020 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2020, approval date. Applicants will be considered still on a probationary license until January 1, 2020. The licenses must be renewed annually and will be considered at the regularly scheduled December Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than October 1, 2020, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-.21.5-1-15) with respect to this Application. Indiana Code section 4-21.-5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

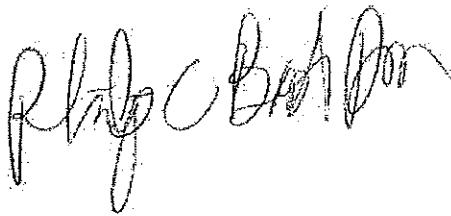
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 26<sup>th</sup> day of November, 2019.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2020 Application of AmWest Entertainment, LLC     )  
for Secondary Pari-Mutuel                             )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.*     )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2020 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2020 (hereinafter “the Application”), submitted by AmWest Entertainment, LLC (hereinafter “the Applicant” or “AmWest”) on or about May 14, 2019. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Tuesday, December 3, 2019, at 10:00 a.m. *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 10:00 a.m. in the Author’s Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, Indiana 46202. The Hearing will relate to the following Application that was filed with the Commission on or about May 14, 2019:

2020 Application for Licensure as a Secondary Pari-Mutuel Organization of AmWest, LLC to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Greg Schenkel, Vice Chairman; Susie Lightle, and Bill McCarty, Members. Noah Jackson will act as counsel to the Indiana Horse

<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff is and will act as counsel to the IHRC Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### PRE-HEARING ORDER

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider AmWest's 2020 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about May 14, 2019, seeking approval to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence in the Author's Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, IN 46202, on Tuesday, December 3, 2019, at 10:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Wednesday, November 27, 2019. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Monday, December 2, 2019. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Monday, December 2, 2019.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to AmWest's 2020 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2020, approval date. Applicants will be considered still on a probationary license until January 1, 2020. The licenses must be renewed annually and will be considered at the regularly scheduled December Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than October 1, 2020, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

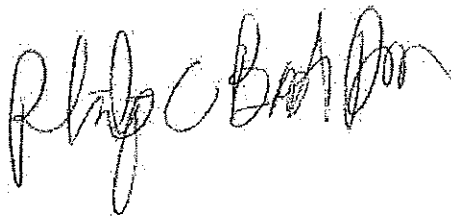
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 26<sup>th</sup> day of November, 2019.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission



STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2020 Application of ODS Technologies, L.P.,                    )  
d/b/a TVG Network for Secondary Pari-Mutuel                )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.*    )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2020 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2020 (hereinafter “the Application”), submitted by ODS Technologies, L.P., d/b/a TVG Network (hereinafter “the Applicant” or “TVG”) on or about May 17, 2019. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Tuesday, December 3, 2019, at 10:00 a.m. *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 10:00 a.m. in the Author’s Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, Indiana 46202. The Hearing will relate to the following Application that was filed with the Commission on or about May 17, 2019:

2020 Application for Licensure as a Secondary Pari-Mutuel Organization of ODS Technologies, L.P., d/b/a TVG Network to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Greg Schenkel, Vice Chairman;

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

Susie Lightle, and Bill McCarty, Members. Noah Jackson will act as counsel to the Indiana Horse Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff is and will act as counsel to the IHRC Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider TVG's 2020 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about May 17, 2019, seeking approval to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence in the Author's Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, IN 46202, on Tuesday, December 3, 2019, at 10:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Wednesday, November 27, 2019. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Monday, December 2, 2019. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Monday, December 2, 2019.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to TVG's 2020 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2020, approval date. Applicants will be considered still on a probationary license until January 1, 2020. The licenses must be renewed annually and will be considered at the regularly scheduled December Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than October 1, 2020, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

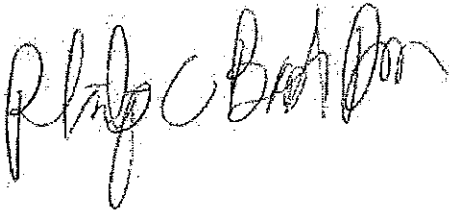
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 26<sup>th</sup> day of November, 2019.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission

STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2020 Application of Premier Turf Club, LLC                    )  
for Secondary Pari-Mutuel Organization                    )  
License Pursuant to 71 IAC 9-2.2 *et seq.*                    )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2020 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2020 (hereinafter “the Application”), submitted by Premier Turf Club, LLC (hereinafter “the Applicant” or “PTC”) on or about May 19, 2019. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Tuesday, December 3, 2019, at 10:00 a.m. *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 10:00 a.m. in the Author’s Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, Indiana 46202. The Hearing will relate to the following Application that was filed with the Commission on or about May 19, 2019:

2020 Application for Licensure as a Secondary Pari-Mutuel Organization of Premier Turf Club, LLC, to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Greg Schenkel, Vice Chairman; Susie Lightle, and Bill McCarty, Members. Noah Jackson will act as counsel to the Indiana Horse

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff is and will act as counsel to the IHRC Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider PTC's 2020 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about May 19, 2019, seeking approval to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence in the Author's Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, IN 46202, on Tuesday, December 3, 2019, at 10:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Wednesday, November 27, 2019. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Monday, December 2, 2019. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Monday, December 2, 2019.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to PTC's 2020 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2020, approval date. Applicants will be considered still on a probationary license until January 1, 2020. The licenses must be renewed annually and will be considered at the regularly scheduled December Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than October 1, 2020, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

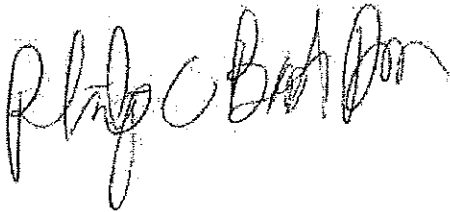
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 26<sup>th</sup> day of November, 2019.

A handwritten signature in black ink, appearing to read "Philip Borst", is written over a horizontal line.

Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission



STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2020 Application of Xpressbet, LLC )  
for Secondary Pari-Mutuel )  
Organization License Pursuant to 71 IAC 9-2.2 *et seq.* )

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2020 APPLICATION FOR  
SECONDARY PARI-MUTUEL ORGANIZATION LICENSE TO OPERATE ADVANCE  
DEPOSIT WAGERING PURSUANT TO 71 IAC 9-2.2 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Secondary Pari-Mutuel Organization (“SPMO”) pursuant to 71 IAC 9-2.2 *et seq.* for the calendar year 2020 (hereinafter “the Application”), submitted by Xpressbet, LLC (hereinafter “the Applicant” or “Xpressbet”) on or about April 3, 2019. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

**NOTICE OF HEARING**

The Commission will hold a hearing on Tuesday, December 3, 2019, at 10:00 a.m. *or* as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 10:00 a.m. in the Author’s Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, Indiana 46202. The Hearing will relate to the following Application that was filed with the Commission on or about April 3, 2019:

2020 Application for Licensure as a Secondary Pari-Mutuel Organization of Xpressbet, LLC to Operate Advance Deposit Wagering in Indiana, Pursuant to 71 IAC 9-2.2 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission<sup>1</sup>; an opportunity for the Commission to ask questions of any of Applicant’s representatives; and opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-31-7.5-11, and 71 IAC 9-2.2 *et seq.* Members of the Commission present at the meeting intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Greg Schenkel, Vice Chairman; Susie Lightle, and Bill McCarty, Members. Noah Jackson will act as counsel to the Indiana Horse

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<sup>1</sup> If the Applicant has been informed that the Application is considered satisfactory, the Commission will not require the Applicant to make a presentation but may ask questions and allow testimony in support or adverse to the Application.

Racing Commission during these proceedings. Deena Pitman, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff is and will act as counsel to the IHRC Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

### **PRE-HEARING ORDER**

The Commission, by its Chairman, Philip Borst, pursuant to Ind. Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Application.

The Commission will consider Xpressbet's 2020 Application for Licensure as a Secondary Pari-Mutuel Organization pursuant to 71 IAC 9-2.2 *et seq.* filed with the Commission on or about April 3, 2019, seeking approval to operate advance deposit wagering in Indiana.

II. Application Process Time Line.

a. Hearing Date and Time

The hearing will commence in the Author's Room at the Indiana State Library, 315 West Ohio Street, Indianapolis, IN 46202, on Tuesday, December 3, 2019, at 10:00 a.m. EST or as soon as it advances on the agenda of the Commission meeting. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Exhibits the Commission Proposes to Make Part of the Record.

A list of exhibits which the Commission intends to make part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Wednesday, November 27, 2019. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application and any supplements thereto timely filed by the Applicant. The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Monday, December 2, 2019. If no objection is timely made, all specified exhibits will be made part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

c. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Monday, December 2, 2019.

d. Incorporation of Supplemental Application Materials and Materials Supplied by Oregon Racing Commission.

The Application incorporates by reference any documents submitted, considered, or generated with respect to Xpressbet's 2020 Application, any documents provided as supplements to the Application, and any documents or information supplied by the Oregon Racing Commission with regard to the Applicant or Application.

e. Application Term and Renewal.

The Application will be considered for a January 1, 2020, approval date. Applicants will be considered still on a probationary license until January 1, 2020. The licenses must be renewed annually and will be considered at the regularly scheduled December Commission meeting. As such, applicants that are approved for licensure must have renewal materials to the Commission no later than October 1, 2020, to ensure renewal can be considered at the December meeting and avoid any interruptions in licensure.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as "ultimate authority" (pursuant to Indiana Code section 4-.21.5-1-15) with respect to this Application. Indiana Code section 4-21.-5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or individual who as a direct or indirect interest in the outcome of the proceeding. Additionally, while a Commissioner Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record.

In addition, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Code section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its findings at the hearing.

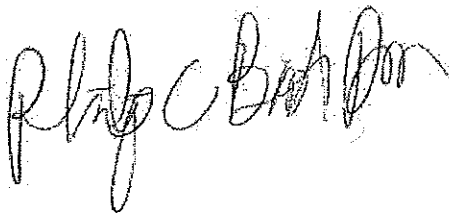
d. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

e. Commission Free to Ask Questions.

During the hearing on the Application, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 26<sup>th</sup> day of November, 2019.



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Philip Borst, DVM  
Chairman  
Indiana Horse Racing Commission



# INDIANA HORSE RACING COMMISSION

## Ruling Log

Rulings Issued From 3/5/2019 to 11/25/2019

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2019-1625	10/28/14	STANLEY E. SEAGLE		9/9/14	Medication/Drug Violation (Equine)	\$1,000			
HP-2019-1626	4/5/19	MICHAEL J. OOSTING	SB	4/4/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1627	4/12/19	CHARLES M. CONRAD	SB	4/11/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1628	4/12/19	KYLE J. WILFONG	SB	4/11/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1629	4/16/19	ARTHUR C. DICK	SB	4/11/19	Riding/Driving Infraction Violation	\$100			
IG-2019-1630	4/17/19	WILLIAM J. PRIBBLE	TB	4/17/19	Trainer Responsibility	\$250			
IG-2019-1631	4/17/19	OSCAR MACIAS	QH	4/16/19	Whip Violation	\$0			
IG-2019-1632	4/17/19	LUZ D. MARTINEZ	QH	4/16/19	Jockey/Driver Requirements	\$100			
IG-2019-1633	4/17/19	JUAN I. GARCIA	QH	4/16/19	Jockey/Driver Requirements	\$100			
IG-2019-1634	4/18/19	JAMES C. ORM	TB	4/16/19	Jockey/Driver Requirements	\$250			
HP-2019-1635	4/18/19	SAMUEL D. WIDGER	SB	4/17/19	Riding/Driving Infraction Violation	\$100			
IG-2019-1636	4/23/19	MALCOLM J. FRANKLIN	TB	4/19/19	Jockey/Driver Requirements	\$200			
IG-2019-1637	4/23/19	CHARLES E. BROWN	TB	4/20/19	Trainer Responsibility	\$100			
IG-2019-1638	4/23/19	CARL RAVER		4/20/19	Medication/Drug/Alcohol Violation (Human)	\$0			
HP-2019-1639	4/20/19	LARRY D. KING	SB	4/19/19	Trainer Responsibility	\$200			
HP-2019-1640	4/20/19	JOHN J. DELONG	SB	4/19/19	Whip Violation	\$200			
IG-2019-1641	4/24/19	STEPHEN J. KEWIN		4/17/19	Medication/Drug/Alcohol Violation (Human)	\$0	4/23/19	6/21/19	60



# INDIANA HORSE RACING COMMISSION

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IG-2019-1642	4/24/19	WILLIAM J. PRIBBLE		4/24/19	License Violation	\$0			
IG-2019-1643	4/24/19	TYETTA PALMER		4/9/19	Medication/Drug/Alcohol Violation (Human)	\$0	4/23/19	5/22/19	30
IG-2019-1644	4/24/19	JUAN A. GALVAN	QH	4/19/19	Jockey/Driver Requirements	\$250			
IG-2019-1645	4/24/19	ANTHONY J. GRANITZ	TB	4/23/19	Trainer Responsibility	\$100			
IG-2019-1646	4/25/19	SACRAMENTO CHAVEZ	QH	4/24/19	Trainer Responsibility	\$100			
HP-2019-1647	4/24/19	BRANDON L. BATES	SB	4/19/19	Riding/Driving Infraction Violation	\$200			
HP-2019-1648	4/24/19	ANETTE K. LORENTZON	SB	4/23/19	Improper Business Manner	\$200			
HP-2019-1649	4/25/19	MATTHEW L. RIGGLE	SB	4/24/19	Whip Violation	\$200			
HP-2019-1650	4/26/19	MICKEY R. HOLLIDAY	SB	4/24/19	Whip Violation	\$200			
IG-2019-1651	4/30/19	VICTOR OLIVO	QH	4/16/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1652	4/30/19	JOSE HUERTA	QH	4/30/19	Trainer Responsibility	\$100			
IG-2019-1653	4/30/19	RONALD G. BROWN	TB	4/27/19	Trainer Responsibility	\$100			
IG-2019-1654	4/30/19	JEFFERY L. GREENHILL	TB	4/26/19	License Violation	\$500			
IG-2019-1655	5/2/19	MALCOLM J. FRANKLIN	TB	4/30/19	Riding/Driving Infraction Violation	\$0	5/7/19	5/10/19	3*
HP-2019-1656	5/2/19	JARED D. FINN	SB	4/30/19	Whip Violation	\$200			
HP-2019-1657	5/3/19	TRENT P. STOHLER		4/27/19	Race Office/Track Rule Violation	\$25			
HP-2019-1658	5/4/19	SAMUEL D. WIDGER	SB	5/3/19	Riding/Driving Infraction Violation	\$200			
HP-2019-1659	5/7/19	DONALD J. EASH	SB	5/4/19	Whip Violation	\$200			



INDIANA HORSE RACING COMMISSION

Ruling Log

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HP-2019-1660	5/8/19	LYLE D. SCURLOCK	SB	5/7/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1661	5/9/19	JOHN J. DELONG	SB	5/8/19	Whip Violation	\$200			
IG-2019-1662	5/10/19	DEBBIE L. HALL		5/9/19	Medication/Drug/Alcohol Violation (Human)	\$0	5/10/19	7/8/19	60
HP-2019-1663	5/10/19	ERNEST M. GASKIN	SB	5/9/19	Trainer Responsibility	\$50			
HP-2019-1664	5/10/19	SAMUEL D. WIDGER	SB	5/9/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1665	5/11/19	ARNULFO RANGEL	QH	5/11/19	Trainer Responsibility	\$100			
IG-2019-1666	5/14/19	FERNANDO MORIN	QH	5/11/19	Whip Violation	\$0			
HP-2019-1667	5/14/19	MARK E. P'POOL	SB	5/10/19	Medication/Drug Violation (Equine)	\$500			
IG-2019-1668	5/16/19	HECTOR J. SANCHEZ	QH	5/15/19	Trainer Responsibility	\$100			
IG-2019-1669	5/16/19	MERRILL C. ROBERTS	TB	5/6/19	Trainer Responsibility	\$250			
IG-2019-1670	5/16/19	JEFFERY S. JONES	TB	5/6/19	Trainer Responsibility	\$250			
IG-2019-1671	5/16/19	J G. AREVALO	QH	5/1/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1672	4/19/19	KIMBERLY J. HAMMOND	TB	4/19/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1673	5/17/19	JOSE A. CANDIA	TB	5/3/19	Trainer Responsibility	\$250			
IG-2019-1674	5/17/19	JUAN G. PEREZ	TB	5/3/19	Improper Business Manner	\$250	6/19/19		
IG-2019-1675	5/18/19	MICHAEL A. MANN	TB	5/15/19	Improper Business Manner	\$500			
HP-2019-1676	5/17/19	RICHARD C. PLANO		5/14/19	Race Office/Track Rule Violation	\$25			
HP-2019-1677	5/17/19	MICHAEL J. OOSTING	SB	5/16/19	Riding/Driving Infraction Violation	\$200			



# INDIANA HORSE RACING COMMISSION

## Ruling Log

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HP-2019-1678	5/17/19	MICHAEL J. OOSTING	SB	5/16/19	Whip Violation	\$500			
HP-2019-1679	5/17/19	TODD E. WARREN	SB	5/16/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1680	5/17/19	ANDY R. SHETLER	SB	5/16/19	Riding/Driving Infraction Violation	\$50			
IG-2019-1681	5/18/19	JADE M. HALL		5/17/19	Medication/Drug/Alcohol Violation (Human)	\$0	5/18/19	7/16/19	60
IG-2019-1682	5/21/19	JOHN S. HARVILLE		5/20/19	Medication/Drug/Alcohol Violation (Human)	\$0	5/21/19	6/19/19	30
IG-2019-1683	5/22/19	MIGUEL A. GANTUS		5/14/19	Medication/Drug/Alcohol Violation (Human)	\$0	5/22/19	6/20/19	30
IG-2019-1684	5/22/19	JANIEL RODRIGUEZ		5/14/19	Medication/Drug/Alcohol Violation (Human)	\$0	5/22/19	6/20/19	30
IG-2019-1685	5/22/19	JAN C. SUAREZ		5/14/19	Medication/Drug/Alcohol Violation (Human)	\$0	5/22/19	6/20/19	30
HP-2019-1686	5/22/19	JOHN J. DELONG	SB	5/21/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1687	5/22/19	PETER M. WRENN	SB	5/16/19	Whip Violation	\$200			
HP-2019-1688	5/24/19	FREEMAN MILLER JR	SB	5/23/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1689	5/25/19	GEORGE R. COLLINS III		5/24/19	Medication/Drug/Alcohol Violation (Human)	\$0			
IG-2019-1690	5/25/19	RODNEY A. PRESCOTT	TB	5/24/19	Whip Violation	\$0			
IG-2019-1691	5/25/19	LARRY RIVELLI	TB	5/24/19	Trainer Responsibility	\$100			
IG-2019-1692	5/25/19	PAUL E. HOLTHUS	TB	5/24/19	Trainer Responsibility	\$100			
HP-2019-1693	5/25/19	LUKE R. PLANO	SB	5/24/19	Whip Violation	\$200			
HP-2019-1694	5/25/19	DYLAN P. DAVIS	SB	5/24/19	Trainer Responsibility	\$100			
HP-2019-1695	5/25/19	JACOB J. FOX	SB	5/24/19	Riding/Driving Infraction Violation	\$200			





# INDIANA HORSE RACING COMMISSION

## Ruling Log

Rulings Issued From 3/5/2019 to 11/25/2019

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2019-1696	5/28/19	RANDALL D. MATTHEWS	TB	5/25/19	License Violation	\$200			
IG-2019-1697	5/28/19	FRANCISCO C. TORRES		5/24/19	Miscellaneous Ruling	\$200			
HP-2019-1698	5/29/19	BRANDON L. BATES	SB	5/28/19	Whip Violation	\$200			
HP-2019-1699	5/30/19	BRADLEY K. FERGUSON	SB	5/29/19	Riding/Driving Infraction Violation	\$0	5/30/19	6/1/19	3
HP-2019-1700	5/30/19	MELISSA S. ESSIG	SB	5/29/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1701	5/30/19	SIMON B. GIROD	SB	5/29/19	Riding/Driving Infraction Violation	\$50			
IG-2019-1702	5/31/19	LUIS A. GUERRERO		5/30/19	Conduct/Behavior	\$0	6/14/19	9/11/19	90
IG-2019-1703	6/1/19	EDGAR QUINTUNA	QH	5/31/19	Trainer Responsibility	\$100			
HP-2019-1704	5/31/19	SAMUEL D. WIDGER	SB	5/30/19	Riding/Driving Infraction Violation	\$200			
HP-2019-1705	5/31/19	SAMUEL D. WIDGER	SB	5/29/19	Riding/Driving Infraction Violation	\$200			
HP-2019-1706	6/1/19	DANNIE A. COTTONGIM		10/29/16	Miscellaneous Ruling	\$0	10/29/16	6/1/19	946
HP-2019-1707	6/1/19	PETER M. WRENN	SB	5/30/19	Whip Violation	\$200			
IG-2019-1708	6/5/19	NESTOR U. CERVANTES	QH	6/1/19	Riding/Driving Infraction Violation	\$0	6/11/19	6/14/19	3*
IG-2019-1709	6/5/19	FERNANDO MORIN	QH	6/1/19	Failure to Obey Judges/Stewards	\$100			
IG-2019-1710	6/5/19	SHANLEY L. JACKSON	QH	6/1/19	Riding/Driving Infraction Violation	\$0	6/11/19	6/14/19	3*
HP-2019-1711	6/5/19	LEWAYNE L. MILLER		6/1/19	Race Office/Track Rule Violation	\$25			
IG-2019-1712	6/6/19	ROBERT M. GORHAM DR	TB	6/5/19	Trainer Responsibility	\$100			
HP-2019-1713	6/6/19	SAMUEL D. WIDGER	SB	6/4/19	Riding/Driving Infraction Violation	\$0	6/10/19	6/12/19	3



INDIANA HORSE RACING COMMISSION

Ruling Log

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Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2019-1714	6/6/19	JOHN J. DELONG	SB	6/4/19	Whip Violation	\$400			
IG-2019-1715	6/6/19	JOSE A. CANDIA	QH	5/18/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1716	6/7/19	SUE A. WINN		5/28/19	Medication/Drug/Alcohol Violation (Human)	\$0	6/7/19	7/6/19	30
IG-2019-1717	6/7/19	JAMES WILLIAMS		4/17/19	License Violation	\$200			
HP-2019-1718	6/6/19	ROBERT L. DEAN		6/5/19	License Violation	\$100	6/6/19	6/13/19	8
HP-2019-1719	6/7/19	MICKEY R. HOLLIDAY	SB	6/6/19	Whip Violation	\$200			
IG-2019-1720	6/11/19	JOSE A. CANDIA		6/8/19	License Violation	\$100			
IG-2019-1721	6/11/19	STEPHEN C. RIGGAN		6/8/19	Medication/Drug/Alcohol Violation (Human)	\$200			
IG-2019-1722	6/12/19	AGUSTIN F. GOMEZ	QH	6/7/19	Failure to Obey Judges/Stewards	\$350			
IG-2019-1723	6/12/19	DECLAN CANNON	TB	6/11/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1724	6/11/19	WALTER E. HAYNES JR	SB	6/8/19	Whip Violation	\$200			
HP-2019-1725	6/12/19	PETER M. WRENN	SB	6/12/19	Whip Violation	\$200			
IG-2019-1726	6/14/19	GERMARIUS A. O'NEAL		6/7/19	Medication/Drug/Alcohol Violation (Human)	\$0	6/14/19	7/13/19	30
IG-2019-1727	6/15/19	JUAN I. GARCIA	QH	6/12/19	Whip Violation	\$250	7/18/19		
HP-2019-1728	6/15/19	ANDY R. SHETLER	SB	6/13/19	Whip Violation	\$300			
IG-2019-1729	6/15/19	CLAUDIO BARRAZA	QH	5/31/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1730	6/18/19	JOSE A. CANDIA	QH	5/28/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1731	6/18/19	JAMES R. MAUPIN		6/18/19	License Violation	\$0			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 3/5/2019 to 11/25/2019

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2019-1732	6/18/19	ROBERT G. PATRICK	TB	6/15/19	Trainer Responsibility	\$100			
IG-2019-1733	6/20/19	OSCAR MACIAS	QH	6/18/19	Riding/Driving Infraction Violation	\$250			
IG-2019-1734	6/20/19	MICHAEL E. LAUER	TB	6/19/19	Trainer Responsibility	\$100			
IG-2019-1735	6/20/19	RUBEN SERNA	QH	6/18/19	Riding/Driving Infraction Violation	\$0			
HP-2019-1736	6/21/19	JEFFREY S. DEVER	SB	6/20/19	Riding/Driving Infraction Violation	\$50			
IG-2019-1737	6/22/19	ANGEL E. SERPA	TB	6/21/19	Jockey/Driver Requirements	\$100			
IG-2019-1738	6/22/19	CARLOS A. VILLASANA	TB	6/21/19	Jockey/Driver Requirements	\$100			
IG-2019-1739	6/22/19	RACHAEL L. DUNN		6/22/19	License Violation	\$0			
HP-2019-1740	6/22/19	PETER M. WRENN	SB	6/21/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1741	6/22/19	WILLIAM D. LITTLE	SB	6/22/19	Riding/Driving Infraction Violation	\$50			
IG-2019-1742	6/25/19	VICTOR OLIVO	QH	4/16/19	Medication/Drug Violation (Equine)	\$1,500	6/26/19	7/10/19	15
IG-2019-1743	6/26/19	BOB BASS		6/26/19	License Violation	\$0			
IG-2019-1744	6/26/19	NOAH DUDLEY		6/19/19	Failure to Cooperate	\$0	6/26/19	7/25/19	30
IG-2019-1745	6/26/19	DANIELLE G. PLANTZ		6/19/19	Failure to Cooperate	\$250	6/26/19	7/25/19	30
IG-2019-1746	6/27/19	CHRISTOPHER D. DIRK		6/27/19	License Violation	\$0	6/27/19		
IG-2019-1747	6/27/19	MIGUEL A. GANTUS		6/27/19	License Violation	\$0	6/27/19		
IG-2019-1748	6/27/19	JAIRO J. CASTRELLON		6/22/19	Medication/Drug/Alcohol Violation (Human)	\$200	7/23/19		
HP-2019-1749	6/27/19	JASON R. THOMPSON	SB	6/26/19	Riding/Driving Infraction Violation	\$100			



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IG-2019-1750	6/28/19	KARI K. PROVOST		6/28/19	License Violation	\$0	6/28/19		
IG-2019-1751	6/28/19	SERGIO I. FIGUEROA		6/28/19	License Violation	\$0	6/28/19	6/29/19	2
IG-2019-1752	6/28/19	MICHAEL T. DOWELL		6/28/19	License Violation	\$0	6/28/19	7/2/19	5
IG-2019-1753	6/28/19	STEFAN NIKOLOV		6/28/19	License Violation	\$0	6/28/19		
IG-2019-1754	6/28/19	DANE A. KOBISKIE		6/28/19	License Violation	\$0	6/28/19	6/29/19	2
IG-2019-1755	6/28/19	JOSEPH M. ENGELHART		6/28/19	License Violation	\$0	6/28/19		
HP-2019-1756	6/27/19	RICHARD T. MAGEE	SB	6/27/19	License Violation	\$0	6/27/19	7/6/19	10
HP-2019-1757	6/27/19	LARRY KARR	SB	6/27/19	License Violation	\$0	6/27/19	7/17/19	21
HP-2019-1758	6/27/19	KEVIN D. MANLEY	SB	6/27/19	License Violation	\$0	6/27/19		
HP-2019-1759	6/27/19	TRISTAN SJOBERG	SB	6/27/19	License Violation	\$0	6/27/19	8/23/19	58
HP-2019-1760	6/27/19	NIKITA MONTGOMERY	SB	6/27/19	License Violation	\$0	6/27/19		
IG-2019-1761	6/29/19	RONALD J. PAOLUCCI		6/29/19	License Violation	\$0	6/29/19	7/10/19	12
HP-2019-1762	7/2/19	BRETT H. WILFONG	SB	6/29/19	Race Office/Track Rule Violation	\$25			
IG-2019-1763	7/2/19	JAMES K. CHAPMAN	TB	7/3/19	Trainer Responsibility	\$100			
IG-2019-1764	7/3/19	ARNULFO RANGEL	QH	6/7/19	Medication/Drug Violation (Equine)	\$0			
HP-2019-1765	7/3/19	HERMAN E. WHEELER	SB	7/2/19	Trainer Responsibility	\$200			
HP-2019-1766	7/3/19	RICHARD L. MACOMBER JR	SB	7/2/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1767	7/4/19	GIOVANI VAZQUEZ-GOMEZ	QH	7/2/19	Riding/Driving Infraction Violation	\$0	7/9/19	7/12/19	3*



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IG-2019-1768	7/4/19	LUZ D. MARTINEZ	QH	7/2/19	Riding/Driving Infraction Violation	\$0	7/9/19	7/12/19	3*
HP-2019-1769	7/4/19	CHRISTOPHER F. BEAVER	SB	7/3/19	Trainer Responsibility	\$100			
IG-2019-1770	7/6/19	JAMES E. ATWOOD		7/5/19	Medication/Drug/Alcohol Violation (Human)	\$0			
HP-2019-1771	7/5/19	JARED D. FINN	SB	7/3/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1772	7/6/19	MICHAEL J. OOSTING	SB	7/5/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1773	7/10/19	CHRISTIAN R. ESQUEDA	QH	7/9/19	Whip Violation	\$250			
IG-2019-1774	7/11/19	CARLOS A. VILLASANA	TB	7/3/19	Jockey/Driver Requirements	\$100			
HP-2019-1775	7/10/19	FREEMAN MILLER JR	SB	6/14/19	Medication/Drug Violation (Equine)	\$0			
HP-2019-1776	7/10/19	FREEMAN MILLER JR	SB	6/18/19	Medication/Drug Violation (Equine)	\$0			
HP-2019-1777	7/10/19	SAMUEL D. WIDGER	SB	7/9/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1778	7/11/19	LAVERN E. MILLER	SB	7/9/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1779	7/11/19	WILLIAM D. CRONE	SB	7/10/19	Trainer Responsibility	\$200			
HP-2019-1780	7/11/19	JARED D. FINN	SB	7/10/19	Whip Violation	\$200			
HP-2019-1781	7/11/19	RICHARD L. MACOMBER JR	SB	7/6/19	Riding/Driving Infraction Violation	\$100			
IG-2019-1782	7/16/19	RICARDO MARTINEZ	QH	6/22/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1783	7/16/19	HALEY N. HOBBS	QH	6/29/19	Medication/Drug Violation (Equine)	\$0			
HP-2019-1784	7/16/19	JOHN J. DELONG	SB	7/13/19	Whip Violation	\$300			
IG-2019-1785	7/17/19	DAVID L. FULLER		7/17/19	License Violation	\$0			



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IG-2019-1786	7/17/19	J G. AREVALO	QH	6/21/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1787	7/17/19	J G. AREVALO	QH	6/22/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1788	7/18/19	ANDRES P. ULLOA	TB	7/16/19	Riding/Driving Infraction Violation	\$0	7/20/19	7/27/19	5*
HP-2019-1789	7/17/19	LUKE R. PLANO	SB	7/16/19	Whip Violation	\$200			
HP-2019-1790	7/17/19	SAMUEL D. WIDGER	SB	7/16/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1791	7/17/19	SAMUEL D. WIDGER	SB	7/16/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1792	7/18/19	THOMAS R. SIMMONS	SB	7/2/19	Medication/Drug Violation (Equine)	\$1,000			
HP-2019-1793	7/19/19	FREEMAN MILLER JR	SB	6/28/19	Medication/Drug Violation (Equine)	\$1,500	7/24/19	8/7/19	15
HP-2019-1794	7/20/19	PAYTON D. ODE	SB	6/22/19	Medication/Drug Violation (Equine)	\$1,000			
IG-2019-1795	7/23/19	AUSTIN J. WILSON		7/23/19	License Violation	\$0	7/23/19		
IG-2019-1796	7/24/19	JERRE K. RORICK	QH	7/23/19	Vet Rule Violation	\$250			
IG-2019-1797	7/24/19	LEANDRO D. GONCALVES	TB	7/19/19	Riding/Driving Infraction Violation	\$0	7/27/19	7/31/19	3*
IG-2019-1798	7/24/19	ROGER W. GRIFFIN		7/19/19	Conduct/Behavior	\$750			
IG-2019-1799	7/24/19	AGUSTIN F. GOMEZ		7/24/19	License Violation	\$0			
HP-2019-1800	7/23/19	LEWAYNE L. MILLER	SB	7/20/19	Whip Violation	\$200			
IG-2019-1801	7/25/19	ABEL LEZCANO	TB	7/24/19	Riding/Driving Infraction Violation	\$100			
IG-2019-1802	7/25/19	NATE C. BRANNIN		7/23/19	Conduct/Behavior	\$500			
IG-2019-1803	7/25/19	MARC STONE		7/25/19	License Violation	\$0	7/25/19		



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IG-2019-1804	7/25/19	DEAN D. HOHL		7/25/19	License Violation	\$0	7/25/19		
IG-2019-1805	7/25/19	WILLIAM PORTER		7/25/19	License Violation	\$0	7/25/19		
IG-2019-1806	7/25/19	DIEGO CONDE		7/25/19	License Violation	\$0			
IG-2019-1807	7/25/19	J G. AREVALO	QH	7/6/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1808	7/25/19	RICARDO MARTINEZ	QH	7/6/19	Medication/Drug Violation (Equine)	\$0			
HP-2019-1809	7/26/19	PETER M. WRENN	SB	7/13/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1810	7/26/19	JOHN J. DELONG	SB	7/23/19	Whip Violation	\$300			
HP-2019-1811	7/27/19	MARLIN D. FRY	SB	7/24/19	Medication/Drug/Alcohol Violation (Human)	\$200	7/27/19	8/3/19	8
IG-2019-1812	7/30/19	NESTOR U. CERVANTES	QH	7/26/19	Jockey/Driver Requirements	\$100			
IG-2019-1813	7/30/19	J G. AREVALO	QH	7/18/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1814	7/30/19	GUSTAVO ALMANZA JR	QH	7/19/19	Medication/Drug Violation (Equine)	\$0			
IG-2019-1815	7/31/19	JERRE K. RORICK		7/30/19	Vet Rule Violation	\$1,000			
HP-2019-1816	7/31/19	JARED D. FINN	SB	7/24/19	Riding/Driving Infraction Violation	\$0	8/2/19	8/4/19	3
HP-2019-1817	7/31/19	MISAEEL G. HERRERA	SB	7/27/19	Trainer Responsibility	\$900			
HP-2019-1818	7/31/19	BRANDON L. BATES	SB	7/27/19	Whip Violation	\$200			
HP-2019-1819	7/31/19	BRANDON L. BATES	SB	7/27/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1820	8/1/19	JOSE M. MENA	TB	7/30/19	Riding/Driving Infraction Violation	\$0	8/6/19	8/9/19	3*
HP-2019-1821	8/1/19	CHARLES M. CONRAD	SB	7/26/19	Riding/Driving Infraction Violation	\$200			



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IG-2019-1822	8/7/19	JUAN F. FLORES		8/6/19	Medication/Drug/Alcohol Violation (Human)	\$0			
HP-2019-1823	8/7/19	MICKEY R. HOLLIDAY	SB	8/3/19	Whip Violation	\$200			
HP-2019-1824	8/7/19	LUKE R. PLANO	SB	8/1/19	Whip Violation	\$200			
HP-2019-1825	8/8/19	KENNETH M. RUCKER	SB	8/7/19	Trainer Responsibility	\$100			
IG-2019-1826	8/9/19	PABLO TRUCCO		8/9/19	License Violation	\$0			
IG-2019-1827	8/9/19	EDGAR PEREZ		8/9/19	License Violation	\$0	8/9/19		
IG-2019-1828	8/9/19	SUSAN E. BEDWELL-SANDERS		8/9/19	License Violation	\$0	8/9/19	8/14/19	6
IG-2019-1829	8/9/19	KRISHNA PERSAUD		8/9/19	License Violation	\$0	8/9/19		
IG-2019-1830	8/9/19	DINDYAL PERSAUD		8/9/19	License Violation	\$0	8/9/19		
IG-2019-1831	8/9/19	GERMAN LARREA		8/9/19	License Violation	\$0			
IG-2019-1832	8/9/19	IOANNIS J. ZOUMAS		8/9/19	License Violation	\$0	8/9/19		
IG-2019-1833	8/9/19	DANIEL VITELLI		8/9/19	License Violation	\$0	8/9/19	10/22/19	75
HP-2019-1834	8/9/19	SAMUEL D. WIDGER	SB	8/8/19	Riding/Driving Infraction Violation	\$400			
HP-2019-1835	8/9/19	JOHN SPOTTEN-MORICI	SB	8/8/19	Whip Violation	\$300			
HP-2019-1836	8/9/19	JOHN SPOTTEN-MORICI	SB	8/8/19	Whip Violation	\$200			
HP-2019-1837	8/13/19	BRANDON L. BATES	SB	8/9/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1838	8/14/19	MICHELLE A. RICHARD		8/14/19	License Violation	\$0			
IG-2019-1839	8/15/19	BRIAN T. KELLEY		8/14/19	Medication/Drug/Alcohol Violation (Human)	\$100	8/15/19	8/21/19	7





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HP-2019-1840	8/17/19	MICHAEL A. BARRETT	SB	8/8/19	Race Office/Track Rule Violation	\$25			
HP-2019-1841	8/17/19	TRACE A. TETRICK	SB	8/16/19	Riding/Driving Infraction Violation	\$100			
IG-2019-1842	8/20/19	TRACEY J. YOUNG	QH	8/20/19	Trainer Responsibility	\$200			
HP-2019-1843	8/19/19	BRITNEY L. DILLON		7/10/19	Miscellaneous Ruling	\$0			
HP-2019-1844	8/20/19	TODD E. WARREN	SB	8/16/19	Whip Violation	\$300			
IG-2019-1845	8/21/19	ERIN R. THOMPSON	TB	7/19/19	Medication/Drug Violation (Equine)	\$500			
IG-2019-1846	8/22/19	BENITO R. HOOKS		8/9/19	Conduct/Behavior	\$500			
HP-2019-1847	8/23/19	FRANK D. PAYNE	SB	8/10/19	Medication/Drug Violation (Equine)	\$0			
HP-2019-1848	8/23/19	ROSS J. LEONARD	SB	8/16/19	Whip Violation	\$200			
HP-2019-1849	8/24/19	JACOB J. FOX	SB	8/23/19	Whip Violation	\$200			
IG-2019-1850	8/24/19	BRENT R. WENDLING		8/24/19	Contraband/Drug Violation	\$0	8/24/19		
HP-2019-1851	8/27/19	JARED D. FINN	SB	8/23/19	Whip Violation	\$300			
IG-2019-1852	8/29/19	SALVADOR G. GUERRERO	TB	8/28/19	License Violation	\$200			
HP-2019-1853	8/29/19	BRANDON L. BATES	SB	8/28/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1854	8/30/19	ANGEL A. RODRIGUEZ		8/30/19	Medication/Drug/Alcohol Violation (Human)	\$0			
IG-2019-1855	8/30/19	ABEL LEZCANO	TB	8/30/19	Jockey/Driver Requirements	\$400			
HP-2019-1856	8/30/19	JACOB J. FOX	SB	8/29/19	Whip Violation	\$0	9/3/19	9/5/19	3
IG-2019-1857	8/31/19	ERIN R. THOMPSON	QH	8/10/19	Medication/Drug Violation (Equine)	\$1,500	9/17/19	10/1/19	15



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IG-2019-1858	9/4/19	DENNIS E. MORGAN JR		8/31/19	Conduct/Behavior	\$0	9/4/19		
IG-2019-1859	9/4/19	GENARO GARCIA	TB	9/3/19	Trainer Responsibility	\$100			
IG-2019-1860	9/4/19	FERNANDO C. DE LA CRUZ	TB	8/30/19	Riding/Driving Infraction Violation	\$0	9/6/19	9/10/19	3*
IG-2019-1861	9/4/19	RECEP AVSAR		9/4/19	License Violation	\$0	9/4/19		
IG-2019-1862	9/4/19	BRIAN LYNCH	TB	9/3/19	Trainer Responsibility	\$100			
HP-2019-1863	8/31/19	RONNIE B. ROBERTS	SB	8/30/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1864	8/31/19	TRACE A. TETRICK	SB	8/30/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1865	8/31/19	LEWAYNE L. MILLER	SB	8/30/19	Whip Violation	\$200			
HP-2019-1866	9/4/19	BRANDON L. BATES		8/28/19	Race Office/Track Rule Violation	\$50			
HP-2019-1867	9/4/19	ALEXANDER J. UDELL	SB	9/3/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1868	9/5/19	DECLAN CANNON	TB	9/4/19	Riding/Driving Infraction Violation	\$0	9/10/19	9/13/19	3*
HP-2019-1869	9/5/19	JORDAN M. ROSS	SB	9/4/19	Riding/Driving Infraction Violation	\$50			
IG-2019-1870	9/6/19	CHRISTINA L. DUTIL-ZEHENDER		9/6/19	License Violation	\$0			
IG-2019-1871	9/6/19	ALEXANDER CORONA		9/6/19	Financial Responsibility	\$0	9/6/19		
HP-2019-1872	9/6/19	LARRY PLANK	SB	9/5/19	Medication/Drug Violation (Equine)	\$500			
HP-2019-1873	9/6/19	SAMUEL D. WIDGER	SB	9/5/19	Whip Violation	\$200			
IG-2019-1874	9/7/19	JUAN A. GALVAN	QH	9/6/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1875	9/10/19	MICHAEL J. OOSTING	SB	9/7/19	Riding/Driving Infraction Violation	\$100			



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IG-2019-1876	9/12/19	GERARDO A. GARRIDO	QH	9/10/19	Riding/Driving Infraction Violation	\$250			
HP-2019-1877	9/12/19	SAMUEL D. WIDGER	SB	9/10/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1878	9/12/19	ANDY R. SHETLER	SB	9/11/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1879	9/18/19	MARVIN A. JOHNSON	TB	9/17/19	Trainer Responsibility	\$200			
HP-2019-1880	9/12/19	ROBERT E. WYMAN	SB	8/13/19	Medication/Drug Violation (Equine)	\$1,000			
HP-2019-1881	9/18/19	ROSS J. LEONARD	SB	8/24/19	Medication/Drug Violation (Equine)	\$0			
HP-2019-1882	9/19/19	JESSE D. YODER	SB	9/18/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1883	9/19/19	JOEY D. PUTNAM	SB	9/18/19	Whip Violation	\$200			
IG-2019-1884	8/15/19	FERNANDO SOTO-FONSECA	QH	8/14/19	Whip Violation	\$250			
HP-2019-1885	9/20/19	LYLE D. SCURLOCK	SB	9/19/19	Whip Violation	\$300			
IG-2019-1886	9/21/19	EZEQUIEL LARA	TB	9/19/19	Riding/Driving Infraction Violation	\$0	9/28/19	10/2/19	3*
IG-2019-1887	9/21/19	MIGUEL A. RECHY		9/19/19	License Violation	\$0	9/21/19		
IG-2019-1888	9/21/19	LORI HENDRICKSON	TB	9/20/19	Trainer Responsibility	\$100			
IG-2019-1889	9/24/19	EDGAR DIAZ	QH	9/20/19	Jockey/Driver Requirements	\$350			
IG-2019-1890	9/24/19	HAYDEN B. NORIEGA		9/24/19	License Violation	\$0			
IG-2019-1891	9/27/19	REBECCA K. ENTZ		9/20/19	Medication/Drug/Alcohol Violation (Human)	\$0	9/27/19	10/26/19	30
IG-2019-1892	9/27/19	JONATHAN VASQUEZ		9/20/19	Medication/Drug/Alcohol Violation (Human)	\$0			
IG-2019-1893	9/27/19	CALEB L. LONGWORTH		9/20/19	Medication/Drug/Alcohol Violation (Human)	\$0	9/27/19	10/26/19	30



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IG-2019-1894	9/28/19	ELOY RIVERA		9/28/19	License Violation	\$0	9/28/19		
IG-2019-1895	9/28/19	LUIS A. JURADO	TB	9/27/19	Improper Business Manner	\$750			
IG-2019-1896	9/28/19	LUIS A. JURADO	TB	9/27/19	License Violation	\$500			
HP-2019-1897	9/28/19	SAMUEL D. WIDGER	SB	9/25/19	Whip Violation	\$300			
IG-2019-1898	10/1/19	JUAN F. FLORES		9/28/19	Medication/Drug/Alcohol Violation (Human)	\$100	10/1/19	10/7/19	7
IG-2019-1899	10/1/19	SIXTO L. CASTRO		10/1/19	Medication/Drug/Alcohol Violation (Human)	\$0	10/1/19	10/30/19	30
HP-2019-1900	10/2/19	BRANDON L. BATES	SB	9/28/19	Whip Violation	\$200			
HP-2019-1901	10/2/19	MICHAEL J. OOSTING	SB	10/1/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1902	10/4/19	CASEY L. LEONARD	SB	10/3/19	Riding/Driving Infraction Violation	\$100			
IG-2019-1903	10/9/19	FERNANDO MORIN	QH	10/5/19	Riding/Driving Infraction Violation	\$0	10/15/19	10/18/19	3*
IG-2019-1904	10/9/19	SAMUEL MENDEZ	QH	10/5/19	Riding/Driving Infraction Violation	\$250			
IG-2019-1905	10/9/19	CHRISTOPHER ABREGO		10/7/19	License Violation	\$0	10/9/19		
IG-2019-1906	10/9/19	MARIANNE MANNING	TB	10/9/19	Trainer Responsibility	\$100			
HP-2019-1907	10/8/19	LEWAYNE L. MILLER	SB	10/5/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1908	10/10/19	WILLIAM H. TOEBBE		10/10/19	License Violation	\$0			
IG-2019-1909	10/10/19	ANGELA Y. LEWIS		10/10/19	License Violation	\$0	10/10/19		
IG-2019-1910	10/10/19	GEORGE C. HILL		10/10/19	License Violation	\$0	10/10/19		
IG-2019-1911	10/10/19	JULIE BURKE	TB	10/9/19	Riding/Driving Infraction Violation	\$0	10/12/19	10/16/19	3*



# INDIANA HORSE RACING COMMISSION

## Ruling Log

Rulings Issued From 3/5/2019 to 11/25/2019

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2019-1912	10/9/19	HEATHER KIPKE		8/10/19	License Violation	\$0	10/9/19	10/18/19	10
HP-2019-1913	10/9/19	STEVE MANNING		6/29/19	License Violation		10/9/19		
HP-2019-1914	10/10/19	JARED D. FINN	SB	10/9/19	Riding/Driving Infraction Violation	\$100			
IG-2019-1915	10/11/19	GLEN I. VOORHEES III		10/10/19	License Violation	\$0	10/11/19		
IG-2019-1916	10/11/19	WENDY VOORHEES		10/10/19	License Violation	\$0	10/11/19		
HP-2019-1917	10/11/19	AARON E. MILLER	SB	10/10/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1918	10/12/19	EDGAR DIAZ	QH	10/9/19	Riding/Driving Infraction Violation	\$0	10/18/19	10/22/19	3*
HP-2019-1919	10/12/19	PETER M. WRENN	SB	10/11/19	Whip Violation	\$200			
IG-2019-1920	10/15/19	DARRIN D. MILLER	TB	10/12/19	Trainer Responsibility	\$100			
HP-2019-1921	10/15/19	MICHAEL J. OOSTING	SB	10/11/19	Riding/Driving Infraction Violation	\$100			
IG-2019-1922	10/17/19	AMANDA T. DIMMETT	TB	10/16/19	Trainer Responsibility	\$100	11/6/19		
IG-2019-1923	10/19/19	LUZ D. MARTINEZ	QH	10/16/19	Riding/Driving Infraction Violation	\$0	10/22/19	10/25/19	3*
IG-2019-1924	10/19/19	SAMUEL MENDEZ	QH	10/16/19	Riding/Driving Infraction Violation	\$0	10/22/19	10/25/19	3*
IG-2019-1925	10/19/19	EDGAR DIAZ	QH	10/16/19	Riding/Driving Infraction Violation	\$500			
IG-2019-1926	10/19/19	CIRILO GOROSTIETA	QH	9/18/19	Medication/Drug Violation (Equine)	\$1,000			
IG-2019-1927	10/22/19	KIMBERLY J. HAMMOND	TB	10/2/19	Medication/Drug Violation (Equine)	\$250			
IG-2019-1928	10/22/19	FERNANDO SOTO-FONSECA	QH	10/18/19	Riding/Driving Infraction Violation	\$250			
IG-2019-1929	10/22/19	JUAN C. CRUZ	QH	10/16/19	Riding/Driving Infraction Violation	\$100	10/25/19	10/29/19	3*



# INDIANA HORSE RACING COMMISSION

## Ruling Log

Rulings Issued From 3/5/2019 to 11/25/2019

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2019-1930	10/22/19	KENDAL D. STERRITT	TB	10/18/19	Riding/Driving Infraction Violation	\$0	10/29/19	11/1/19	3*
HP-2019-1931	10/22/19	MARK E. EVERS	SB	10/18/19	Whip Violation	\$200			
HP-2019-1932	10/22/19	DALE P. HITEMAN	SB	10/18/19	Riding/Driving Infraction Violation	\$200			
HP-2019-1933	10/22/19	WILLIAM D. CRONE	SB	10/18/19	Riding/Driving Infraction Violation	\$50			
HP-2019-1934	10/23/19	ROBERT S. TAYLOR	SB	10/19/19	Whip Violation	\$300			
IG-2019-1935	10/24/19	REGINALD A. CUNNINGHAM	QH	10/23/19	Trainer Responsibility	\$100	11/6/19		
IG-2019-1936	10/25/19	JUAN A. GALVAN		10/23/19	Conduct/Behavior	\$0	10/25/19		
HP-2019-1937	10/25/19	FREEMAN MILLER JR	SB	10/24/19	Riding/Driving Infraction Violation	\$100			
HP-2019-1938	10/25/19	CLAY A. CRAIB	SB	10/23/19	Trainer Responsibility	\$200			
IG-2019-1939	10/26/19	ANDRES P. ULLOA	TB	10/23/19	Riding/Driving Infraction Violation	\$0	10/30/19	11/2/19	3*
IG-2019-1940	10/29/19	JUAN C. CRUZ	QH	10/23/19	Jockey/Driver Requirements	\$350	11/6/19		
IG-2019-1941	10/30/19	JAMES C. WOODRUFF		10/30/19	License Violation	\$0			
IG-2019-1942	10/30/19	CARL J. BASILE		10/30/19	License Violation	\$100			
IG-2019-1943	10/31/19	LIBRADO BARRAZA	QH	10/30/19	Trainer Responsibility	\$100	11/6/19		
HP-2019-1944	11/2/19	MATTHEW D. KAKALEY	SB	11/1/19	Whip Violation	\$200			
HP-2019-1945	11/5/19	PETER A. REDDER	SB	11/2/19	Riding/Driving Infraction Violation	\$50			
IG-2019-1946	11/5/19	ELIZABETH A. KLOPP		10/15/19	Improper Business Manner	\$2,500			
IG-2019-1947	11/5/19	ANTHONY J. GRANITZ	TB	11/2/19	Trainer Responsibility	\$100			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 3/5/2019 to 11/25/2019

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	To	Days Suspended
HP-2019-1948	11/9/19	BRANDON L. BATES	SB	11/8/19	Riding/Driving Infraction Violation	\$200			
IG-2019-1949	11/21/19	PAUL B. HOLMES		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1950	11/21/19	MICHAEL D. RIORDAN		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1951	11/21/19	KEITH A. DORSEY		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1952	11/21/19	JUAN CERVANTES		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1953	11/21/19	AMY S. THOMPSON		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1954	11/21/19	SAMUEL G. ALMARAZ		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1955	11/21/19	JACQUELYN M. ROJAS		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1956	11/21/19	JEFFREY S. DEITZ		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1957	11/21/19	KHANH V. NGUYEN		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1958	11/21/19	JEFFREY MULLINS		11/21/19	License Violation	\$0	11/21/19		
IG-2019-1959	11/21/19	LONNIE COWLES		11/21/19	License Violation	\$0	11/21/19		

**YOUNG AND YOUNG**  
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ROGER A. YOUNG

JOHN T. YOUNG

ROBERT W. YOUNG (1913-1989)

2019 OCT 15 P 2: 50 FACSIMILE: (317) 736-4056  
EMAIL: youngandyounglaw@gmail.com

October 10, 2019


INDIANA  
HORSE RACING COMM.

Deena Pitman, Executive Director  
INDIANA HORSE RACING COMMISSION  
1302 N. Meridian Street, Ste.175  
Indianapolis, IN 46202

RE: *ISA's Verified Notice of Sixth Subsequent Term*

Dear Executive Director Pitman:

Enclosed please find original and copy of the Indiana Standardbred Association's Verified Notice of Sixth Subsequent Term to be filed with the Commission.

Please return a file-marked copy in the enclosed stamped, self-addressed envelope. 

Thank you for your attention to this matter.

Yours truly,

YOUNG AND YOUNG  
Roger A. Young

RAY/tav  
Enclosures



STATE OF INDIANA  
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:  
THE COMMISSION'S APPROVAL OF THE )  
PETITION OF CENTAUR HOLINGS, LLC, )  
NEW CENTAUR, LLC, HOOSIER PARK, LLC, )  
CENTAUR ACQUISITION, LLC, INDIANA )  
HORSEMEN'S BENEVOLENT & PROTECTIVE )  
ASSOCIATION, INC., INDIANA )  
STANDARD BRED ASSOCIATION, INC. AND )  
QUARTER HORSE RACING ASSOCIATION )  
OF INDIANA, INC. )  
REQUESTING THAT THE COMMISSION )  
APPROVE INITIAL DISTRIBUTION )  
AGREEMENT )

2019 OCT 15 P 2:50

INDIANA  
HORSE RACING COMM.

**VERIFIED NOTICE OF SIXTH SUBSEQUENT TERM**

COMES NOW Roger A. Young, Attorney for the Indiana Standardbred Association, and notifies the Indiana Horse Racing Commission as follows:

1. Pursuant to the authority created in SEA 609 (I.C. 4-35-7-16, 17), a Negotiation Committee was created composed of licensees and Horsemen's Associations having contracts with licensees. The Negotiation Committee entered into an Initial Distribution Agreement dated September 26, 2013, filed with the Commission on September 27, 2013, and approved by the Commission on December 10, 2013 (IDA). The IDA provided for a one (1) year term commencing on January 1, 2014 and ending on December 31, 2014 (Initial Term);

2. Section 2 of the IDA provided for an extended term of an additional seven (7) years if the requirements of Section 4 and the conditions of Section 5 of the IDA were satisfied (Extension Term). To date, neither the requirements of Section 4 nor the conditions of Section 5 have been satisfied;

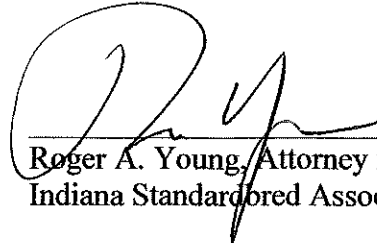
3. Section 2 of the IDA further provided that if the Initial Term was not extended by the Extension Term, then the term of the IDA would be automatically extended for a period of one (1) year following the Initial Term (Subsequent Term) provided that neither the Negotiation Committee of the licensees or the Associations gave written notice of non-renewal prior to September 1<sup>st</sup> of the Initial Term or a Subsequent Term;

4. From the date of the adoption of the IDA until present, neither the Negotiation Committee Members of the licensees or the Associations have given written notice of non-renewal prior to September 1<sup>st</sup> of the year in which the Initial Term or a Subsequent Term would expire. Each year the Indiana Horse Racing Commission was duly notified that no notice of non-

renewal had been filed and, accordingly, the Indiana Horse Racing Commission entered an order in accordance with Section 2 of the IDA extending the term of the IDA for one (1) year.

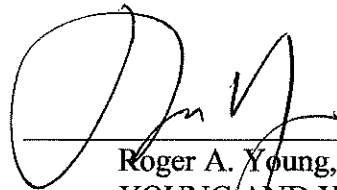
5. No notice of non-renewal has been timely given or received by any party to the IDA prior to September 1, 2019, and pursuant to Section 2 of the IDA, the IDA will automatically be renewed for a sixth (6<sup>th</sup>) Subsequent Term commencing on January 1, 2020 and ending on December 31, 2020.

I affirm under the penalties of perjury that the foregoing representations are true and correct to the best of my knowledge.

  
\_\_\_\_\_  
Roger A. Young, Attorney for the  
Indiana Standardbred Association

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing Verified Notice was served upon Deena Pitman, IHRC Executive Director, 1302 N. Meridian St., Suite 175, Indianapolis, IN 46202; Indiana Horsemen's Benevolent & Protective Association, 32 Holloway Blvd., Brownsburg, IN 46112; Quarter Horse Racing Association, Inc., P.O. Box 254, Whiteland, IN 46184; Richard B. Moore, Hoosier Park Racing & Casino, rmoore9@caesars.com; via U.S. Mail postage prepaid/email address, this 10<sup>th</sup> day of October, 2019.

  
\_\_\_\_\_  
Roger A. Young, Esq.  
YOUNG AND YOUNG  
40 W. Court St., Suite D  
Franklin, IN 46131  
(317) 736-7117  
youngandyounglaw@gmail.com